

KiwiWRAP KiwiSaver Scheme

Investment Options Supplement

22 December 2023

Issued by Consilium NZ Limited

This Investment Options Supplement is provided by Consilium under an exemption that the KiwiWRAP KiwiSaver Scheme has from some of the requirements of the Financial Markets Conduct Act 2013 and Financial Markets Conduct Regulations 2014. You can find a copy of the exemption notice on FMA's website at www.fma.govt.nz/compliance/exemptions/current-exemption-notices/financial-markets-conduct-act-exemptions.

This document should be read alongside the KiwiWRAP KiwiSaver Scheme Product Disclosure Statement.

Kiwi
WRAP

Description of your investment options

A description of the investment options that are currently available to Members, and the estimated annual fund charges for those investment options are in the table from page 5. All capitalised terms in this document have the same meanings as defined for them in the KiwiWRAP KiwiSaver Scheme Trust Deed.

Please note that:

- Investment options will be updated quarterly.
- The eligibility of all investments will be reviewed at least annually and may result in the removal of certain investment options. Refer to Section 3 of the Product Disclosure Statement for more details.
- Any changes to the estimated total annual fund charges, other charges and any individual action fees in respect of each investment option, will only be updated annually.
- Consilium is the issuer of Evidential Investment Funds, included in the investment options, but is not associated with any other investment option provider.
- Consilium does not provide any guarantee of the performance of any investment option.

Fees

Annual Scheme fee

Consilium, as the Manager of the Scheme, charges Members the fees detailed below. These fees include the services of the Manager, the Custodian and the Supervisor, but exclude Adviser fees and any fees charged by third parties in relation to the underlying investments or transactions of a Member's Personal Plan.

| Member funds | Manager fee per annum excl GST |
|--------------------------|--------------------------------|
| All assets* | 0.29% |
| Cash of all currencies** | 0.00% |

*excluding cash held in the cash management account.

**held in the cash management account.

These fees are included in the total estimated fund charge in the investment options table on page 5.

Understanding the total estimated fund charge

In the investment options table, beginning on page 5, there are several fees displayed. To understand the total annual fee you will pay for holding a specific investment, you need to know what each fee is.

Annual underlying investment fee is the ongoing fee charged by the issuer for managing and implementing each specific investment. This fee is made up of ongoing costs, which include management and administration fees. As a result, the annual underlying investment fee published by the issuer is an estimate and the actual costs incurred may differ slightly. The annual underlying investment fee does not include costs such as trading costs, borrowing costs or performance fees. These costs, if applicable, will be charged on top of the annual underlying investment fee. Please refer to the offer document of each investment for full details.

An additional GST component may be charged on top of the underlying investment fee. That component is unable to be reliably estimated, as issuer practices vary with respect to reporting their charges on a GST inclusive or exclusive basis, and the proportion of their charges that attract GST.

Individual action fee — a fee charged by the issuer of the investment option to buy, sell or make another transaction. A buy/sell spread is the difference in the unit price between what someone pays when buying versus what someone will get when selling.

Performance fee — a fee charged by the issuer of an investment when it outperforms a specific target.


Annual Scheme fee — the fee paid to Consilium as Manager of the Scheme.

Total annual estimated fund charge as a percentage of net asset value is the annual underlying investment fee plus the annual scheme fee.

Every year you can expect to pay the annual estimated fund charges as a percentage of net asset value plus any performance fees, plus any buy/sell spreads or individual action fees when you make a transaction.

Individual action fees

There are no fees charged by the Manager for establishment, contributions, withdrawals or closures. The investments you have selected may charge fees for contributions and withdrawals.

 Refer to brokerage below and the investment options table on page 5.

Currency conversion fee

You will be charged a currency conversion fee by the Custodian when you convert one currency to another. The fee is a proportion of the amounts converted. The fees are in PIPs. A PIP is a percentage in point, which is the smallest price change that a given exchange rate can make. For most currencies this is \$0.0001.

The fees for major currencies are below.

| Currency pair | Fee (PIPs) |
|---------------|------------|
| NZD/AUD | 25 |
| NZD/USD | 25 |
| NZD/GBP | 25 |
| NZD/EUR | 60 |

Please contact Consilium or your Adviser for the fees on all other currency pairs.

For example, to sell \$10,000NZD and purchase AUD at an exchange rate of 0.94, you will pay \$25 AUD in fees.

The Custodian also collects a margin when they are instructed to convert money from one currency to another. This margin may be up to 1% of the transaction and the amount collected is disclosed to you in your regular reporting.

Brokerage

There are different brokerage options available, and the fees will vary depending on the broker and exchange used. Your adviser can inform you of the brokerage charges relevant to your portfolio.

Other charges

Cash administration fee

The Custodian will hold your cash with ANZ Bank New Zealand Limited and has negotiated an interest rate with them. Cash held in a Scheme member's account that has not been invested earns interest from being held in an ANZ omnibus interest bearing account by FNZ. Before paying interest on any Scheme member's account, FNZ will deduct and retain a margin from that interest called a cash administration fee. The deduction of the cash administration fee by FNZ means the interest that is received on the cash balance in a Scheme member's account will be less than that which is paid to FNZ on those cash balances

The interest you will receive on cash held in your cash management account (CMA) will be equal to the official cash rate (OCR) minus 0.25% per annum (CMA interest rate = OCR - 0.25%). The Custodian will retain the difference between the CMA interest rate you receive and the negotiated interest rate, as a cash administration fee (cash administration fee = negotiated interest rate - CMA interest rate).

For example, if the OCR is 3.00% and the Custodian has negotiated an interest rate of 3.20% — you will receive 2.75% interest and the Custodian will retain 0.45% as a cash administration fee (refer to the example at the bottom of this page). Note that the Custodian will not charge negative interest on your cash management account, so the CMA interest rate will not fall below 0% per annum. The cash administration fee may change in the future.



Please contact Consilium or your Adviser to find out the current CMA interest rate.

Cash administration fee example:

| Custodian's negotiated interest rate (A) | OCR (B) | OCR - 0.25% (CMA interest rate you will receive)* (C) | Cash administration fee the Custodian retains = A-C |
|--|---------|---|---|
| 3.20% | 3.00% | 2.75% | 0.45% |

*minimum of 0.00%

Adviser fees

Your Adviser may charge the following fees:

A fee covering their initial advice on investment selection and ongoing monitoring of your Personal Plan against your investment objectives. These fees are agreed in writing between you and your Adviser and confirmed to Consilium.

Initial establishment fee — subject to a maximum of 0.20% excluding GST. This establishment fee will be charged on the initial balance that is either transferred from the existing KiwiSaver or a voluntary contribution, and can only be charged once.

Ongoing monitoring fee — subject to a maximum of 0.75% per annum excluding GST. The ongoing adviser fee is deducted from your Personal Plan monthly in arrears and is based on the daily balance of your Personal Plan.

Your Adviser cannot charge you any fees for other services, such as action fees or review fees, through the Scheme.

Example of how fees apply to an investor

Mrs Johnson invests **\$100,000** in a number of investment options from the IOS. She is charged brokerage when her funds are invested of **\$200.00** (0.20% of \$100,000).

This brings the starting value of her investment to **\$99,800.00**.

She is also charged the annual Scheme fee, which works out to **\$289.42** (0.29% of \$99,800). These fees might be more or less if her account balance has increased or decreased over the year and are dependent on the type of investments she has selected.

Over the next year Mrs Johnson pays other charges of **\$1,022.00**, being a \$75.00 cash administration fee, an Adviser establishment fee, which works out to **\$200.00** (0.20% of \$100,000), and an ongoing Adviser fee, which works out to **\$747.00** (0.75% of \$99,600.00).

These fees might be more or less if her account balance has increased or decreased over the year and are dependent on the type of investments she has selected.

Estimated total fees for the first year:

| | |
|--------------------|-------------------|
| Brokerage: | \$200.00 |
| Annual Scheme fee: | \$289.42 |
| Other charges: | \$1,022.00 |
| Total | \$1,511.42 |

If Mrs Johnson's investment options include any funds, those funds may be subject to additional fees (including performance fees) and expenses.

This example may not be representative of the actual fees you may be charged and reflects a mix of investment options at the maximum management fee rate.

Understanding the risk indicator

A risk indicator in relation to an investor's Personal Plan will, except in the case of an eligible investor, be provided by an investor's appropriately qualified and registered financial adviser prior to any investments being made on behalf of the investor.


The risk indicator is rated from 1 (low) to 7 (high). The rating reflects how much the value of the fund's assets goes up and down (volatility). A higher risk generally means there is the potential for higher returns over time, but with the risk of higher losses, and there are likely to be more ups and downs along the way.

To help you clarify your own attitude to risk, you must (unless you are an eligible investor) seek financial advice from an appropriately qualified and registered financial adviser.

In addition, you may work out your risk profile at <https://www.sorted.org.nz/tools/investor-kickstarter>.

Note that even the lowest category does not mean a risk-free investment, and there are other risks that are not captured by this rating.

The risk indicator is not a guarantee of a Personal Plan's future performance. The risk indicator is based on the returns data for a five year time period. Where an investment does not have a five year dataset, an appropriate benchmark will be substituted. While risk indicators are usually relatively stable, they do shift from time to time.

 See the Product Disclosure Statement (PDS) for more information about the risks associated with investing in a Personal Plan.

Example risk indicator



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Managed funds

Australasian equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|-------------------------------|-----------------|---------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Allan Gray Australia Equity A | ETL0060.AU | Allan Gray Australia Pty Ltd | Nil | 0.20% / 0.20% | 20.5% of the fund's out-performance and only payable where the outperformance exceeds the high water mark. | 0.77% | 0.29% | 1.06% | https://www.allangray.com.au/b/which-fund-for-you/ | The Fund's investment approach is to buy securities that offer long-term value, that are currently unpopular with the general investment community or are not well known. Allan Gray will not buy a security simply because it is in the Benchmark. Similarly, Allan Gray will buy significant positions in securities that are not in the Benchmark if they offer outstanding value, based on our bottom-up, fundamental valuation of the opportunity. |
| Allan Gray Australia Equity B | ETL0349.AU | Allan Gray Australia Pty Ltd | Nil | 0.20% / 0.20% | 35.88% of the fund's out-performance and only payable where the outperformance exceeds the high water mark. | 0.00% | 0.29% | 0.29% | https://www.allangray.com.au/b/which-fund-for-you/ | The Fund's investment approach is to buy securities that offer long-term value, that are currently unpopular with the general investment community or are not well known. Allan Gray will not buy a security simply because it is in the Benchmark. Similarly, Allan Gray will buy significant positions in securities that are not in the Benchmark if they offer outstanding value, based on our bottom-up, fundamental valuation of the opportunity. |
| Allan Gray Australia Stable | ETL0273.AU | Allan Gray Australia Pty Ltd | Nil | 0.10% / 0.10% | 20.5% of the fund's out-performance and only payable where the outperformance exceeds the high water mark. | 0.26% | 0.29% | 0.55% | https://www.allangray.com.au/b/which-fund-for-you/ | The Allan Gray Australia Stable Fund (Fund) aims to provide a long-term return that exceeds the Reserve Bank of Australia cash rate, with less volatility than the Australian sharemarket. The Fund invests a minimum of 50% in cash and money market instruments such as term deposits. When the opportunity arises, the remainder is invested in carefully selected Australian securities using our contrarian investment philosophy. |
| Alphinity Sustainable Share | HOW0121.AU | Alphinity Investment Management | Nil | 0.20% / 0.20% | Nil | 0.95% | 0.29% | 1.24% | https://www.fidante.com/-/media/Shared/Fidante/ALPH/ASSF_PDS.pdf | A portfolio of Australian-listed companies that support one or more of the Sustainable Development Goals, have strong ESG practices and offer attractive prospective returns. A committee of reputable external experts ensures the Fund stays true to charter and drives active engagement with companies. |

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| Bennelong Concentrated Australian Equities Fund | BFL0002.AU | Bennelong Australian Equity Partners | Nil | 0.20% / 0.20% | 0.42% - this fee is only payable where the Fund's return outperforms the return generated by its benchmark by more than 2% p.a.. | 0.92% | 0.29% | 1.21% | https://www.bennelongfunds.com/find-fund | The Bennelong Concentrated Australian Equities Fund is a portfolio of BAEP's best high-conviction picks. It aims to grow investment value over the long term via a combination of capital growth and income, by investing in a diversified portfolio of Australian shares. It typically holds 20-35 stocks, primarily from the S&P/ASX 300 Accumulation Index. |
| Betashares Australian Sustainability Leaders Fund | BETASHARES NZFAIR | BetaShares Capital Ltd | Nil | 0.15% / 0.15% | Nil | 0.67% | 0.29% | 0.96% | https://www.betashares.co.nz/fund/australian-sustainability-leaders/ | The Fund aims to track the performance of the Nasdaq Future Australian Sustainability Leaders Index that includes Australian companies that have passed screens to exclude companies with direct or significant exposure to fossil fuels or engaged in activities deemed inconsistent with responsible investment considerations. |
| Castle Point Trans-Tasman Fund | CASTPTT | Castle Point Funds Management Ltd | Nil | 0.30% / 0.30% | Nil | 1.05% | 0.29% | 1.34% | https://www.castlepointfunds.com/investment-solutions | The Fund invests in New Zealand and Australian Listed Companies and is benchmarked to the S&P/NZX 50 Index (incl Imputation Credits). |
| Devon Alpha Fund | DEVONALPHA | Devon Funds Management | Nil | 0.00% / 0.00% | The performance fee is the first 1% of gross portfolio performance above the hurdle rate of return of 12% p.a. up to 13% p.a. then 15% of the amount thereafter by which the gross return of the Fund exceeds 13% p.a. | 1.25% | 0.29% | 1.54% | https://devonfunds.co.nz/investment-options | The Fund is actively managed, which means the holdings may differ considerably from its composite 50:50 NZX50 and ASX200 index. While the Fund tends to be fully invested, when there are few compelling investment opportunities, the Fund may hold up to 50% cash or cash equivalent securities. |

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|--------------------------------------|-----------------|---------------------------------------|------------------------|-------------------|--|----------------------------------|-------------------|---|---|--|
| Devon Dividend Yield Fund | DEVONEI | Devon Funds Management | Nil | 0.00% / 0.00% | 10% of the net return of the Fund (reflected in the unit price) over a 50:50 composite of the S&P/NZX50 (Gross) Index and the S&P/ASX200 (Accumulation) Index fully hedged | 1.00% | 0.29% | 1.29% | https://devonfunds.co.nz/investment-options | The Devon Dividend Yield Fund consists of a select group of approximately 25-35 New Zealand and Australian listed companies. These stocks are chosen for their attractive dividend yields and growth prospects with the aim of maintaining the dividend yield and capital value in real terms. |
| Devon Trans-Tasman Fund | AU203185 | Devon Funds Management | Nil | 0.00% / 0.00% | 10% of the performance over a 50:50 composite of the S&PNZX50 (Gross) Index and the S&P/ASX200 (Accumulation) Index unhedged to NZD. | 1.25% | 0.29% | 1.54% | https://devonfunds.co.nz/investment-options | The Trans-Tasman Fund invests in a high conviction portfolio of 25-35 well researched shares which are primarily New Zealand and Australian listed companies. The Fund is actively managed, which means the holdings may differ considerably from its composite 50:50 NZX50 and ASX200 index. While the Fund tends to be fully invested, when there are few compelling investment opportunities, the Fund may hold up to 50% cash or cash equivalent securities. |
| Dimensional Aust Large Company Trust | DFA0103.AU | Dimensional Fund Advisors (Australia) | Nil | 0.08% / 0.08% | Nil | 0.16% | 0.29% | 0.45% | https://au.dimensionalfund.com/funds | The Trust may suit those investors seeking exposure to a diversified portfolio of large companies listed in Australia with the potential for long-term capital growth. Investors in this Trust will be exposed to share market volatility. |
| Dimensional Aust Small Company Trust | DFA0104.AU | Dimensional Fund Advisors (Australia) | Nil | 0.12% / 0.12% | Nil | 0.61% | 0.29% | 0.90% | https://au.dimensionalfund.com/funds | The Trust may suit those investors seeking exposure to a diversified portfolio of small companies listed in Australia with the potential for long-term capital growth. Investors in this Trust will be exposed to share market volatility. |

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|--|-----------------|---------------------------------------|------------------------|-------------------|--|----------------------------------|-------------------|---|---|---|
| Dimensional Australian Core Equity Trust | DFA0003.AU | Dimensional Fund Advisors (Australia) | Nil | 0.08% / 0.08% | Nil | 0.28% | 0.29% | 0.57% | https://au.dimensionalfund.com/funds | The Trust may suit those investors seeking exposure to a diversified portfolio of securities listed in Australia with the potential for long-term capital growth. Investors in this Trust will be exposed to share market volatility. |
| Dimensional Australian Sustainability | DFA2068.AU | Dimensional Fund Advisors (Australia) | Nil | 0.08% / 0.08% | Nil | 0.28% | 0.29% | 0.57% | https://au.dimensionalfund.com/funds | Ordinarily, the Trust will invest in a diversified market-wide portfolio of Australian listed securities. Eligible securities for the strategy include securities of companies and Real Estate Investment Trusts (REITs) and REIT-like entities listed in Australia. |
| Dimensional Australian Value Trust | DFA0101.AU | Dimensional Fund Advisors (Australia) | Nil | 0.08% / 0.08% | Nil | 0.34% | 0.29% | 0.63% | https://au.dimensionalfund.com/funds | The Trust may suit those investors seeking exposure to a diversified portfolio of Value Companies listed in Australia with the potential for long-term capital growth. Investors in this Trust will be exposed to share market volatility. |
| Harbour Australasian Equity Focus Fund | HARAEF | Harbour Asset Management Ltd | Nil | 0.00% / 0.00% | 10% of the Fund's performance above the hurdle rate of return. | 0.78% | 0.29% | 1.07% | https://www.harbourasset.co.nz/our-funds/ | The Fund is an actively managed fund investing in New Zealand and Australian listed equities. It is a high conviction fund where the portfolio will consist of companies our analysts have researched and have a high belief will perform over the medium to longer-term. A higher rated company will have a higher weighting in the portfolio. |
| Harbour Australasian Equity Fund | HARAER | Harbour Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.93% | 0.29% | 1.22% | https://www.harbourasset.co.nz/our-funds/ | The Fund provides actively managed exposure to New Zealand and Australian listed equities. This fund has a growth-oriented active investment management approach to generate alpha (return over the benchmark) for investors. |

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|---|-----------------|-------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Harbour Australasian Equity Income Fund R | HARAEIW | Harbour Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.75% | 0.29% | 1.04% | https://www.harbourasset.co.nz/our-funds/ | The Fund is designed to generate attractive levels of quarterly income from a diversified portfolio of New Zealand and Australian listed equities that pay sustainable and growing dividend yields as well as holding cash and fixed interest securities. |
| Harbour Australasian Equity Income Fund W | HARAEIWS | Harbour Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 1.03% | 0.29% | 1.32% | https://www.harbourasset.co.nz/our-funds/ | The Fund is designed to generate attractive levels of quarterly income from a diversified portfolio of New Zealand and Australian listed equities that pay sustainable and growing dividend yields as well as holding cash and fixed interest securities. |
| Harbour NZ Index Shares Fund | HARNZABF | Harbour Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.09% | 0.29% | 0.38% | https://www.harbourasset.co.nz/our-funds/ | This Fund is designed to track the S&P/NZX50 Portfolio Index. This index contains the top 50 companies listed on the NZX, but with a 5% cap on each company. Prior to 1 April 2021, this fund was formerly the Harbour NZ Advanced Beta Fund. |
| Harbour Sustainable NZ Shares Fund | HARSNS | Harbour Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.09% | 0.29% | 0.38% | https://www.harbourasset.co.nz/our-funds/ | This Fund is designed to track the S&P/NZX50 Portfolio Index, with exclusions to companies that are exposed to large carbon emitters, alcohol, gambling, munitions, adult entertainment, nuclear armaments, firearms, tobacco and recreational cannabis, child labour and companies with human and animal right violations. There will also be positive and negative tilts to the remaining companies based on Harbour's proprietary Corporate Behaviour Score, which has been a core part of Harbour's equity investment processes for over a decade. |
| Hyperion Australian Growth Companies | BNT0003.AU | Hyperion Asset Management Ltd | Nil | 0.30% / 0.30% | Nil | 0.95% | 0.29% | 1.24% | https://www.hyperion.com.au/ | Hyperion Australian Growth Companies Fund seeks to achieve medium to long-term capital growth and income by investing primarily in high calibre Australian companies listed within the S&P/ASX 300 Index, at the time of investment. |

Managed funds

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|---------------------------------|-----------------|-------------------------------------|------------------------|-------------------|--|----------------------------------|-------------------|---|---|--|
| Hyperion Small Growth Companies | BNT0101.AU | Hyperion Asset Management Ltd | Nil | 0.30% / 0.30% | If applicable, a performance fee of 15% of the Fund's outperformance (net of management fees) relative to its Benchmark return, multiplied by the NAV of the Fund. | 1.25% | 0.29% | 1.54% | https://www.hyperion.com.au/funds/hyperion-small-growth-companies-fund/ | Hyperion is a high conviction growth style manager that specialises in identifying and investing in high-quality Australian and Global equities. The Fund invests primarily in Australian listed companies excluded from the S&P/ASX 100 Index at the time of initial investment and will also have some exposure to cash. Typically, the Fund is highly concentrated with 15-30 stocks. |
| iShares Australian Equity Index | BGL0034.AU | BlackRock Investment Mgmt (AUS) Ltd | Nil | 0.08% / 0.08% | Nil | 0.20% | 0.29% | 0.49% | https://www.blackrock.com/au/intermediaries/products/investment-funds | The Fund aims to provide investors with the performance of the market, before fees, as measured by the S&P/ASX 300 TR Index (Index). The strategy uses a full-replication approach to match the performance of the benchmark. |
| Kernel NZ 20 Fund | KWLNZ20 | Kernel Wealth Ltd | Nil | 0.00% / 0.00% | Nil | 0.25% | 0.29% | 0.54% | https://kernelwealth.co.nz/funds/ | Invest in 20 of the largest listed blue-chip companies in New Zealand that are collectively worth over \$100 billion. The Fund provides broad diversification across a range of sectors including utilities, real estate, telecommunication and healthcare. |
| Kernel NZ 50 ESG Tilted Fund | KWLNZ50 | Kernel Wealth Ltd | Nil | 0.00% / 0.00% | Nil | 0.25% | 0.29% | 0.54% | https://kernelwealth.co.nz/funds/ | The first index fund in New Zealand to not only exclude companies from controversial industries, but to also both upweight and downweight companies based on non-financial metrics including governance practices, fossil fuel exposure, and workplace diversity. Using the internationally acclaimed Corporate Sustainability Assessment from S&P Dow Jones, each company included in of the S&P/NZX 50 is given an ESG score and re-weighted accordingly. Tracking the S&P NZX 50 Portfolio ESG Tilted Index, this fund is designed to provide long-term capital growth and diversification. |

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|--|-----------------|------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Kernel NZ Small & Mid Cap Opportunities Fund | KWLNZ9 | Kernel Wealth Ltd | Nil | 0.00% / 0.00% | Nil | 0.25% | 0.29% | 0.54% | https://kernelwealth.co.nz/funds/ | Invest in NZX listed financial products whose free-float market capitalisation is between \$75 million and \$1.25 billion New Zealand dollars and who are outside of the S&P/NZX 20 Index. |
| Mercer Ethical Leaders NZ Shares Fund - MIF RE | AMPI0130 | Mercer (NZ) Ltd | Nil | 0.25% / 0.25% | Nil | 0.92% | 0.29% | 1.21% | https://www.multimanager.mercer.co.nz/fund-facts/investments-funds.html | The fund is a diversified portfolio of predominantly New Zealand shares constructed with the aim of outperforming the S&P/NZX 50 Index and will generally be overexposed to companies which have a sustainable competitive advantage, good growth outlooks, the ability to grow earnings faster than revenue and which can grow without a strong reliance on raising additional capital. |
| Mercer NZ Shares Passive Fund (MIF PE) | AMPI0136 | Mercer (NZ) Ltd | Nil | 0.13% / 0.13% | Nil | 0.36% | 0.29% | 0.65% | https://www.multimanager.mercer.co.nz/fund-facts/investments-funds.html | The fund aims to provide a return that closely matches the return of the S&P/NZX 50 Index (on a gross basis and including imputation credits). |
| Milford Australian Absolute Growth Fund | MAMAAGF | Milford Asset Management Ltd | Nil | 0.00% / 0.00% | +0.25% when fund outperforms benchmark | 1.05% | 0.29% | 1.34% | https://milfordasset.com/what-we-offer/investment-funds | Absolute returns with an annualised return objective of 5% above the New Zealand Official Cash Rate while seeking to protect capital over rolling three year periods. |
| Milford Trans-Tasman Equity Fund | MAMTF | Milford Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 1.05% | 0.29% | 1.34% | https://milfordasset.com/what-we-offer/investment-funds | Capital growth by out-performing a mix of two relevant share market indices over a minimum of five years. |
| Mint Australasian Equity Fund | MINT | Mint Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 1.17% | 0.29% | 1.46% | https://www.mintasset.co.nz/our-funds/funds-overview/ | This is a single asset class Fund, which typically invests in New Zealand and Australian listed equities. Investors should expect returns and risk commensurate with the New Zealand and Australian share markets. The Fund has an investment objective of outperforming the S&P/NZX50 Gross Index by 3% per annum, before fees, over the medium to long-term. |

Managed funds

Australasian equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---------------------------------------|-----------------|-------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Mint New Zealand SRI Equity Fund | MINTNZSRI | Mint Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.95% | 0.29% | 1.24% | https://www.mintasset.co.nz/our-funds/funds-overview/ | The Fund was designed to meet investor's needs for investment in New Zealand "eligible "companies which meet specific responsible investment criteria. Mint New Zealand SRI Equity Fund targets returns in excess of the Fund benchmark of the S&P/NZX50 Gross index, measured over a rolling three year time period. |
| Nikko AM Concentrated Equity Fund PIE | TYNDALLAEF | Nikko Asset Management NZ Ltd | Nil | 0.29% / 0.29% | 10% of any return 5% greater than Reserve Bank of New Zealand Official Cash Rate | 1.15% | 0.29% | 1.44% | https://www.nikkoam.co.nz/ | The strategy is a collection of the portfolio manager's highest conviction investment ideas to achieve capital appreciation. The Fund can invest into 100% NZ and Australian Equities and 100% Cash. The typical number of stocks in the portfolio is circa 15. |
| Nikko AM Core Equity Fund | TYNDALLCNZ EF | Nikko Asset Management NZ Ltd | Nil | 0.29% / 0.29% | Nil | 0.95% | 0.29% | 1.24% | https://www.nikkoam.co.nz/ | Seeks growth opportunities by investing in New Zealand and Australian equity markets. Our local equity portfolio management team hand-pick stocks that they believe have potential for growth of income and capital. |
| Pengana Australian Equities Class A | PCL0005.AU | Pengana Capital Group Ltd | Nil | 0.15% / 0.15% | A 10.25% performance fee is payable if a High Water Mark is exceeded and the return of the Class exceeds the average of the daily RBA Cash Rate plus 6% pa accumulated. | 1.06% | 0.29% | 1.35% | https://pengana.com/our-funds/ | The Pengana Australian Equities Fund aims to enhance and preserve investor wealth over a 5 year period via a concentrated core portfolio of principally Australian listed securities. |
| Salt Core NZ Shares Fund | SALTNZSF | Salt Investment Funds Ltd | Nil | 0.20% / 0.20% | Nil | 1.10% | 0.29% | 1.39% | https://www.saltfunds.co.nz/ | Aims to outperform the S&P/NZX 50 Gross Index on a rolling three year basis by investing predominantly in New Zealand shares but with the ability to invest opportunistically in Australian shares. |

Managed funds

Australasian equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|------------------------------------|-----------------|---------------------------|---|-------------------|---|----------------------------------|-------------------|---|---|--|
| Salt NZ Dividend Appreciation Fund | SALTDAF | Salt Investment Funds Ltd | Nil | 0.20% / 0.20% | Nil | 1.10% | 0.29% | 1.39% | https://www.saltfunds.co.nz/ | Aims to outperform the S&P/NZX 50 Gross Index on a rolling three year basis by investing predominantly in New Zealand shares that may pay high and sustainable dividends. |
| Simplicity NZ Share Fund | SKNZSHARE | Simplicity (NZ) Ltd | Nil | 0.00% / 0.00% | Nil | 0.10% | 0.29% | 0.39% | https://simplicity.kiwi/investment-funds/home/ | The NZ Share Fund invests in securities listed in the New Zealand stock exchange (NZX), to generate a return similar to the performance of the NZ share market. It is designed to be the NZ share component of a diversified investment portfolio. |
| Smartshares NZ Core Equity | SSNZCE | Smartshares Limited | Contribution fee 0.10% of contributed amount, withdrawal fee 0.10% of withdrawal amount | 0.10% / 0.10% | Nil | 0.35% | 0.29% | 0.64% | https://smartshares.co.nz/types-of-funds | The NZ Core Equity Trust aims to provide investors with the benefits of long-term capital growth by investing in a diversified portfolio of financial products listed in New Zealand, with increased exposure to small companies and value companies relative to a market weighted portfolio. Value companies are companies with a low price relative to various financial measures, including book value, cash flow and earnings. DFA Australia Limited is the investment manager for NZ Core Equity Trust. DFA Australia Limited is the Australian subsidiary of Dimensional Fund Advisors LP, which is based in the United States and was formed in 1981. |
| SuperLife Invest NZ Top 50 Fund | SPLINZ50 | Smartshares Limited | Nil | 0.00% / 0.00% | Nil | 0.49% | 0.29% | 0.78% | https://www.superlife.co.nz/investment | Invests in New Zealand shares and designed to track the return (before tax, fees and other expenses) on the S&P/NZX 50 Portfolio Index. |
| SuperLife Invest S&P/ASX 200 Fund | SPLIASX2 | Smartshares Limited | Nil | 0.00% / 0.00% | Nil | 0.49% | 0.29% | 0.78% | https://www.superlife.co.nz/investment | To track the return (before tax, fees and other expenses) on the S&P/ASX 200 Index. |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|------------------------------------|-----------------|-----------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Antipodes Global Fund (PIE) | APLGFPIE | FundRock NZ Limited | Nil | 0.08% / 0.08% | 15% of the Fund's performance above the Benchmark Index | 1.25% | 0.29% | 1.54% | https://iisolutions.co.nz/fund-hosting/our-funds/ | The Fund invests in the Antipodes Global Fund – UCITS (Underlying Fund) and cash or cash equivalent securities. The Underlying Fund's investment exposure is predominantly to a broad range of international shares listed on stock exchanges in developed and emerging markets. Derivatives, including equity shorts and currency positions may be used where the Investment Manager sees attractive opportunities and also to offset specific unwanted portfolio risks and provide some protection from unexpectedly large movements in the value of the portfolio. Derivatives may also be used to amplify positions where the Investment Manager feels strongly about the return potential of an idea. In using derivatives, the Underlying Fund's total gross exposure may be leveraged to a maximum of 200% of the Net Asset Value. The Underlying Fund's net exposure will be within a range of 50% to 100% of its Net Asset Value. |
| Antipodes Global Fund - Long - PIE | APLGFPIE | FundRock NZ Limited | Nil | 0.08% / 0.08% | 15% of the Fund's performance above the Benchmark Index | 1.25% | 0.29% | 1.54% | https://iisolutions.co.nz/fund-hosting/our-funds/ | The Fund invests in the Antipodes Global Fund – Long – UCITS (Underlying Fund) and cash or cash equivalent securities. The Underlying Fund's investment exposure is predominantly to a broad range of international shares listed on stock exchanges in developed and emerging markets. The Underlying Fund may use exchange traded derivatives for risk management purposes and to achieve equity exposure. |
| Capital Group New Perspective (AU) | CIM0006.AU | Capital International (Australia) | Nil | 0.00% / 0.00% | Nil | 0.95% | 0.29% | 1.24% | https://www.capitalgroup.com/adviser/au/en/funds/fund-finder.html | To achieve long-term capital growth by seeking to take advantage of investment opportunities generated by changes in international trade patterns and economic and political relationships. Future income is a secondary objective. |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|---------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Capital Group New World (AU) | CIM8680.AU | Capital International (Australia) | Nil | 0.00% / 0.00% | Nil | 0.85% | 0.29% | 1.14% | https://www.capitalgroup.com/adviser/au/en/funds/fund-finder.html | To achieve long-term growth of capital by investing in common stocks of companies with significant exposure to countries with developing economies and/or markets. Many of these countries may be referred to as emerging countries or emerging markets. The Fund may also invest in debt securities of issuers with exposure to these countries. |
| Clarity - Capital Group New Perspective Fund | CFMCNPF | Capital Group | Nil | 0.00% / 0.00% | Nil | 1.15% | 0.29% | 1.44% | https://clarityfunds.co.nz/ | Using a fundamental, bottom-up approach utilising Capital Group's extensive global research resources to build a portfolio. The Fund aims to achieve long-term growth of capital (five years or longer) by investing in shares of companies located around the world. |
| ClearBridge RARE Infrstrctr Val Unhdgd | TGP0034.AU | ClearBridge Investments Ltd | Nil | 0.12% / 0.12% | Nil | 1.02% | 0.29% | 1.31% | https://www.clearbridgeinvestments.com.au/investment-strategies/ | The Fund will hold a diverse range of global infrastructure securities across a number of infrastructure sub-sectors such as gas, electricity and water utilities, toll-roads, airports, rail and communication infrastructure and across geographic regions. |
| Dimensional Emerging Market Value Trust | DFA0107.AU | Dimensional Fund Advisors (Australia) | Nil | 0.25% / 0.25% | Nil | 0.72% | 0.29% | 1.01% | https://au.dimensionalfund.com/funds | The Trust may suit those investors seeking exposure to a diversified portfolio of Value Companies listed on approved emerging markets with the potential for long-term capital growth. Investors in this Trust will be exposed to share market volatility. |
| Dimensional Emerging Mrkts Sustnblty Tr | DFA8887.AU | Dimensional Fund Advisors (Australia) | Nil | 0.25% / 0.25% | Nil | 0.60% | 0.29% | 0.89% | https://au.dimensionalfund.com/funds | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large market capitalisation securities associated with approved emerging markets, with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations. |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|---------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Dimensional Gbl Sstnblty Trust AUD Hdg | DFA0042.AU | Dimensional Fund Advisors (Australia) | Nil | 0.12% / 0.12% | Nil | 0.36% | 0.29% | 0.65% | https://au.dimensionalfunds.com/funds | The Trust may suit those investors seeking exposure to a diversified portfolio of securities listed on global developed markets (excluding Australia) with the potential for long-term capital growth. In particular, the Trust may suit those investors who seek to have certain environmental and sustainability impact and social considerations taken into account in the investment decision making process of the Trust. Investors in this Trust will be exposed to share market volatility. |
| Dimensional Gbl Sstnblty Trust Unhdg | DFA0041.AU | Dimensional Fund Advisors (Australia) | Nil | 0.10% / 0.10% | Nil | 0.36% | 0.29% | 0.65% | https://au.dimensionalfunds.com/funds | The Trust may suit those investors seeking exposure to a diversified portfolio of securities listed on global developed markets (excluding Australia) with the potential for long-term capital growth. In particular, the Trust may suit those investors who seek to have certain environmental and sustainability impact and social considerations taken into account in the investment decision making process of the Trust. Investors in this Trust will be exposed to share market volatility. |
| Dimensional Gbl Sustainability NZD Hdg | DFA0043 | Dimensional Fund Advisors (Australia) | Nil | 0.12% / 0.12% | Nil | 0.36% | 0.29% | 0.65% | https://au.dimensionalfunds.com/funds | The Trust may suit those investors seeking exposure to a diversified portfolio of securities listed on global developed markets (excluding Australia) with the potential for long-term capital growth. |
| Dimensional Global Core Equity AUD Hdg | DFA0009.AU | Dimensional Fund Advisors (Australia) | Nil | 0.12% / 0.12% | Nil | 0.36% | 0.29% | 0.65% | https://au.dimensionalfunds.com/funds | Ordinarily, the Trust will invest in a diversified market-wide portfolio of securities associated with approved developed markets (excluding Australia). Eligible securities for the strategy include securities of companies and Real Estate Investment Trusts (REITs) and REIT-like entities listed on approved developed markets (excluding Australia). |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|---------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Dimensional Global Core Equity NZD Hgd | DFA0039 | Dimensional Fund Advisors (Australia) | Nil | 0.12% / 0.12% | Nil | 0.36% | 0.29% | 0.65% | https://au.dimensionalfunds.com/funds | Ordinarily, the Trust will pursue its objective by investing in a diverse group of listed securities associated with approved developed markets ex Australia. |
| Dimensional Global Core Equity Trust | DFA0004.AU | Dimensional Fund Advisors (Australia) | Nil | 0.10% / 0.10% | Nil | 0.36% | 0.29% | 0.65% | https://au.dimensionalfunds.com/funds | The Trust may suit those investors seeking exposure to a diversified portfolio of securities listed on global developed markets (excluding Australia) with the potential for long-term capital growth. Investors in this Trust will be exposed to share market volatility. |
| Dimensional Global Large Company Trust | DFA0105.AU | Dimensional Fund Advisors (Australia) | Nil | 0.08% / 0.08% | Nil | 0.24% | 0.29% | 0.53% | https://au.dimensionalfunds.com/funds | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies associated with approved developed markets (excluding Australia). |
| Dimensional Global Small Company Trust | DFA0106.AU | Dimensional Fund Advisors (Australia) | Nil | 0.12% / 0.12% | Nil | 0.65% | 0.29% | 0.94% | https://au.dimensionalfunds.com/funds | To provide long-term capital growth by gaining exposure to a diversified portfolio of small companies associated with approved developed markets (excluding Australia). The Trust is not managed with the objective of achieving a particular return relative to a benchmark index. However, to compare the performance of the Trust with a broad measure of market performance, reference may be made to the MSCI World ex Australia Small Cap Index (net div.). |
| Dimensional Global Sustainability PIE Fund | DIMGLBSS | FundRock NZ Limited | Nil | 0.10% / 0.10% | Nil | 0.41% | 0.29% | 0.70% | https://iisolutions.co.nz/fund-hosting/our-funds/ | The investment objective of the Fund is to provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities. The Fund also considers non-financial factors to target sustainability goals. The Fund has a Carbon Footprint Reduction Goal and evaluates companies based on specific sustainability criteria. |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|---------------------------------------|------------------------|-------------------|--|----------------------------------|-------------------|---|---|---|
| Dimensional Global Sustainability PIE Fund (NZD Hedged) | DIMGLBSSHD G | FundRock NZ Limited | Nil | 0.10% / 0.10% | Nil | 0.41% | 0.29% | 0.70% | https://iisolutions.co.nz/fund-hosting/our-funds/ | The investment objective of the Fund is to provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities. The Fund also considers non-financial factors to target sustainability goals. The Fund has a Carbon Footprint Reduction Goal and evaluates companies based on specific sustainability criteria. The Fund mitigates currency risk by hedging most major foreign currency exposures to the New Zealand dollar. |
| Dimensional Global Value Trust | DFA0102.AU | Dimensional Fund Advisors (Australia) | Nil | 0.08% / 0.08% | Nil | 0.46% | 0.29% | 0.75% | https://au.dimensionalc.com/funds | The Trust may suit those investors seeking exposure to a diversified portfolio of large Value Companies listed on global developed markets (excluding Australia) with the potential for long-term capital growth. Investors in this Trust will be exposed to share market volatility. |
| Fiducian India Fund | FPS0013.AU | Fiducian Group Ltd | Nil | 0.25% / 0.25% | 10% of the net return of the fund in excess of the benchmark | 1.25% | 0.29% | 1.54% | https://www.fiducian.com.au/resources/fiducian-product-disclosure-statements/ | The Fiducian India Fund aims to provide investors with the opportunity to invest in a well-diversified range of companies listed on Indian stock exchanges. It is managed by carefully selected Indian fund managers who are based in India and have satisfied Fiducian's selection criteria. This Fund gives investors the opportunity to invest in an economy that has been one of the fastest growing in the world in recent years. The Fund may utilise currency hedging at any time to facilitate the implementation of the investment objectives. |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|---------------------|------------------------|-------------------|--|----------------------------------|-------------------|---|---|---|
| Fiducian Technology Fund | FPS0010.AU | Fiducian Group Ltd | Nil | 0.10% / 0.10% | 10% of the net return of the fund in excess of the benchmark | 1.25% | 0.29% | 1.54% | https://www.fiducian.com.au/resources/fiducian-product-disclosure-statements/ | The Fiducian Technology Fund allows investors to participate in a blend of technology funds. Fund managers have been chosen to balance exposure in terms of region, sector and type of company. Managers are able to invest in technology companies anywhere in the world, provided that these companies can benefit from leading-edge technology and can demonstrate significant earnings growth prospects. Sector exposure includes information technology, communications, biotechnology and other new technology companies. The underlying investments are well diversified with between 30 and 100 stocks held by each fund/investment mandate. This Fund commenced operation in June 2000. The fund may utilise currency hedging at any time to facilitate the implementation of the investment objectives. |
| First Sentier Responsible Listed Infrastructure Fund | FSLIF | FundRock NZ Limited | Nil | 0.15% / 0.15% | Nil | 1.01% | 0.29% | 1.30% | https://iisolutions.co.nz/fund-hosting/our-funds/ | The objective of the Fund is to deliver capital growth and inflation-protected income by investing in a globally diversified portfolio of infrastructure securities. The Fund aims to outperform the FTSE Global Core Infrastructure 50-50 Net Total Return Index (NZD hedged), after fees and expenses, but before investor taxes, over a rolling three year period. Currency exposure will be hedged back into New Zealand dollars using forward currency contract derivatives. |
| GMO Climate Change Trust | GMO1979.AU | GMO LLC | Nil | 0.00% / 0.00% | Nil | 0.82% | 0.29% | 1.11% | https://www.gmo.com/americas/investment-capabilities/mutual-funds/ | The GMO Climate Change Fund seeks to deliver high total return by investing primarily in equities of companies GMO believes are positioned to benefit, directly or indirectly, from efforts to curb or mitigate the long-term effects of global climate change, to address the environmental challenges presented by global climate change, or to improve the efficiency of resource consumption. |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|-------------------------------------|------------------------|-------------------|--|----------------------------------|-------------------|---|---|---|
| Harbour T.Rowe Price Global Equity Growth Fund | TROPGEG | Harbour Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.80% | 0.29% | 1.09% | https://www.harbourasset.co.nz/our-funds/ | The Fund invests primarily in a portfolio of securities of companies which are traded, listed or due to be listed, on recognised exchanges and/or markets throughout the world. It may include securities of companies traded on recognised exchanges of developing countries. |
| Hyperion Global Growth Companies (PIE) | HYPGGCPIE | FundRock NZ Limited | Nil | 0.30% / 0.30% | Nil | 0.95% | 0.29% | 1.24% | https://www.hyperion.com.au/funds/hyperion-australian-growth-companies-fund/ | The investment objective of the Fund is to achieve long-term returns above the MSCI World Net Total Return Index in NZ dollars, and minimise the risk of permanent capital loss. The Fund invests in growth-oriented companies primarily listed on a recognised global exchange, at the time of investment. |
| Hyperion Global Growth Companies B | WHT8435.AU | Hyperion Asset Management Ltd | Nil | 0.30% / 0.30% | If applicable, a performance fee of 20% of the Fund's outperformance relative to its benchmark return, multiplied by the NAV of the Fund | 0.72% | 0.29% | 1.01% | https://www.hyperion.com.au/funds/hyperion-global-growth-companies-fund/ | The Hyperion Global Growth Companies Fund seeks to achieve medium to long-term capital growth and income by investing in high calibre companies primarily listed on a recognised global exchange, at the time of investment. |
| iShares Emerging Markets IMI Equity Idx | BGL0083.AU | BlackRock Investment Mgmt (AUS) Ltd | Nil | 0.30% / 0.35% | Nil | 0.55% | 0.29% | 0.84% | https://www.blackrock.com/au/intermediaries/products/investment-funds | The Fund aims to provide investors with the performance of the market, before fees less withholding taxes, as measured by the MSCI Emerging Markets Investible Markets Index (unhedged in Australian dollars with net dividends reinvested) |
| iShares Screened Wholesale International Equity Index Fund - E2 Class | BLK1965.AU | BlackRock Investment Mgmt (AUS) Ltd | Nil | 0.07% / 0.07% | Nil | 0.18% | 0.29% | 0.47% | https://www.blackrock.com/au/intermediaries/products/investment-funds | The Fund aims to provide investors with the performance of the market, before fees, as measured by the MSCI World ex-Tobacco ex-Controversial Weapons ex-Nuclear Weapons Net TR Index (unhedged in AUD). |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|-------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Kernel Global ESG | KWLGBLESG | Kernel Wealth Ltd | Nil | 0.00% / 0.00% | Nil | 0.23% | 0.29% | 0.52% | https://kernelwealth.co.nz/documents | The fund aims to provide a gross return that closely matches the return on the S&P Developed Ex-Korea LargeMidCap Net Zero 2050 Paris-Aligned ESG ex non-pharma Animal Testing (PAB Ex AT) Index. The fund is passively managed and invests in a diverse mix of globally listed companies and is designed to approximate the developed global equity market across countries and sectors. |
| Kernel Global ESG Fund (NZD) | KWLGBLESGH | Kernel Wealth Ltd | Nil | 0.00% / 0.00% | Nil | 0.18% | 0.29% | 0.46% | https://kernelwealth.co.nz/documents | The fund aims to provide a gross return that closely matches the return on the S&P Developed Ex-Korea LargeMidCap Net Zero 2050 Paris-Aligned ESG ex non-pharma Animal Testing (PAB Ex AT) (NZD Hedged) Index. The fund is passively managed and invests in a diverse mix of globally listed companies and is designed to approximate the developed global equity market across countries and sectors. |
| Kernel Global Infrastructure Fund | KWLWGF | Kernel Wealth Ltd | Nil | 0.00% / 0.00% | Nil | 0.25% | 0.29% | 0.54% | https://kernelwealth.co.nz/funds/ | A globally diversified exposure to mostly infrastructure lines of business, e.g. utilities, storage and telecommunications infrastructure providing relatively stable cash flow and inflation hedging. |
| Kernel Global Infrastructure Fund (NZD Hedged) | KWLGIHF | Kernel Wealth Ltd | Nil | 0.00% / 0.00% | Nil | 0.25% | 0.29% | 0.54% | https://kernelwealth.co.nz/funds | Through this fund you can invest in global companies which derive at least 70% of their cash flows from infrastructure lines of business, which adds an element of reliability to a portfolio. Closely tied to economic growth, exposure to infrastructure can benefit investors long-term. This fund tracks the Dow Jones Brookfield Global Infrastructure Index and covers all sectors of the infrastructure market. |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|-------------------------------------|-----------------|-------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Kernel High Growth Fund | KERNELHG | Kernel Wealth Ltd | Nil | 0.00% / 0.00% | Nil | 0.25% | 0.29% | 0.54% | https://kernelwealth.co.nz/funds | The High Growth Fund is made up of over 98% growth assets, such as shares and listed property. Globally diversified, the Fund is designed to help Kiwis build long-term wealth while lessening volatility through geographical and sector diversification. This Fund is suitable for an investor with an investment time horizon of at least 5 years. |
| Kernel S&P Global 100 Fund | KWLG100 | Kernel Wealth Ltd | Nil | 0.00% / 0.00% | Nil | 0.25% | 0.29% | 0.54% | https://kernelwealth.co.nz/funds/ | This Fund provides exposure to blue-chip companies, being those which are large, stable and profitable. This includes household names such as Apple, Nike, Amazon, Nestle and Microsoft. With global diversification across all sectors, this Fund provides reliable long-term capital growth. |
| Kernel S&P Global Clean Energy Fund | KWLGCE | Kernel Wealth Ltd | Nil | 0.00% / 0.00% | Nil | 0.45% | 0.29% | 0.74% | https://kernelwealth.co.nz/funds/ | This Fund invests in up to 100 companies with significant or total clean energy exposure and low carbon-to-revenue footprints according to independent assessors Trucost. To be eligible for inclusion, companies must be involved in the production of Clean Energy or provision of Clean Energy Technology & Equipment. Designed to focus on capital growth, the fund is a great satellite holding as part of a core satellite strategy. |
| Magellan Infrastructure | MGE0002.AU | Magellan Asset Management Ltd | Nil | 0.15% / 0.15% | 10% above benchmark | 1.06% | 0.29% | 1.35% | https://www.magellanroup.com.au/funds/ | The Magellan Infrastructure Fund has been designed to provide investors with efficient access to the infrastructure asset class, while protecting capital in adverse markets. |
| Magellan Infrastructure (Unhedged) | MGE0006.AU | Magellan Asset Management Ltd | Nil | 0.15% / 0.15% | 10% above benchmark | 1.06% | 0.29% | 1.35% | https://www.magellanroup.com.au/funds/ | The Magellan Infrastructure Fund has been designed to provide investors with efficient access to the infrastructure asset class, while protecting capital in adverse markets. |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Maple-Brown Abbott Gbl Listed Infra Hd | MPL0008.AU | Maple-Brown Abbott Ltd | Nil | 0.15% / 0.15% | Nil | 1.00% | 0.29% | 1.29% | https://www.maple-brownabbott.com.au/global-listed-infrastructure-fund-hedged | The Maple-Brown Abbott Global Listed Infrastructure Fund - Hedged is an actively managed global listed infrastructure securities portfolio with a focus on regulated, contracted and concession assets or networks that provide essential services. We will substantially hedge the Fund's currency exposure back to Australian dollars. The Fund aims to outperform the benchmark over rolling five-year periods. The benchmark is an accumulation index, maintained daily by us, comprised of the OECD Total Inflation Index plus 5.5% per annum. |
| Maple-Brown Abbott Global Listed Infrass | MPL0006.AU | Maple-Brown Abbott Ltd | Nil | 0.15% / 0.15% | Nil | 0.98% | 0.29% | 1.27% | https://www.maple-brownabbott.com.au/global-listed-infrastructure | The Maple-Brown Abbott Global Listed Infrastructure Fund is an actively managed global listed infrastructure securities portfolio with a focus on regulated, contracted and concession assets or networks that provide essential services. The Fund aims to outperform the benchmark over rolling five-year periods. The benchmark is an accumulation index, maintained daily by us, comprised of the OECD Total Inflation Index plus 5.5% per annum. |
| Mercer All Country Global Shares Index Fund MIF PI | AMPI0138 | Mercer (NZ) Ltd | Nil | 0.07% / 0.03% | Nil | 0.42% | 0.29% | 0.71% | https://www.multimanager.mercer.co.nz/fund-facts/investments-funds.html | The fund is in a passively managed low tracking error portfolio managed against companies listed on the MSCI All Country World ex Tobacco Index and targets a position of being 69% gross hedged to the New Zealand dollar. The hedges are based on the currency components of the underlying index. |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---------------------------------------|-----------------|-------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Nanuk New World Fund (AUT) | SLT2171.AU | Nanuk Asset Management | Nil | 0.25% / 0.25% | Nil | 1.11% | 0.29% | 1.40% | https://www.nanukasset.com/our-products/ | Nanuk's approach is based on the belief that the natural tension between economic growth and environmental sustainability is resulting in long term structural changes in many industries and that these changes provide an ongoing source of investment opportunities that can be accessed through sound research. Nanuk believes that increasing global investment in more sustainable products and services may benefit selected companies in these industries and that investing in a managed portfolio of such companies has the potential to outperform global equities benchmarks over the longer term. |
| Nikko AM Global Shares Fund | NIKKOAGS | Nikko Asset Management NZ Ltd | Nil | 0.07% / 0.07% | Nil | 1.20% | 0.29% | 1.49% | https://www.nikkoam.co.nz/ | This fund aims to provide investors with a relatively concentrated actively managed investment portfolio of global equities to achieve long term capital growth. |
| Nikko AM Global Shares Hedged Fund | NIKKOGSHF | Nikko Asset Management NZ Ltd | Nil | 0.07% / 0.07% | Nil | 1.20% | 0.29% | 1.49% | https://www.nikkoam.co.nz/ | The Fund provides investors with a relatively concentrated actively managed portfolio of global equities to achieve long term capital growth hedged to the NZD. |
| Pathfinder Global Responsibility Fund | PATHFINDERGRF | Pathfinder Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.93% | 0.29% | 1.22% | https://pathfinder.kiwi/managed-funds/global-responsibility-fund/ | The Fund invests in international equities and listed property. It achieves this by investing in Pathfinder's Wholesale Responsible Investment Fund. |
| Perpetual ESG Australia Share | PER0116.AU | Perpetual Investment Management Ltd | Nil | 0.12% / 0.12% | Nil | 1.18% | 0.29% | 1.47% | https://www.perpetual.com.au/investments | The Perpetual Ethical SRI Fund aims to provide long-term capital growth and regular income through investment in quality shares of ethical and socially responsible companies. |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|---------------------|------------------------|-------------------|--|----------------------------------|-------------------|---|---|--|
| PM Capital Global Companies | PMC0100.AU | PM Capital Ltd | Nil | 0.25% / 0.25% | The performance fees are calculated and accrued on an individual unit-by-unit basis, and are incurred by the relevant Fund as a whole at the end of each month if the Fund meets certain performance objectives. | 1.16% | 0.29% | 1.45% | https://www.pmcapital.com.au/managed-funds | To provide long-term capital growth and outperform the greater of the MSCI World Net Total Return Index (AUD) or RBA cash rate over rolling seven year periods. The Fund is not intended to replicate the index. |
| Russell Investments Hedged Sustainable Global Shares Fund | RIFSGSH | FundRock NZ Limited | Nil | 0.14% / 0.12% | Nil | 0.26% | 0.29% | 0.55% | https://russellinvestments.com/nz/funds/russell-investments-hedged-sustainable-global-shares-fund | The Fund invests predominantly in a broad range of international shares listed on developed and emerging market exchanges. The Fund maintains reduced carbon exposure compared to the MSCI ACWI Index 100% Hedged to NZD - Net, whilst increasing exposure to renewable energy and taking into account other ESG considerations . Derivatives may be used to implement investment strategies and manage risk. Foreign currency exposures are largely hedged back to New Zealand dollars. |
| Russell Investments Sustainable Global Shares Fund | RIFSGS | FundRock NZ Limited | Nil | 0.12% / 0.10% | Nil | 0.24% | 0.29% | 0.53% | https://russellinvestments.com/nz/funds/russell-investments-sustainable-global-shares-fund | The Fund invests predominantly in a broad range of international shares listed on developed and emerging market exchanges. The Fund maintains reduced carbon exposure compared to the MSCI ACWI Index Net, whilst increasing exposure to renewable energy and taking into account other ESG considerations. Derivatives may be used to implement investment strategies and manage risk. Foreign currency exposures are unhedged. |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|---------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Salt Sustainable Global Listed Infrastructure Fund | SALTGLIF | Salt Investment Funds Ltd | Nil | 0.20% / 0.20% | Nil | 1.15% | 0.29% | 1.44% | https://www.saltfunds.co.nz/ | Aims to exceed the total return of its benchmark, the FTSE Global Core Infrastructure 50/50 Net Tax Index in New Zealand dollars on a rolling three year basis by investing predominantly in in common stock and other equity securities issued by global infrastructure companies with strong ESG credentials. |
| Salt Sustainable Global Shares Fund | SALTGSF | Salt Investment Funds Ltd | Nil | 0.20% / 0.20% | Nil | 1.15% | 0.29% | 1.44% | https://www.saltfunds.co.nz/ | Aims to outperform the MSCI World (Net) Index in New Zealand dollars on a rolling three year basis by investing predominantly in a portfolio of global shares with high total return potential and high ESG factor scores. |
| Schroder Sustainable Global Core PIE Fund | SSGCPFU | FundRock NZ Limited | Nil | 0.15% / 0.10% | Nil | 0.39% | 0.29% | 0.68% | https://www.fundrock.com/media/bfpgwlul/scroder-investment-funds-pds-27-october-2023.pdf | The investment objective of the Fund is to outperform relative to the MSCI World ex Tobacco Index (net dividends reinvested) after fees with low index-relative risk across a broad range of market environments. |
| Schroder Sustainable Global Core PIE Fund (Hedged) | SSGCPFH | FundRock NZ Limited | Nil | 0.15% / 0.10% | Nil | 0.39% | 0.29% | 0.68% | https://www.fundrock.com/media/bfpgwlul/scroder-investment-funds-pds-27-october-2023.pdf | The investment objective of the Fund is to outperform relative to the MSCI World ex Tobacco Index (net dividends reinvested) (Hedged) after fees with low indexrelative risk across a broad range of market environments. |
| Simplicity Hedged Global Share Fund | SKHGSF | Simplicity (NZ) Ltd | Nil | 0.00% / 0.00% | Nil | 0.15% | 0.29% | 0.44% | https://simplicitykiwi-assets.s3-accelerate.amazonaws.com/public/Uploads/1fd176433/Product-Disclosure-Statement-Simplicity-Investment-Funds-27-October-2023.pdf | The Hedged Global Share Fund invests in shares across developed markets, designed to generate a return similar to the performance of the Bloomberg DM ex NZ ESG Screened index (NZD hedged) and to be the hedged International shares component of a diversified investment portfolio. |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|---------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Simplicity Unhedged Global Share Fund | SKUGSF | Simplicity (NZ) Ltd | Nil | 0.00% / 0.00% | Nil | 0.15% | 0.29% | 0.44% | https://simplicitykiwi-assets.s3-accelerate.amazonaws.com/public/Uploads/1fd176433/Product-Disclosure-Statement-Simplicity-Investment-Funds-27-October-2023.pdf | The Unhedged Global Share Fund invests in shares across developed markets, designed to generate a return similar to the performance of the Bloomberg DM ex NZ ESG Screened Index (unhedged) and to be the unhedged International shares component of a diversified investment portfolio. |
| Stewart Investors Worldwide Leaders Sustainability Fund (PIE) | STEWLSS | FundRock NZ Limited | Nil | 0.10% / 0.10% | Nil | 0.62% | 0.29% | 0.91% | https://iisolutions.co.nz/fund-hosting/our-funds/ | To achieve long-term capital growth by investing directly or indirectly in a diversified portfolio of equity or equity-related securities of larger capitalisation companies which are listed, traded or dealt in on any of the regulated markets worldwide. The Fund aims to exceed the MSCI All Country World Index (NZD) over rolling five-year periods before fees and taxes. |
| Superlife Invest Emerging Markets Equity Fund | SPLIEME | Smartshares Limited | Nil | 0.00% / 0.00% | Nil | 0.63% | 0.29% | 0.92% | https://www.superlife.co.nz/investment | The Emerging Markets Fund is designed to provide a return (after tax, fees and other expenses) that reflects the after-tax return on international share markets of emerging economies. |
| SuperLife Invest Total World (NZD Hedged) Fund | SPLITWNZH | Smartshares Limited | Nil | 0.00% / 0.00% | Nil | 0.48% | 0.29% | 0.77% | https://www.superlife.co.nz/investment | To track the return (before tax, fees and other expenses) on the FTSE Global All Cap Index, 100% hedged to the New Zealand dollar. |
| SuperLife Invest Total World Fund | SPLITWF | Smartshares Limited | Nil | 0.00% / 0.00% | Nil | 0.48% | 0.29% | 0.77% | https://www.superlife.co.nz/investment | The Total World Fund invests in the Smartshares Exchange Traded Funds' Total World Fund. |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Vanguard Ethically Cons Intl Shrs Idx | VAN8175.AU | Vanguard Investments Australia Ltd | Nil | 0.07% / 0.07% | Nil | 0.20% | 0.29% | 0.49% | https://www.vanguard.com.au/adviser/products/en/list/overview | The FTSE Developed ex Australia ex Non-renewable Energy, Vice Products and Weapons Index comprises securities (shares) listed on the exchanges of the world's major developed economies. The index excludes companies with significant business activities involving fossil fuels, alcohol, tobacco, gambling, military weapons and civilian firearms, nuclear power and adult entertainment. Details of the benchmark methodology can be accessed by visiting www.ftserussell.com . |
| Vanguard Ethically Cons Intl ShrsIdxNZDH | VAN4013.AU | Vanguard Investments Australia Ltd | Nil | 0.10% / 0.10% | Nil | 0.26% | 0.29% | 0.55% | https://www.vanguard.com.au/adviser/products/en/list/overview | The Fund provides exposure to many of the world's largest companies listed in major developed countries. It offers low-cost access to a broadly diversified range of securities that excludes companies with significant business activities involving fossil fuels, nuclear power, alcohol, tobacco, gambling, weapons, adult entertainment and a conduct related screen based on severe controversies. The Fund is hedged to New Zealand dollars so the value of the Fund is relatively unaffected by currency fluctuations for New Zealand investors. |
| Vanguard International Shares Index | VAN0003.AU | Vanguard Investments Australia Ltd | Nil | 0.06% / 0.06% | Nil | 0.18% | 0.29% | 0.47% | https://www.vanguard.com.au/adviser/products/en/list/overview | The Fund will hold most of the securities in the Index, allowing for individual security weightings to vary from the Index from time to time. The Fund will be fully exposed to the fluctuating values of foreign currencies, as there will not be any hedging of foreign currencies to the Australian dollar. |
| Vanguard Intl Shares Sel Exclusions Idx | VAN1579.AU | Vanguard Investments Australia Ltd | Nil | 0.07% / 0.07% | Nil | 0.20% | 0.29% | 0.49% | https://www.vanguard.com.au/adviser/products/en/list/overview | The Fund holds all of the securities in the index most of the time, allowing for individual security weightings to vary marginally from the index from time to time. The Fund may hold securities that have been removed from or are expected to be included in the index. The Fund may also invest in futures contracts. |

Managed funds

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Vanguard Intl Shrs Sel Excls Idx Hdg AUD | VAN3200.AU | Vanguard Investments Australia Ltd | Nil | 0.12% / 0.12% | Nil | 0.23% | 0.29% | 0.52% | https://www.vanguard.com.au/adviser/products/en/list/overview | Vanguard International Shares Select Exclusions Index Fund - AUD Hedged seeks to track the return of the MSCI World ex Australia, ex Tobacco, ex Controversial Weapons, ex Nuclear Weapons (with net dividends reinvested) hedged into Australian dollars Index before taking into account fees, expenses and tax. |
| Vanguard Intl Shs Sel Exclusions IdxNZDH | VAN8287 | Vanguard Investments Australia Ltd | Nil | 0.08% / 0.08% | Nil | 0.26% | 0.29% | 0.55% | https://www.vanguard.com.au/adviser/products/en/list/overview | The Fund holds all of the securities in the index most of the time, allowing for individual security weightings to vary marginally from the index from time to time. The Fund may hold securities that have been removed from or are expected to be included in the index. The Fund also holds forward foreign exchange contracts and may invest in futures contracts. |

Managed funds

International fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|--------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Bentham Global Income Fund NZD | BENWGIFN | Bentham Asset Management | Nil | 0.38% / 0.38% | Nil | 0.77% | 0.29% | 1.06% | https://www.bentham.com.au/funds/overview/ | The Fund invests in global credit and fixed interest markets. The underlying fund's investments include, but are not limited to, Australian and global hybrid securities, global high yield bonds, global syndicated loans, investment grade securities, global capital securities, asset backed securities, equities and derivatives. SAA guidelines are 90 to 100% in underlying fund and 0 to 10% in Cash. |
| Bentham Global Income PIE fund | BENGIPIE | FundRock NZ Limited | Nil | 0.35% / 0.35% | Nil | 0.83% | 0.29% | 1.12% | https://www.fundrock.com/media/bymn45fb/bentha-1.pdf | The fund aims to generate income with some potential for capital growth over the medium to long term. The fund aims to outperform its composite benchmark (50% Bloomberg AusBond Composite Bond Index hedged to the New Zealand dollar, 50% Bloomberg AusBond Bank Bill Index, hedged to the New Zealand dollar) over the suggested minimum investment timeframe (typically 3 years). |
| Colchester Global Government Bond PIE Fund | COLGGBF | FundRock NZ Limited | Nil | 0.00% / 0.00% | Nil | 0.62% | 0.29% | 0.91% | https://iisolutions.co.nz/fund-hosting/our-funds/ | The Fund invests in The Colchester Global Bond Enhanced Currency Fund – NZD Hedged Accumulation Class – Z Shares. The Fund aims to achieve favourable income and capital returns from a globally diversified portfolio of primarily sovereign debt or debt-like securities and currencies. An associated objective is the preservation and enhancement of principal. |

Managed funds

International fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|-------------------------------------|-----------------|---------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Coolabah Long-Short Credit PIE Fund | COOLLSCPF | Coolabah Investment Funds | Nil | 0.00% / 0.05% | 20.5% of the fund's gross excess performance over the Benchmark, subject to a high water mark | 1.00% | 0.29% | 1.29% | https://coolabahcapital.com/new-zealand-pie-funds/ | The Coolabah Long-Short Credit PIE Fund provides investors with exposure to an absolute return fixed-income strategy focused on exploiting long and short mispricings in credit markets. The strategy targets returns above the RBNZ cash rate plus 4% to 6% pa after all fees and before tax over rolling 3 year periods . It targets volatility of less than 5% pa. This strategy offers investor exposure primarily to senior and subordinated bonds, hybrids and derivatives, hedged to NZ dollars. The Coolabah Long-Short Credit PIE Fund targets holding the majority of its portfolio in investment-grade quality debt securities. |
| Coolabah Short Term Income PIE Fund | COOLSTIPF | Coolabah Investment Funds | Nil | 0.00% / 0.03% | 22.5% of the fund's gross excess performance over the Benchmark, subject to a high water mark | 0.69% | 0.29% | 0.98% | https://coolabahcapital.com/new-zealand-pie-funds/ | The Coolabah Short Term Income PIE Fund is a zero duration, short-term fixed-interest investment solution that targets returns that outperform the RBNZ cash rate by 1.5% – 3.0% per annum after all fees and before tax, over rolling 12 month periods. This strategy gains exposure to an actively managed portfolio of Australian cash securities and investment-grade floating-rate notes with a target dollar-weighted average credit rating in the "A" band hedged to NZ dollars. It does not invest in fixed-rate bonds (unless interest rate risk is swapped out) or equities. |
| Daintree Core Income Trust NZD | WPC0696 | Daintree Capital | Nil | 0.05% / 0.05% | Nil | 0.50% | 0.29% | 0.79% | https://daintreecapital.com.au/ | Daintree Capital through its absolute return Core Income Trust provides investors with a higher income than generally available through term deposit and banking products. With the RBA Cash Rate at 0.25% many investors are looking for a higher return, but wish to remain conservatively invested. Daintree's Core Income seeks to provide a solution to this dual objective. We offer two classes of units to Australian and New Zealand investors: Australian Dollar and New Zealand Dollar units. |

Managed funds

International fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|---------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Daintree High Income Trust NZD Unit CI | WPC0529.AU | Perennial Investment Management Ltd | Nil | 0.15% / 0.15% | Nil | 0.75% | 0.29% | 1.04% | https://daintreecapital.com.au/ | The aim of the Trust is to provide income over the medium term, by investing in a diversified portfolio of credit fixed income securities and cash, and to provide a total return (after fees) that exceeds the Benchmark measured throughout a market cycle. |
| Devon Artesian Green & Sustainable Bond Fund (NZD) | DEVONAGSBF | Devon Funds Management | Nil | 0.00% / 0.00% | Nil | 0.59% | 0.29% | 0.88% | https://devonfunds.co.nz/devon-funds-product-disclosure-statement | The strategy for the Fund is to invest in a diversified portfolio of Australian and international Green, Social and Sustainable Bonds that have been screened in accordance with Artesian's screening processes. The Fund obtains this exposure through investment in the Artesian Green and Sustainable Bond Fund (AUD) managed by Artesian Corporate Bond Pty Ltd. |
| Dimensional Five-Year Diversified F/I AUD | DFA0108.AU | Dimensional Fund Advisors (Australia) | Nil | 0.05% / 0.05% | Nil | 0.28% | 0.29% | 0.57% | https://au.dimensionalfunds.com/funds | The Trust may suit those investors seeking a liquid, low risk, diversified portfolio that provides exposure to the returns of short-term global fixed interest securities. |
| Dimensional Five-Year Diversified FI NZD | DFA0001 | Dimensional Fund Advisors (Australia) | Nil | 0.05% / 0.05% | Nil | 0.28% | 0.29% | 0.57% | https://au.dimensionalfunds.com/funds | The Trust may suit those investors seeking a liquid, low risk, diversified portfolio that provides exposure to the returns of short-term global fixed interest securities. |
| Dimensional GIBI Bond Sustainability AUD | DFA0642.AU | Dimensional Fund Advisors (Australia) | Nil | 0.10% / 0.10% | Nil | 0.35% | 0.29% | 0.64% | https://au.dimensionalfunds.com/funds | The Trust is managed to invest in a broadly diversified portfolio of eligible intermediate term domestic and global fixed interest and money market securities. Within portfolio constraints, including controls on portfolio maturity, security maturity, credit quality and diversification, and adjusted to take into account certain environmental and sustainability impact and social considerations, the objective of the Trust is to maximise the return of the portfolio. The Trust is not managed with the objective of achieving a particular return relative to a benchmark index. |

Managed funds

International fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|---------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Dimensional Gbl Bond Sustainability NZD | DFA6872 | Dimensional Fund Advisors (Australia) | Nil | 0.10% / 0.10% | Nil | 0.35% | 0.29% | 0.64% | https://au.dimensionalfunds.com/funds | The Trust is managed to invest in a broadly diversified portfolio of eligible intermediate term domestic and global fixed interest and money market securities. Within portfolio constraints, including controls on portfolio maturity, security maturity, credit quality and diversification, and adjusted to take into account certain environmental and sustainability impact and social considerations, the objective of the Trust is to maximise the return of the portfolio. The Trust is not managed with the objective of achieving a particular return relative to a benchmark index. |
| Dimensional Global Bond Trust AUD | DFA0028.AU | Dimensional Fund Advisors (Australia) | Nil | 0.10% / 0.10% | Nil | 0.35% | 0.29% | 0.64% | https://au.dimensionalfunds.com/funds | The Trust may suit those investors seeking a liquid, diversified portfolio that provides exposure to the returns of global fixed interest securities. Dimensional's fixed interest portfolios are based on dimensions of expected return that have been identified by academic research. |
| Dimensional Global Bond Trust NZD | DFA0038 | Dimensional Fund Advisors (Australia) | Nil | 0.10% / 0.10% | Nil | 0.35% | 0.29% | 0.64% | https://au.dimensionalfunds.com/funds | The Trust may suit those investors seeking a liquid, diversified portfolio that provides exposure to the returns of global fixed interest securities. Dimensional's fixed interest portfolios are based on dimensions of expected return that have been identified by academic research. |
| Dimensional Two-Year Sustainability Fixed Interest Trust NZD | DFA0045 | Dimensional Fund Advisors (Australia) | Nil | 0.05% / 0.05% | Nil | 0.25% | 0.29% | 0.54% | https://au.dimensionalfunds.com/funds | Within the risk constraints of investing in eligible short-term, Investment Grade securities, and adjusted to take into account certain environmental and sustainability impact and social considerations, the objective of the Trust is to maximise the return of a broadly diversified portfolio of domestic and global fixed interest securities. |

Managed funds

International fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|-------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Evidential Sustainable Global Bond Fund | ESGBF | Consilium NZ Ltd | Nil | 0.10% / 0.10% | Nil | 0.32% | 0.29% | 0.61% | https://www.evidential.co.nz/funds/ | The Fund provides investors with exposure to a diversified portfolio of intermediate term global fixed interest and money market securities whilst taking into account certain environmental and social considerations. The Fund aims to hedge all foreign currency exposure to the New Zealand dollar. |
| Fisher Funds BondPlus Fund | ZU200137 | Fisher Funds Management Ltd | Nil | 0.00% / 0.00% | Nil | 1.20% | 0.29% | 1.49% | https://fisherfunds.co.nz/investing/resources/product-disclosure-statements | The fund aims to provide moderate protection for your investment while also generating modest returns over the long term by investing in international fixed interest assets. |
| Fisher Funds Income Fund | FISHERHIF | Fisher Funds Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.93% | 0.29% | 1.22% | https://fisherfunds.co.nz/investing/resources/product-disclosure-statements | The Fisher Funds High Income Fund gives New Zealand investors access to a diverse and high quality portfolio of fixed interest securities. The Fund aims to produce positive medium to long term returns by investing in handpicked investments issued by governments and corporate from around the world. Investments are only ever selected once they have passed the strict solvency, liquidity and profitability criteria. |
| Hunter Global Fixed Interest Fund | HUNTERGFIFPI E | Harbour Asset Management Ltd | Nil | 0.00% / 0.10% | Nil | 0.46% | 0.29% | 0.75% | https://hunterinvestments.co.nz/hunter-fund/ | Actively managed by PIMCO with mandate consistent with PIMCO's flagship fund, optimised for NZ investors. Benchmarked against the Barclays Global Aggregate Bond Index, hedged to \$NZ. Responsible Investment filters. |
| iShares Australian Bond Index | BGL0105.AU | BlackRock Investment Mgmt (AUS) Ltd | Nil | 0.05% / 0.05% | Nil | 0.21% | 0.29% | 0.50% | https://www.blackrock.com/au/intermediaries/products/investment-funds | The Fund aims to provide investors with the performance of the market, before fees, as measured by the Bloomberg AusBond Composite IndexSM. |

Managed funds

International fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|---------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Mercer Ethical Leaders Hedged Global Fixed Interest Index Fund (MIF PQ) | AMPI0137 | Mercer (NZ) Ltd | Nil | 0.16% / 0.06% | Nil | 0.43% | 0.29% | 0.72% | https://www.macquarie.com/ | The fund gives you access to a multi-manager approach to investing in global bond markets. It invests in a diversified range of international government securities, government related securities, corporate securities, asset-backed securities and hybrid securities in both developed and emerging markets around the globe, managed within a sustainable and responsible framework. |
| Mercer Macquarie Global Income Opportunities Fund - MWQ3 | AMPI0125 | Mercer (NZ) Ltd | Nil | 0.13% / 0.13% | Nil | 1.24% | 0.29% | 1.53% | https://www.multimanager.mercer.co.nz/fund-facts/investments-funds.html | The fund aims to outperform the Bloomberg AusBond Bank Bill Index (Benchmark) over the medium term (before fees). It also aims to provide higher income returns than traditional cash investments regardless of interest rates or economic cycles. |
| Metrics Master Income Trust | MXT.AU | Metrics Credit Partners Pty Ltd | Nil | 0.00% / 0.00% | Metrics is entitled to a performance related fee of an amount equal to 15% of the difference between the return on each unit in the relevant fund and the hurdle applicable to that fund. | 0.61% | 0.29% | 0.90% | https://metrics.com.au/pds.pdf | The Trust's Investment Objective is to provide monthly cash income, low risk of capital loss and portfolio diversification by gaining exposure to actively managed diversified loan portfolios and participating in Australia's bank-dominated corporate loan market. The Manager seeks to implement active strategies designed to balance delivery of the Target Return, while seeking to preserve investor capital. |
| Milford Trans-Tasman Bond Fund | MAMTTBF | Milford Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.65% | 0.29% | 0.94% | https://milfordasset.com/what-we-offer/investment-funds | Primarily invests in trans-Tasman fixed interest securities. |
| Nikko AM Global Bond Fund | TYNDALLGFI | Nikko Asset Management NZ Ltd | Nil | 0.00% / 0.00% | Nil | 0.80% | 0.29% | 1.09% | https://www.nikkoam.co.nz/ | Managed by Goldman Sachs Asset Management Australia Pty Ltd (GSAM). The Fund aims to provide investors with regular income by constructing an actively managed investment portfolio of permitted investments, with the potential for capital gain from global fixed interest markets. |

Managed funds

International fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|--|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Premium Asia Income | MAQ0782.AU | Premium China Funds Management Pty Ltd | Nil | 0.50% / 0.50% | Nil | 1.13% | 0.29% | 1.42% | https://www.premiumcinafunds.com.au/funds/ | The Fund provides exposure to a concentrated portfolio of fixed interest securities issued by companies in Asia and the Middle East. The Fund may also invest in cash, money market instruments and derivatives including over-the-counter participatory notes and foreign exchange contracts. |
| QuayStreet AM Fixed Interest Fund | QUAYFI | QuayStreet Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.77% | 0.29% | 1.06% | https://quaystreet.com/our-funds/fixed-interest-fund | A diversified portfolio of fixed interest and derivative investments with an emphasis on corporate bonds. The Fund targets investment grade securities which may be spread across multiple issuers, sectors, maturities and regions to provide an appropriate level of risk diversification. International investments are hedged back to NZ dollars. |
| Russell Investments Global Fixed Interest Fund - PIE | RIFGFI | FundRock NZ Limited | Nil | 0.25% / 0.25% | Nil | 0.41% | 0.29% | 0.70% | https://iisolutions.co.nz/fund-hosting/our-funds/ | The Russell Investments Global Fixed Interest provides exposure to a global fixed interest portfolio managed actively on a multi-manager basis. The Fund's underlying investment exposure comprises of a diversified range of fixed interest investments, including international government securities, government-related securities, corporate securities, asset-backed securities and hybrid securities in both developed and emerging markets around the globe. |
| Simplicity Hedged Global Bond Fund | SKGBHF | Simplicity (NZ) Ltd | Nil | 0.00% / 0.00% | Nil | 0.15% | 0.29% | 0.44% | https://simplicitykiwi-assets.s3-accelerate.amazonaws.com/public/Uploads/1fd176433/Product-Disclosure-Statement-Simplicity-Investment-Funds-27-October-2023.pdf | The Hedged Global Bond Fund invests mostly in government bonds in developed markets, designed to generate a return similar to the performance of the Bloomberg MSCI Global Aggregate SRI Exclusions Float Adjusted Index (hedged into New Zealand dollars) and to be the hedged global bond component of a diversified investment portfolio |

Managed funds

International fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| SuperLife Invest Global Aggregate Bond Fund | SPLIGAB | Smartshares Limited | Nil | 0.00% / 0.00% | Nil | 0.49% | 0.29% | 0.78% | https://www.superlife.co.nz/investment | To track the return (before tax, fees and other expenses) on the Bloomberg Barclays Global Aggregate Bond Index, 100% hedged to the New Zealand dollar. |
| SuperLife Invest Overseas Bonds Fund | SPLIOSBO | Smartshares Limited | Nil | 0.00% / 0.00% | Nil | 0.49% | 0.29% | 0.78% | https://www.superlife.co.nz/investment | The Overseas Bonds Fund is designed to provide a return (after tax, fees and other expenses) that reflects the after-tax return on international bond markets of developed economies. |
| Vanguard Etclly Cons Glb Agg Bd IdxHAUDH | VAN2989.AU | Vanguard Investments Australia Ltd | Nil | 0.14% / 0.14% | Nil | 0.28% | 0.29% | 0.57% | https://www.vanguard.com.au/adviser/products/en/list/overview | Vanguard Ethically Conscious Global Aggregate Bond Index Fund (Hedged) - AUD Hedged seeks to track the return of the Bloomberg Barclays MSCI Global Aggregate SRI Exclusions Float Adjusted Index Hedged into Australian dollars before taking into account fees, expenses and tax. |
| Vanguard Etclly Cons Glb Agg Bd IdxHNZDH | VAN1233 | Vanguard Investments Australia Ltd | Nil | 0.13% / 0.13% | Nil | 0.28% | 0.29% | 0.57% | https://www.vanguard.com.au/adviser/products/en/list/overview | Vanguard Ethically Conscious Global Aggregate Bond Index Fund (Hedged) - NZD Hedged seeks to track the return of the Bloomberg Barclays MSCI Global Aggregate SRI Exclusions Float Adjusted Hedged into New Zealand dollars Index before taking into account fees, expenses and tax. |

Managed funds

Listed property

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|---------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| APN AREIT PIE Fund | APNREITPIE | FundRock NZ Limited | Nil | 0.00% / 0.00% | Nil | 1.08% | 0.29% | 1.37% | https://iisolutions.co.nz/fund-hosting/our-funds/ | The APN AREIT PIE Fund is an income focused, property securities fund that invests in the award-winning APN AREIT Fund portfolio of listed Australian Real Estate Investment Trusts (AREITs). |
| Dexus AREIT | APN0008.AU | Dexus | Nil | 0.15% / 0.15% | Nil | 0.85% | 0.29% | 1.14% | https://apngroup.com.au/unlisted-funds/ | The APN AREIT Fund is an income focused, award winning property securities fund that invests in a portfolio of listed Australian Real Estate Investment Trusts (AREITs). |
| Dexus Asian REIT | APN0023.AU | Dexus | Nil | 0.15% / 0.15% | Nil | 0.98% | 0.29% | 1.27% | https://apngroup.com.au/unlisted-funds/ | The APN Asian REIT Fund is an income focused property securities fund that invests in a portfolio of listed Asian Real Estate Investment Trusts (REITs). |
| Dimensional Global Real Estate NZD Hdg | DFA0044 | Dimensional Fund Advisors (Australia) | Nil | 0.12% / 0.12% | Nil | 0.40% | 0.29% | 0.69% | https://au.dimensionalc.com/funds | Under normal circumstances, the Trust will invest in accordance with the following target allocation range: Real estate securities 100%. |
| Dimensional Global Real Estate Trust | DFA0005.AU | Dimensional Fund Advisors (Australia) | Nil | 0.10% / 0.10% | Nil | 0.37% | 0.29% | 0.66% | https://au.dimensionalc.com/funds | The Trust may suit those investors seeking exposure to a diversified portfolio of real estate securities listed on developed markets (including Australia) and emerging markets with the potential for long-term capital growth. Investors in this Trust will be exposed to share market volatility. |

Managed funds

Listed property

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|-------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Fiducian Property Securities Fund | FPS0007.AU | Fiducian Group Ltd | Nil | 0.20% / 0.20% | Nil | 1.07% | 0.29% | 1.36% | https://www.fiducian.com.au/resources/fiducian-product-disclosure-statements/ | The Fiducian Property Securities Fund invests in a portfolio of property securities managed by a diversified group of specialist property managers. These fund managers invest in property trusts and other property securities (where underlying assets are properties or property related businesses) mainly on the Australian Stock Exchange. Where permitted, fund managers may invest on foreign listed markets and in unlisted securities. Property investments are capable of providing attractive levels of income with a small amount of growth in the value of capital invested. Listed property trusts have generally been found to deliver returns that are on average below the returns from shares, but which also tend to exhibit lower variance in price during share market declines. |
| Harbour Real Estate Investment Fund | HARREI | Harbour Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.65% | 0.29% | 0.94% | https://www.harbourasset.co.nz/our-funds/ | The Fund will predominantly be invested in sustainable dividend generating companies, which derive their economic value from owning traditional real estate assets with appropriate financial and governance structures in place. In addition, the Fund may invest in shares of companies that derive their economic value from the control of real estate assets, but don't meet the strict definition of listed property securities or REITS. |
| iShares Australian Listed Property Index | BGL0108.AU | BlackRock Investment Mgmt (AUS) Ltd | Nil | 0.08% / 0.08% | Nil | 0.20% | 0.29% | 0.49% | https://www.blackrock.com/au/intermediaries/products/investment-funds | The Fund aims to provide investors with the performance of the market, before fees, as measured by the S&P/ASX 300 A-REIT TR Index (index). To achieve its investment objective the Fund invests substantially all of its assets in units of the iShares Wholesale Australian Listed Property Index Fund (underlying fund), another fund managed by the company that has the same investment strategy as the Fund. The underlying fund invests in the Australian real estate investment trusts (REITs) that form the index. |

Managed funds

Listed property

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|------------------------------------|-----------------|---------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Kernel Global Green Property Fund | KWLGGP | Kernel Wealth Ltd | Nil | 0.00% / 0.00% | Nil | 0.25% | 0.29% | 0.54% | https://kernelwealth.co.nz/funds/ | Using the independent assessment of GRESB, this fund invests in companies owning and operating real estate. This ranges from traditional office and retail to residential providers in the US, Japan and Europe, to more specialised real estate including storage companies, hospital owners and hotels. The sustainability dimension is recognised through overweighting those companies with relatively high GRESB scores and underweighting those with lower or zero scores. This fund tracks the Dow Jones Global Select Green RESI and provides long-term capital growth and income generation. |
| Kernel NZ Commercial Property Fund | KWLCPF | Kernel Wealth Ltd | Nil | 0.00% / 0.00% | Nil | 0.25% | 0.29% | 0.54% | https://kernelwealth.co.nz/documents | The fund aims to provide a gross return that closely matches the return on the S&P/NZX Real Estate Select Index. The Fund is passively managed and invests in financial products (REITS) listed on the NZX. |
| Mint Australasian Property Fund | MINTREIT | Mint Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.95% | 0.29% | 1.24% | https://www.mintasset.co.nz/our-funds/funds-overview/ | This is a single asset class Fund, which typically invests in New Zealand and Australian listed property securities. The Fund has an investment objective of outperforming the S&P/NZX All Real Estate (Industry Group) Gross Index by 1% per annum, before fees, over the medium to long-term. |
| Pathfinder Global Property Fund | PATHFINDERG PF | Pathfinder Asset Management Ltd | Nil | 0.05% / 0.05% | Nil | 1.00% | 0.29% | 1.29% | https://pathfinder.kiwi/managed-funds/ | The Fund invests in listed property companies. These are expected to predominantly own industrial, office and retail property but can include other activities (such as trading and development) and other types of real estate assets (such as residential property, medical facilities and storage units). |

Managed funds

Listed property

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|---------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Resolution Capital Global Property Secs | WHT0015.AU | Resolution Capital Ltd | Nil | 0.20% / 0.20% | 20% of the Fund's outperformance of the Benchmark net of the management fee and expenses. | 0.80% | 0.29% | 1.09% | https://rescap.com/funds/ | The Fund primarily invests in global listed REITs and real estate securities that derive most of their returns from rental income. The Fund's investments provide exposure to a range of underlying real estate from around the world including office buildings, shopping centres, industrial warehouses, residential communities, data centres, cell towers, hotels and healthcare facilities. The Fund may also have exposure to companies which undertake activities such as real estate development, real estate construction contracting and real estate funds management activities. |
| Salt Enhanced Property Fund | SALTEPF | Salt Investment Funds Ltd | Nil | 0.20% / 0.20% | Nil | 1.06% | 0.29% | 1.35% | https://www.saltfunds.co.nz/salt-enhanced-property-fund | The Salt Enhanced Property Fund targets a portfolio of shares of New Zealand and Australian property trusts, companies and other property related securities with exposure to commercial, residential, retail, tourism, industrial, medical, educational, rural, retirement, and other property sectors. The Fund may also, at our discretion, short sell securities, hold cash, lever its assets and utilise active currency management to generate returns. |
| Salt Sustainable Global Listed Property Fund | SALTSGLPF | Salt Investment Funds Ltd | Nil | 0.20% / 0.20% | Nil | 1.15% | 0.29% | 1.44% | https://www.saltfunds.co.nz/ | Aims to exceed the total return of its benchmark, the FTSE EPRA/NAREIT Developed Index Net Total Return Index in New Zealand dollars on a rolling three year basis, by investing predominantly in a diversified portfolio of Real Estate Investment Trusts (REITs) and other publicly traded real estate companies located around the world, including both developed and emerging markets with strong ESG credentials. |
| SuperLife Invest Global Property Fund | SPLIGPR | Smartshares Limited | Nil | 0.00% / 0.00% | Nil | 0.48% | 0.29% | 0.77% | https://www.superlife.co.nz/investment | The Property Fund is designed to provide a return (after tax, fees and other expenses) that reflects the after-tax return on New Zealand and international property markets. |

Managed funds

Listed property

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|-----------------------------------|-----------------|---------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| SuperLife Invest NZ Property Fund | SPLINZPR | Smartshares Limited | Nil | 0.00% / 0.00% | Nil | 0.49% | 0.29% | 0.78% | https://www.superlife.co.nz/investment | Invests in New Zealand property and designed to track the return (before tax, fees and other expenses) on the S&P/NZX Real Estate Select Index. |

Managed funds

New Zealand fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|-------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Harbour NZ Core FI Fund | HARCFI | Harbour Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.50% | 0.29% | 0.79% | https://www.harbourasset.co.nz/our-funds/ | The Fund is an actively managed investment grade bond fund that invests mainly in New Zealand government and corporate fixed interest securities. |
| Harbour NZ Corporate Bond Fund | ABACUS5 | Harbour Asset Management Ltd | Nil | 0.00% / 0.00% | No Fee | 0.23% | 0.29% | 0.52% | https://www.harbourasset.co.nz/our-funds/ | The Fund provides access to favourable income yields through a diversified portfolio of primarily investment grade corporate bond fixed interest securities. |
| Mercer Macquarie NZ Fixed Interest Fund - MIF F | FA026XP | Mercer (NZ) Ltd | Nil | 0.10% / 0.10% | Nil | 0.38% | 0.29% | 0.67% | https://www.macquarieim.com/ | The fund is a diversified portfolio of primarily investment grade quality corporate and government securities. Derivatives are frequently utilised to manage risk. The portfolio is structured to add value through credit risk management and interest rate management. The portfolio may also contain a modest amount of foreign currency fixed interest securities, 100% hedged back to New Zealand dollars. Environmental, social and governance characteristics are integrated into the investment process. |
| Mercer Macquarie NZ Short Duration Fund MIF Y | AMP0109 | Mercer (NZ) Ltd | Nil | 0.05% / 0.05% | Nil | 0.50% | 0.29% | 0.79% | https://www.multimanager.mercer.co.nz/fund-facts/investments-funds.html | The fund aims to give investors access to a diversified portfolio of investment grade fixed income securities, while limiting the amount of interest rate risk. Its risk return profile should place it between a cash portfolio and a traditional fixed income portfolio. |
| Nikko AM NZ Bond Fund | TYNDALLFI | Nikko Asset Management NZ Ltd | Nil | 0.00% / 0.00% | Nil | 0.65% | 0.29% | 0.94% | https://www.nikkoam.co.nz/ | Aims to provide investors with regular income by constructing an actively managed investment portfolio of New Zealand bonds, deposits and cash with the potential for capital gain from New Zealand dollar fixed interest markets. |
| Nikko AM NZ Corporate Bond Fund | TYNDALLCBF | Nikko Asset Management NZ Ltd | Nil | 0.00% / 0.00% | Nil | 0.70% | 0.29% | 0.99% | https://www.nikkoam.co.nz/ | Aims to generate income by constructing an actively managed investment portfolio of New Zealand bonds, deposits and cash with the potential for capital gain from New Zealand dollar fixed interest markets. |

Managed funds

New Zealand fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|-------------------------|-----------------|---------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Simplicity NZ Bond Fund | SKNZBOND | Simplicity (NZ) Ltd | Nil | 0.00% / 0.00% | Nil | 0.10% | 0.29% | 0.39% | https://simplicity.kiwi/investment-funds/home/ | 100% NZ government and highly rated bonds denominated in NZ dollars. |
| SuperLife NZ Bonds Fund | SPLINZBO | Smartshares Limited | Nil | 0.00% / 0.00% | Nil | 0.44% | 0.29% | 0.73% | https://www.superlife.co.nz/investment | The NZ Bonds Fund is designed to provide a return (after tax, fees and other expenses) that reflects the after-tax return on the New Zealand bond market. |

Managed funds

Cash and cash equivalents

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|-------------------------------------|-----------------|-------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Harbour Enhanced Cash Fund | HARECF | Harbour Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.15% | 0.29% | 0.44% | https://www.harbourasset.co.nz/our-funds/ | The Fund principally holds cash or cash like securities and seeks to enhance returns through active interest rate management. It may also hold NZ dollar Government Stock, investment grade bonds and bank deposits. |
| Kernel Cash Plus Fund | KWLCSHPLS | Kernel Wealth Ltd | Nil | 0.00% / 0.00% | Nil | 0.25% | 0.29% | 0.54% | https://kernelwealth.co.nz/documents | The Fund is actively managed and aims to achieve returns comparable to the Bloomberg NZ Bond Bank Bill Index by investing in cash, selected cash equivalents, and selected short-term New Zealand fixed interest-bearing assets. These kinds of investments will typically be stable in value but may have short periods of negative performance. |
| Mercer Macquarie NZ Cash Fund MIF D | AMPI0122 | Mercer (NZ) Ltd | Nil | 0.00% / 0.00% | Nil | 0.28% | 0.29% | 0.57% | https://www.multimanager.mercer.co.nz/fund-facts/investments-funds.html | The fund is an actively managed portfolio of bank bills, floating rate notes and short term deposits and securities, with a maximum weighted average duration not exceeding six months. |
| Nikko AM NZ Cash Fund | NIKKONZC | Nikko Asset Management NZ Ltd | Nil | 0.00% / 0.00% | Nil | 0.30% | 0.29% | 0.59% | https://www.nikkoam.co.nz/ | Aims to generate income by constructing an actively managed investment portfolio of short-term deposits and bonds whilst preserving capital value. |

Managed funds

Other

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--------------------------|-----------------|-----------------------------------|------------------------|-------------------|--|----------------------------------|-------------------|---|---|---|
| Castle Point Ranger Fund | CASTPRF | Castle Point Funds Management Ltd | Nil | 0.00% / 0.00% | The performance fee is 15% of any return above NZ Official Cash Rate + 5% per annum (after all fees but before tax) with a perpetual high water mark | 1.05% | 0.29% | 1.34% | https://www.castlepointfunds.com/investment-solutions | The Fund can invest in a broad range of New Zealand and Australian assets, including cash, debt instruments, equities, derivatives and other assets. |
| Fiducian Growth Fund | FPS0004.AU | Fiducian Group Ltd | Nil | 0.20% / 0.20% | 10% to 20% of the net return of the underlying investments in excess of the benchmark | 1.07% | 0.29% | 1.36% | https://www.fiducian.com.au/resources/fiducian-product-disclosure-statements/ | The Fiducian Growth Fund has a large allocation to growth style assets and is diversified between managers and countries, utilising the Fiducian "Manager the Manager" system that aims to achieve superior returns with reduced risk. Over the long term, the Fund is expected to generate higher returns than funds with a lower allocation to growth assets, but will also be exposed to capital losses when markets turn down. The Fund is suitable for investors seeking good long term capital growth with possible short term volatility. The recommended holding period is at least 5 to 7 years. There is no minimum investment. |
| Harbour Income Fund | HARRIN | Harbour Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.60% | 0.29% | 0.89% | https://www.harbourasset.co.nz/our-funds/ | The Income Fund is diversified, with holdings across different asset classes. There is flexibility for the team to change the allocation of assets to proactively mitigate risks and take advantage of opportunities across market cycles. This fund contains both equities and fixed interest underlyings. |

Managed funds

Other

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|-------------------------------|------------------------|-------------------|--|----------------------------------|-------------------|---|---|---|
| Harbour Sustainable Impact Fund | HARSIM | Harbour Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.90% | 0.29% | 1.19% | https://www.harbourasset.co.nz/our-funds/sustainable-impact-fund/ | The Fund is designed to provide investors with exposure to a diversified range of global and domestic investments which make a positive environmental or social impact. All investments are assessed against the United Nations Sustainable Development Goals (SDGs). The Fund invests approximately 60% in growth assets such as impact global and domestic public and private equities and approximately 40% into more defensive assets, predominantly impact and green bonds that meet our research criteria. The Manager will use active management to enhance returns and manage downside risks. |
| Janus Henderson Global Multi-Strat Instl | HGI1794.AU | Janus Henderson Investors Ltd | Nil | 0.00% / 0.00% | 20% p.a. of the Fund's daily outperformance of the Current Day NAV per Unit relative to the Hurdle and the previous day NAV, subject to the High Water Mark. | 0.91% | 0.29% | 1.20% | https://www.janushenderson.com/en-au/investor/product/janus-henderson-global-multi-strategy-fund/ | The Fund seeks to provide positive absolute returns with low to moderate volatility and low correlation to both traditional and alternative asset classes. A typical investor will invest into this Fund to seek an absolute return through global markets and specifically through a global multi-strategy fund. We invest in a diversified set of strategies at a bottom-up level, including convertible arbitrage, event-driven, price pressure, risk transfer and equity market neutral, combined with a top-down portfolio protection strategy. |
| Janus Henderson Global Multi-Strategy | HGI4648.AU | Janus Henderson Investors Ltd | Nil | 0.00% / 0.00% | The performance fee is calculated as 20% of the Fund's daily outperformance of the Current Day NAV per Unit relative to the Hurdle and the previous day NAV, subject to the High Water Mark. | 1.11% | 0.29% | 1.40% | https://www.janushenderson.com/en-au/investor/product/janus-henderson-global-multi-strategy-fund/ | The Fund is designed to provide positive absolute returns, with low to moderate volatility and low correlation to both traditional and alternative asset classes. The Fund invests in a diversified set of strategies at a bottom-up level, including convertible arbitrage, event driven, price pressure, risk transfer and equity market neutral, combined with a top-down protection strategy. |

Managed funds

Other

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|-------------------------------------|-----------------|-----------------------------------|------------------------|-------------------|--|----------------------------------|-------------------|---|---|---|
| Man AHL Alpha (AUD) B | MAN0017.AU | Man Investments Australia Limited | Nil | 0.00% / 0.00% | The Fund itself does not charge a performance fee. However, the Underlying Fund charges a performance fee of up to 25% of any outperformance, net of management fee and subject to any previous high-water mark. | 1.18% | 0.29% | 1.47% | https://www.man.com/products/man-ahl-alpha-aud-class-b | The Fund aims to generate medium to long term returns primarily by identifying and taking advantage of upward and downward price trends through a varied portfolio of investments which may include trading in futures, options, forward contracts, contracts for difference, equities, debt, swaps and other derivatives, both on and off exchange, using the AHL Alpha Program. |
| Mercer Income Generator Fund - MIGF | AMPI0129 | Mercer (NZ) Ltd | Nil | 0.16% / 0.13% | Nil | 0.87% | 0.29% | 1.16% | https://www.multimanager.mercer.co.nz/fund-facts/investments-funds.html | The fund seeks to provide a regular and stable income combined with long term capital growth, investing in a diversified mix of income producing growth and defensive assets, and has the flexibility to alter the asset allocation across multiple sectors to capitalise on opportunities as they arise. |
| Milford Active Growth Fund | MAMAF | Milford Asset Management Ltd | Nil | 0.00% / 0.00% | +0.2% when fund outperforms benchmark | 1.05% | 0.29% | 1.34% | https://milfordasset.co.m/what-we-offer/investment-funds | Diversified fund that primarily invests in equities, with a moderate allocation to fixed interest securities. |
| Milford Balanced Fund | MAMBF | Milford Asset Management Ltd | Nil | 0.00% / 0.00% | Balanced does not directly charge a performance fee, but it may incur a performance as it may invest in related Milford funds that have performance fees. | 1.05% | 0.29% | 1.34% | https://milfordasset.co.m/what-we-offer/investment-funds | Diversified fund that primarily invests in equities, with a significant allocation to fixed interest securities. |

Managed funds

Other

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---------------------------------------|-----------------|-------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Milford Conservative Fund | MAMCF | Milford Asset Management Ltd | Nil | 0.00% / 0.00% | +0.02% when fund outperforms benchmark | 0.95% | 0.29% | 1.24% | https://milfordasset.com/what-we-offer/investment-funds | Diversified fund that primarily invests in fixed interest securities, with a moderate allocation to equities. |
| Milford Diversified Income Fund | MAMIF | Milford Asset Management Ltd | Nil | 0.00% / 0.00% | +0.25% when fund outperforms benchmark | 0.65% | 0.29% | 0.94% | https://milfordasset.com/what-we-offer/investment-funds | Diversified fund that primarily invests in fixed interest and equity income-generating securities. |
| Mint Diversified Growth Fund | MINTDGF | Mint Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 1.23% | 0.29% | 1.52% | https://www.mintasset.co.nz/our-funds/funds-overview/ | This Fund aims to provide capital growth over the long-term. The Fund invests primarily in New Zealand and international equities, but will also hold cash and fixed interest securities. The objective of the Fund is to deliver returns in excess of the Consumers Price Index (CPI) by 4.5% per annum, before fees, over the medium to long-term. The relevant market index for the Fund is a composite index derived from the underlying asset classes of the Fund |
| Mint Diversified Income Fund (Retail) | MINTDIF | Mint Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.95% | 0.29% | 1.24% | https://www.mintasset.co.nz/our-funds/funds-overview/ | The Fund aims to deliver a total return (through capital growth and income) in excess of the Consumer Price Index by 3% on a rolling three year basis in New Zealand dollar terms. This fund contains both equities and fixed interest underlyings. |
| Nikko AM Income Fund | TYNDALLIF | Nikko Asset Management NZ Ltd | Nil | 0.10% / 0.10% | Nil | 0.80% | 0.29% | 1.09% | https://www.nikkoam.co.nz/ | Aims to provide investors with regular income from an actively managed investment portfolio. The Fund invests in NZ fixed interest, Australasian equities and property and multiple Nikko AM Funds. Equities with a good dividend stream and a focus on growing value over time are selected as an attractive alternative to fixed income securities. |

Managed funds

Other

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|---------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| QuayStreet Income Fund | QUAYINCO | QuayStreet Asset Management Ltd | Nil | 0.00% / 0.00% | Nil | 0.71% | 0.29% | 1.00% | https://quaystreet.com/our-funds/overview | The QuayStreet Income Fund invests in a diversified portfolio with an emphasis on income producing assets which may include listed property, equities and derivatives. The investment objective is to provide returns above the Fund's benchmark taking into account the prevailing shortterm interest rates while preserving the real value of investments relative to inflation. The Fund aims to make quarterly distributions. |
| Salt Sustainable Growth Fund | SALTSGF | Salt Investment Funds Ltd | Nil | 0.20% / 0.20% | Nil | 1.03% | 0.29% | 1.32% | https://www.saltfunds.co.nz/ | Aims to outperform the RBNZ's CPI by +5% p.a. on a rolling five year basis by investing in a diversified mix of growth and defensive assets with a focus on securities with strong ESG credentials. |
| Salt Sustainable Income Fund | SALTSIF | Salt Investment Funds Ltd | Nil | 0.20% / 0.20% | Nil | 0.93% | 0.29% | 1.22% | https://www.saltfunds.co.nz/ | Aims to provide a gross fixed quarterly income in excess of bank deposit rates, along with a positive return on capital on a rolling three year basis by investing in a diversified mix of growth and defensive assets, with a focus on securities with strong Environmental, Social and Governance (ESG) credentials and reliable income generation. |
| Simplicity Balanced Investment Fund | SKBF | Simplicity (NZ) Ltd | Nil | 0.00% / 0.00% | Nil | 0.31% | 0.29% | 0.60% | https://simplicity.kiwi/investment-funds/home/ | The Balanced Fund provides investors with an exposure to a mix of growth investments (eg. Shares) and income earning investments. The Fund has over 3,000 investments in 23 countries. |
| Simplicity Conservative Investment Fund | SKCF | Simplicity (NZ) Ltd | Nil | 0.00% / 0.00% | Nil | 0.31% | 0.29% | 0.60% | https://simplicity.kiwi/investment-funds/home/ | The Conservative Fund provides investors with a limited exposure to growth assets, with most of its investments in income earning investments like fixed interest. The Fund has over 3,000 investments in 23 countries. |

Listed securities

Australasian equities

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|---|-----------------|---------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| BetaShares Australia Sustainability Leaders ETF | FAIR.AU | BetaShares Capital Ltd | N/A | N/A | Nil | 0.49% | 0.29% | 0.78% | https://www.betashares.com.au/fund-list/fund-overview/ | FAIR aims to track the performance of an index (before fees and expenses) that includes Australian companies that have passed screens to exclude companies with direct or significant exposure to fossil fuels or engaged in activities deemed inconsistent with responsible investment considerations. |
| Global X S&P/ASX 200 High Dividend ETF | ZYAU.AU | ETFS Management (AUS) Ltd | N/A | N/A | Nil | 0.24% | 0.29% | 0.53% | https://www.etfsecurities.com.au/product | ZYAU invests in 50 high-dividend stocks from the S&P/ASX 200 Index. Shareholder yield is a measure of return to investors combining both dividends paid and share buybacks. To be eligible for the index companies must have free cash flow in excess of the amount returned to investors and must have non-negative dividend per share growth. The index is weighted by a measure combining both market capitalisation and shareholder yield. |
| iShares MSCI Australia Index Fund | EWA.US | BlackRock Inc | N/A | N/A | Nil | 0.50% | 0.29% | 0.79% | https://www.ishares.com/us/products/etf-investments | The investment seeks to track the investment results of the MSCI Australia Index composed of Australian equities. The Fund generally invests at least 90% of its assets in the securities of its underlying index and in depositary receipts representing securities in its underlying index. The underlying index primarily consists of stocks traded on the Australian Stock Exchange. It will include large- and mid-capitalisation companies and may change over time. The Fund is non-diversified. |
| iShares MSCI New Zealand Capped ETF | ENZL.US | BlackRock Inc | N/A | N/A | Nil | 0.50% | 0.29% | 0.79% | https://www.ishares.com/us/products/239672/ishares-msci-new-zealand-capped-etf | The iShares MSCI New Zealand ETF seeks to track the investment results of the MSCI New Zealand IMI 25/50 Index, which is a free float-adjusted market capitalization-weighted index that is designed to measure the performance of the large-, mid- and small capitalization segments of the equity market in New Zealand. |

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Australasian equities

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| SPDR S&P/ASX 200 Fund | STW.AU | SPDR State Street Global Advisors | N/A | N/A | Nil | 0.13% | 0.29% | 0.42% | https://www.ssga.com/us/en/intermediary/etfs/fund-finder | The SPDR S&P/ASX 200 Fund, seeks to closely match, before fees and expenses, the returns of the S&P/ASX 200 Index. Low-cost exposure to over 90% of the Australian equity market capitalisation in a single transaction - a high level of diversification that can help reduce portfolio risk. |
| Vanguard Australian Shares Index Fund ETF | VAS.AU | Vanguard Investments Australia Ltd | N/A | N/A | Nil | 0.10% | 0.29% | 0.39% | https://www.vanguard.com.au/adviser/products/en/list/overview | The ETF seeks to track the return (income and capital appreciation) of the S&P/ASX 300 Index before taking into account fund fees, expenses, and tax. The S&P/ASX 300 Accumulation Index is a free-float adjusted capitalisation weighted index of approximately 300 Australian equities (shares) representing over 90 per cent of the value of all Australian based companies and property trusts listed on the ASX. |
| Vanguard MSCI Australian Small Companies Index ETF | VSO.AU | Vanguard Investments Australia Ltd | N/A | N/A | Nil | 0.30% | 0.29% | 0.59% | https://www.vanguard.com.au/adviser/products/en/list/overview | The MSCI Australian Shares Small Cap Index is a small capitalisation index generally consisting of the smaller companies on the Australian equity market targeting coverage of around 14% of free float-adjusted market capitalisation of the Australian share market. |

Listed securities

International equities

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|-----------------------------------|-----------------|----------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Adobe Systems Inc | ADBE.US | Adobe Systems Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.adobe.com/investor-relations.html | Adobe Inc. operates as a diversified software company worldwide. Its Digital Media segment provides tools and solutions that enable individuals, small and medium businesses, and enterprises to create, publish, promote, and monetize their digital content. |
| Advanced Micro Devices Inc | AMD.US | Advanced Micro Devices Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://ir.amd.com/ | Advanced Micro Devices, Inc. (AMD) is an American multinational semiconductor company based in Santa Clara, California, that develops computer processors and related technologies for business and consumer markets. While it initially manufactured its own processors, the company later outsourced its manufacturing. AMD's main products include microprocessors, motherboard chipsets, embedded processors, graphics processors, and FPGAs for servers, workstations, personal computers, and embedded system applications. |
| AdvisorShares Gerber Kawasaki ETF | GK.US | AdvisorShares | N/A | N/A | Nil | 0.81% | 0.29% | 1.10% | https://advisorshares.com/etfs/ | The goal of the AdvisorShares Gerber Kawasaki ETF (GK) is to identify growth companies positioned to benefit from transformative changes in our society. GK focuses on multiple investment themes with potential widespread impact to create a growth-focused portfolio of large, mid and small cap stocks. To uncover the evolving large-scale trends it invests in, GK looks for sustainable change occurring in demographics, societal attitudes, environmental pressures, geopolitical upheaval, disruptive technologies, and product or service innovation. |

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|-------------------------------------|-----------------|---------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| AdvisorShares Pure Cannabis ETF | YOLO.US | AdvisorShares | N/A | N/A | Nil | 0.88% | 0.29% | 1.17% | https://advisorshares.com/etfs/ | The AdvisorShares Pure Cannabis ETF became the first actively managed ETF with a dedicated cannabis investment mandate domiciled in the United States. The AdvisorShares Pure Cannabis ETF, or YOLO, seeks long-term capital appreciation by investing in both domestic and foreign cannabis equity securities. YOLO is designed to fully-invest for pure cannabis exposure under the guidance of a deeply experienced portfolio management team navigating the emerging cannabis marketplace. |
| Aehr Test Systems | AEHR.US | Aehr Test Systems | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.aehr.com/investor-relations/ | Headquartered in Fremont, California, Aehr Test Systems is a worldwide provider of test systems for burning-in and testing logic, optical and memory integrated circuits and has over 2,500 systems installed worldwide. |
| Affirm Holdings Inc Ordinary Shares | AFRM.US | Affirm Holdings Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.affirm.com/ | Affirm is a publicly traded financial technology company headquartered in San Francisco. Founded in 2012, the company operates as a financial lender of installment loans for consumers to use at the point of sale to finance a purchase. |
| Airbnb Inc | ABNB.US | Airbnb Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://airbnb2020ipo.g4web.com/home/default.aspx | Airbnb Inc. is an American company that operates an online marketplace for lodging, primarily homestays for vacation rentals, and tourism activities. The platform is accessible via website and mobile app. Airbnb does not own any of the listed properties, instead, it profits by receiving commission from each booking. The company was founded in 2008 by Brian Chesky, Nathan Blecharczyk, and Joe Gebbia. |

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|------------------------------|-----------------|-----------------------------------|------------------------|-------------------|--|----------------------------------|-------------------|---|---|---|
| Alibaba Group Holding Ltd | BABA.US | Alibaba Group Holding Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.alibabagro.com/en/ir/home | Alibaba Group Holding Limited, through its subsidiaries, provides online and mobile commerce businesses in the People's Republic of China and internationally. It operates through four segments: Core Commerce, Cloud Computing, Digital Media and Entertainment, and Innovation Initiatives and Others. |
| Allianz Technology Trust PLC | ATT.LN | Allianz Global Investors (UK) Ltd | N/A | N/A | Calculated as 10% of outperformance against the benchmark, after adjusting for changes in share capital and will be capped at 1.75% of the Company's average daily NAV over the relevant year. | 0.74% | 0.29% | 1.03% | https://uk.allianzgi.com/ | The investment objective is to achieve long-term capital growth through investment principally in the equity securities of listed technology companies on a worldwide basis. |
| Alphabet Inc - Class A | GOOGL.US | Alphabet Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://abc.xyz/investor/ | Alphabet Inc. is a holding company. The Company's businesses include Google Inc. (Google) and its Internet products, such as Access, Calico, CapitalG, GV, Nest, Verily, Waymo and X. The Company's segments include Google and Other Bets. The Google segment includes its Internet products, such as Search, Ads, Commerce, Maps, YouTube, Google Cloud, Android, Chrome and Google Play, as well as its hardware initiatives |
| Alphabet Inc - Class C | GOOG.US | Alphabet Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://abc.xyz/investor/ | Alphabet Inc. provides online advertising services in the United States, Europe, the Middle East, Africa, the Asia-Pacific, Canada, and Latin America. It offers performance and brand advertising services. |

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|---|-----------------|--------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Amazon.Com Inc | AMZN.US | Amazon.Com Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://ir.aboutamazon.com/overview/default.aspx | Amazon.com, Inc. engages in the retail sale of consumer products and subscriptions in North America and internationally. The company operates through three segments: North America, International, and Amazon Web Services (AWS). It sells merchandise and content purchased for resale from third-party sellers through physical and online stores. |
| Amplify Transformational Data Sharing ETF | BLOK.US | Amplify ETFs | N/A | N/A | Nil | 0.75% | 0.29% | 1.04% | https://amplifyetfs.com/blok/ | BLOK is an actively managed ETF that seeks to provide total return by investing at least 80% of its net assets in equity securities of companies actively involved in the development and utilization of blockchain technologies. |
| Apple Inc | AAPL.US | Apple Computer Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.apple.com/investor-relations/default.aspx | Apple Inc. designs, manufactures, and markets smartphones, personal computers, tablets, wearables, and accessories worldwide. |
| ARK Genomic Revolution Multi-Sector ETF | ARKG.US | ARK ETF Trust | N/A | N/A | Nil | 0.75% | 0.29% | 1.04% | https://ark-funds.com/ | ARKG is an actively managed ETF that seeks long-term growth of capital by investing under normal circumstances primarily (at least 80% of its assets) in domestic and foreign equity securities of companies across multiple sectors, including health care, information technology, materials, energy and consumer discretionary, that are relevant to the Fund's investment theme of the genomics revolution. |

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|----------------------------------|-----------------|-----------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| ARK Innovation ETF | ARKK.US | ARK ETF Trust | N/A | N/A | Nil | 0.75% | 0.29% | 1.04% | https://ark-funds.com/ | The investment seeks long-term growth of capital. The Fund is an actively-managed exchange-traded fund ("ETF") that will invest under normal circumstances primarily (at least 65% of its assets) in domestic and foreign equity securities of companies that are relevant to the Fund's investment theme of disruptive innovation. Its investments in foreign equity securities will be in both developed and emerging markets. It may invest in foreign securities (including investments in American Depositary Receipts ("ADRs") and Global Depositary Receipts ("GDRs")) and securities listed on local foreign exchanges. The Fund is non-diversified. |
| ARK Next Generation Internet ETF | ARKW.US | ARK ETF Trust | N/A | N/A | Nil | 0.88% | 0.29% | 1.17% | https://ark-funds.com/ | The investment seeks long-term growth of capital. The Fund is an actively-managed exchange-traded fund ("ETF") that will invest under normal circumstances primarily (at least 80% of its assets) in domestic and foreign equity securities of companies that are relevant to the Fund's investment theme of next generation internet. Under normal circumstances, substantially all of the Fund's assets will be invested in equity securities, including common stocks, partnership interests, business trust shares and other equity investments or ownership interests in business enterprises. The Fund is non-diversified. |
| Asia Dragon Trust PLC | DGN.LN | Aberdeen Asset Managers Ltd | N/A | N/A | Nil | 1.24% | 0.29% | 1.53% | https://www.asiadragontrust.co.uk/en-gb/literature | The objective is long-term capital growth through investment in Asia, with the exception of Japan and Australasia. Investments are made primarily in stock markets in the region, principally in large companies. |

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|---|-----------------|-------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Avantis Emerging Markets Equity ETF | AVEM.US | Avantis Investors | N/A | N/A | Nil | 0.33% | 0.29% | 0.62% | https://www.avantisinvestors.com/content/avantis/en/investments.html | The investment seeks long-term capital appreciation by investing primarily in a diverse group of companies related to emerging markets across market sectors, industry groups and countries. The Fund may invest in companies of all market capitalisations. Under normal market conditions, the Fund will invest at least 80% of its assets in equity securities of companies related to emerging market countries. |
| Avantis International Equity ETF | AVDE.US | Avantis Investors | N/A | N/A | Nil | 0.23% | 0.29% | 0.52% | https://www.avantisinvestors.com/content/avantis/en/investments.html | The investment seeks long-term capital appreciation by investing primarily in a diverse group of non-U.S. companies across countries, market sectors and industry groups. It may invest in companies of all market capitalisations. Under normal market conditions, the Fund will invest at least 80% of its assets in equity securities. It also may invest in derivative instruments such as futures contracts, currency forwards, and swap agreements. |
| Avantis International Small Cap Value ETF | AVDV.US | Avantis Investors | N/A | N/A | Nil | 0.36% | 0.29% | 0.65% | https://www.avantisinvestors.com/content/avantis/en/investments.html | The investment seeks long-term capital appreciation by primarily investing in a diverse group of non-U.S. small cap value companies across market sectors, industry groups, and countries. Under normal market conditions, it will invest at least 80% of its assets in securities of small capitalisation companies. The Fund also may invest in derivative instruments such as futures contracts, currency forwards, and swap agreements. |
| Avantis U.S. Small Cap Value ETF | AVUV.US | Avantis Investors | N/A | N/A | Nil | 0.25% | 0.29% | 0.54% | https://www.avantisinvestors.com/content/avantis/en/investments.html | The investment seeks long-term capital appreciation by investing primarily in a diverse group of U.S. small cap companies across market sectors and industry groups. Under normal market conditions, it will invest at least 80% of its assets in securities of small capitalisation companies located in the United States. The Fund also may invest in derivative instruments such as futures contracts, currency forwards, and swap agreements. |

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International equities

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|---|-----------------|-------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Avantis US Equity ETF | AVUS.US | Avantis Investors | N/A | N/A | Nil | 0.15% | 0.29% | 0.44% | https://www.avantisinvestors.com/content/avantis/en/investments.html | The investment seeks long-term capital appreciation by investing primarily in a diverse group of U.S. companies across market sectors and industry groups. It may invest in companies of all market capitalisations. Under normal market conditions, the Fund will invest at least 80% of its assets in equity securities of U.S. companies. It also may invest in derivative instruments such as futures contracts, currency forwards, and swap agreements. |
| Avi Global Trust PLC | AGT.LN | Asset Value Investors | N/A | N/A | Nil | 0.88% | 0.29% | 1.17% | https://www.assetvalueinvestors.com/agt/how-to-invest/investor-information/disclosures/ | To achieve capital growth through a focused portfolio of investments, particularly in companies whose share prices stand at a discount to estimated underlying NAV. Investments are principally in companies listed on recognised stock exchanges in the UK and/or overseas. |
| Bankers Investment Trust | BNKR.LN | Janus Henderson Investors Ltd | N/A | N/A | Nil | 0.86% | 0.29% | 1.15% | https://www.janushenderson.com/en-gb/investor/product | To achieve capital growth in excess of the FTSE World Index and annual dividend growth greater than inflation, by investing in companies listed throughout the world. |
| Berkshire Hathaway Inc B Shares | BRK/B.US | Berkshire Hathaway Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.berkshirehathaway.com/ | Berkshire Hathaway Inc., through its subsidiaries, engages in insurance, freight rail transportation, and utility businesses. It provides property, casualty, life, accident, and health insurance and reinsurance; and operates railroad systems in North America. |
| BetaShares Global Banks ETF - currency hedged | BNKS.AU | BetaShares Capital Ltd | N/A | N/A | Nil | 0.57% | 0.29% | 0.86% | https://www.betashares.com.au/fund-list/fund-overview/ | The aim of the fund is to track the performance of an index (before fees and expenses) that comprises the largest global banks (ex-Australia), hedged into Australian dollars. |
| Betashares Global Cybersecurity ETF | HACK.AU | BetaShares Capital Ltd | N/A | N/A | Nil | 0.67% | 0.29% | 0.96% | https://www.betashares.com.au/fund-list/fund-overview/ | HACK aims to track the performance of an index (before fees and expenses) that provides exposure to the leading companies in the global cybersecurity sector. |

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|--|-----------------|------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| BetaShares Global Healthcare ETF - Currency Hedged | DRUG.AU | BetaShares Capital Ltd | N/A | N/A | Nil | 0.48% | 0.29% | 0.77% | https://www.betashares.com.au/fund-list/fund-overview/ | DRUG aims to track the performance of an index (before fees and expenses) that comprises the largest global healthcare companies (ex-Australia), hedged into Australian dollars. |
| BetaShares Global Sustainability Leaders ETF | ETHI.AU | BetaShares Capital Ltd | N/A | N/A | Nil | 0.59% | 0.29% | 0.88% | https://www.betashares.com.au/fund-list/fund-overview/ | ETHI aims to track the performance of an index (before fees and expenses) that includes a portfolio of large global stocks identified as "Climate Leaders" that have also passed screens to exclude companies with direct or significant exposure to fossil fuels or engaged in activities deemed inconsistent with responsible investment considerations. |
| Blackrock Greater Europe Investment Trust plc | BRGE.LN | BlackRock Inc | N/A | N/A | Nil | 1.20% | 0.29% | 1.49% | https://www.blackrock.com/uk/solutions/investment-trusts/our-range | The objective is the achievement of capital growth, primarily through investment in a focused portfolio constructed from a combination of the securities of large, mid and small capitalisation European companies, together with some investment in the developing markets of Europe. |
| Blackrock World Mining Trust plc | BRWM.LN | BlackRock Inc | N/A | N/A | Nil | 1.09% | 0.29% | 1.38% | https://www.blackrock.com/uk/solutions/investment-trusts/our-range | The Company aims to provide a diversified investment in mining and metal assets worldwide, actively managed with the objective of maximising total returns. |
| Block Inc | SQ.US | Block Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.block.xyz/overview/default.aspx | Square, Inc. provides mobile payment solutions. The Company develops point-of-sale software that helps in digital receipts, inventory, and sales reports, as well as offers analytics and feedback. Square also provides financial and marketing services. |
| ChargePoint Holdings Inc | CHPT.US | ChargePoint Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.chargepoint.com/overview/default.aspx | ChargePoint is an American electric vehicle infrastructure company based in Campbell, California. ChargePoint operates the largest online network of independently owned EV charging stations operating in 14 countries and makes the technology used in it. |

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| Citigroup Incorporated | C.US | Citigroup Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.citigroup.com/citi/investor/ | Citigroup Inc., a diversified financial services holding company, provides various financial products and services to consumers, corporations, governments, and institutions in North America, Latin America, Asia, Europe, the Middle East, and Africa. |
| City of London Investment Trust PLC/The | CTY.LN | Janus Henderson Investors Ltd | N/A | N/A | Nil | 0.85% | 0.29% | 1.14% | https://www.janushenderson.com/en-gb/investor/product | Invests mainly in UK equities with a bias towards large, multinational companies. A conservative management style that prioritises sustainable income and long-term capital growth. Provides UK investors with exposure to global growth through the portfolio's overseas revenues. |
| Cleanspark Inc | CLSK.US | CleanSpark Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.cleanspark.com/ | CleanSpark responsibly develops infrastructure for Bitcoin, an essential tool for financial independence and inclusion. We strive to leave the planet better than we found it by investing in communities that source low-carbon energy, like wind, solar, nuclear, and hydro. |
| Coca-Cola Company | KO.US | The Coca-Cola Company | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.coca-colacompany.com/ | The Coca-Cola Company is an American multinational beverage corporation headquartered in Atlanta, Georgia. The Coca-Cola Company has interests in the manufacturing, retailing and marketing of non-alcoholic beverage concentrates and syrups. The company produces Coca-Cola, invented in 1886 by pharmacist John Stith Pemberton. In 1889, the formula and brand were sold for \$2,300 to Asa Griggs Candler, who incorporated The Coca-Cola Company in Atlanta in 1892. |
| Coinbase Global Inc | COIN.US | Coinbase Global Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.coinbase.com/about | Coinbase Global, Inc., branded Coinbase, is an American publicly traded company that operates a cryptocurrency exchange platform. Coinbase is a distributed company; all employees operate via remote work. It is the largest cryptocurrency exchange in the United States by trading volume |

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|---------------------------------|-----------------|---------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Constellation Software Inc | CSU.CN | Constellation Software Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.csisoftware.com/ | Constellation Software is a Canadian diversified software company. It is based in Toronto, Canada, is listed on the Toronto Stock Exchange, and is a constituent of the S&P/TSX 60. The company's business strategy is to acquire software companies, and then hold them for the long term. |
| Costco Wholesale Corp | COST.US | Costco Wholesale Corporation | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.costco.com/ | Costco is an American multinational corporation which operates a chain of membership-only big-box retail stores. As of 2020, Costco was the fifth largest retailer in the world, and the world's largest retailer of choice and prime beef, organic foods, rotisserie chicken, and wine as of 2016. |
| Crowdstrike Holdings Inc | CRWD.US | Crowdstrike Holdings Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://ir.crowdstrike.com/ | CrowdStrike Holdings, Inc. is an American cybersecurity technology company based in Austin, Texas. It provides cloud workload and endpoint security, threat intelligence, and cyberattack response services. |
| Crown Castle International Corp | CCI.US | Crown Castle International Corp | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.crowncastle.com/investor-overview | Crown Castle owns, operates and leases more than 40,000 cell towers and approximately 80,000 route miles of fibre supporting small cells and fibre solutions across every major U.S. market. This nationwide portfolio of communications infrastructure connects cities and communities to essential data, technology and wireless service - bringing information, ideas and innovations to the people and businesses that need them. |
| CVS Health Corp | CVS.US | CVS Health Corporation | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.cvshealth.com/ | CVS Health Corporation is an American healthcare company that owns CVS Pharmacy, a retail pharmacy chain; CVS Caremark, a pharmacy benefits manager; and Aetna, a health insurance provider, among many other brands. The company's headquarters is in Woonsocket, Rhode Island. |

Listed securities

International equities

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|------------------|-----------------|------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Datadog Inc | DDOG.US | Datadog Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.datadoghq.com/ | Datadog is an observability service for cloud-scale applications, providing monitoring of servers, databases, tools, and services, through a SaaS-based data analytics platform. |
| Deutsche Bank AG | DBK.GE | Deutsche Bank AG | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor-relations.db.com/ | Deutsche Bank Aktiengesellschaft provides investment, financial, and related products and services to private individuals, corporate entities, and institutional clients worldwide. Its Corporate Bank segment provides cash management, trade finance and lending, trust and agency, and securities services. |
| Diageo Plc | DGE.LN | Diageo PLC | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.diageo.com/en/investors/ | Diageo plc, together with its subsidiaries, produces, markets, and sells alcoholic beverages. |
| Diebold Inc | DBD.US | Diebold Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.dieboldnixdorf.com/investor-overview/default.aspx | Diebold Nixdorf is an American multinational financial and retail technology company that specializes in the sale, manufacture, installation and service of self-service transaction systems (such as ATMs and currency processing systems), point-of-sale terminals, physical security products, and software and related services for global financial, retail, and commercial markets. Currently Diebold Nixdorf is headquartered in the Akron-Canton area with a presence in around 130 countries, and the company employs approximately 23,000 people. |

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| Dimensional Emerging Core Equity Market ETF | DFAE.US | Dimensional Fund Advisors LP | N/A | N/A | Nil | 0.35% | 0.29% | 0.64% | https://us.dimensional.com/etfs/ | The investment seeks to achieve long-term capital appreciation and is designed to purchase a broad and diverse group of readily marketable emerging markets securities that is composed of companies within the Emerging Markets Universe that meet the Advisor's investment criteria. The Advisor defines the "Emerging Markets Universe" as a market capitalisation weighted set of non-U.S. companies associated with emerging markets, which may include frontier markets that have been designated as Approved Markets for investment by the Advisor. |
| Dimensional International Core Equity Market ETF | DFAI.US | Dimensional Fund Advisors LP | N/A | N/A | Nil | 0.18% | 0.29% | 0.47% | https://us.dimensional.com/etfs/ | The investment seeks to achieve long-term capital appreciation by investing in companies of all sizes, with increased exposure to smaller capitalisation, lower relative price, and higher profitability companies as compared to their representation in the International Universe. As a non-fundamental policy, under normal circumstances, it will invest at least 80% of its net assets in equity securities. The Advisor may also increase or reduce the Fund's exposure to an eligible company, or exclude a company, based on shorter-term considerations, such as a company's price momentum and investment characteristics. |

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| Dimensional US Core Equity Market ETF | DFAU.US | Dimensional Fund Advisors LP | N/A | N/A | Nil | 0.12% | 0.29% | 0.41% | https://us.dimensional.com/etfs/ | The investment seeks to achieve long-term capital appreciation by investing in companies of all sizes, with increased exposure to smaller capitalisation, lower relative price, and higher profitability companies as compared to their representation in the U.S. Universe. As a non-fundamental policy, under normal circumstances, it will invest at least 80% of its net assets in equity securities of U.S. companies. The Advisor may also increase or reduce the Fund's exposure to an eligible company, or exclude a company, based on shorter-term considerations, such as a company's price momentum and investment characteristics. |
| Dimensional US Sustainability Core ETF | DFSU.US | Dimensional Fund Advisors LP | N/A | N/A | Nil | 0.18% | 0.29% | 0.47% | https://www.dimensional.com/us-en/funds/dfsu/us-sustainability-core-1-etf | The Portfolio is designed to purchase a broad and diverse group of securities of U.S. companies. The Portfolio invests in companies of all sizes, with increased exposure to smaller capitalization, lower relative price, and higher profitability companies as compared to their representation in the U.S. Universe, while adjusting the composition of the Portfolio based on sustainability impact considerations. |
| DMG Blockchain Solutions Inc | DMGI.CN | DMG Blockchain Solutions Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://dmgblockchain.com/investors-overview/ | Founded 2016, DMG Blockchain Solutions Inc. is a diversified blockchain and cryptocurrency company that manages, operates and develops end-to-end solutions to monetize the blockchain ecosystem. |
| Dotdigital Group Plc | DOTD.LN | Dotdigital Group PLC | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.dotdigitalgroup.com/shareholdings/ | Dotdigital Group Plc provides intuitive software as a service (SaaS) and managed services to digital marketing professionals worldwide. |
| Ecolab Inc | ECL.US | Ecolab Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.ecolab.com/home/default.aspx | Ecolab Inc., is an American corporation that develops and offers services, technology and systems that specialise in water treatment, purification, cleaning and hygiene in a wide variety of applications. |

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| Edinburgh Worldwide Investment Trust PLC | EWI.LN | Baillie Gifford & Co Ltd | N/A | N/A | Nil | 1.00% | 0.29% | 1.29% | https://www.bailliegifford.com/en/uk/institutional-investor/funds/ | The investment objective is the achievement of long-term capital growth by investing primarily in listed companies throughout the world. The approach adopted is to construct a portfolio through the identification of individual companies which offer long-term growth potential, normally over at least a five-year horizon and which typically have a market cap of less than 5 billion USD at the time of initial investment. The portfolio is actively managed and does not seek to track the comparative index hence a degree of volatility against the index is inevitable. |
| Eli Lilly & Co | LLY.US | Eli Lilly & Co | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.lilly.com/ | Eli Lilly and Company is an American pharmaceutical company headquartered in Indianapolis, Indiana, with offices in 18 countries. Its products are sold in approximately 125 countries. |
| Enphase Energy Inc | ENPH.US | Enphase Energy Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.enphase.com/ | Enphase Energy is an American energy technology company headquartered in Fremont, California that develops and manufactures solar micro-inverters, battery energy storage, and EV charging stations primarily for residential customers. Enphase was established in 2006 and is the first company to successfully commercialize the solar micro-inverter. |

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| ETFMG Prime Junior Silver Miners ETF | SILJ.US | ETFMG | N/A | N/A | Nil | 0.69% | 0.29% | 0.98% | https://etfmg.com/our-funds/ | The investment seeks investment results that, before fees and expenses, correspond generally to the price and yield performance of the Prime Junior Silver Miners & Explorers Index. The Fund invests at least 80% of its total assets in the component securities of the index and in ADRs and GDRs based on the component securities in the index. The index tracks the performance of the equity securities (or corresponding American Depositary Receipts ("ADRs") or Global Depositary Receipts ("GDRs")) of small-capitalisation companies actively engaged in silver refining, mining, or exploration ("Junior Silver Companies"). The Fund is non-diversified. |
| FD Technologies plc | FDP.LN | FD Technologies PLC | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://fdtechnologies.com/investor-relations/investment-case/ | First Derivatives Public Limited Company provides products and consulting services. The Company offers services encompass consultancy, custom software, systems integration, training, and web designing. First Derivatives serves finance, technology, and energy institutions worldwide. |
| Global X Blockchain & Bitcoin Strategy ETF | BITS.US | Global X ETFS | N/A | N/A | Nil | 0.65% | 0.29% | 0.94% | https://www.globalxetfs.com/explore/ | The Global X Blockchain & Bitcoin Strategy ETF (BITS) is an actively-managed fund that seeks to capture the long-term growth potential of the blockchain and digital assets theme. The Fund takes long positions in U.S. listed bitcoin futures contracts and invests, directly and/or indirectly, in companies positioned to benefit from the increased adoption of blockchain technology. |
| Global X FinTech ETF | FINX.US | Global X ETFS | N/A | N/A | Nil | 0.68% | 0.29% | 0.97% | https://www.globalxetfs.com/explore/ | The Index Global FinTech Thematic Index seeks to invest in companies on the leading edge of the emerging financial technology sector, which encompasses a range of innovations helping to transform established industries like insurance, investing, fundraising, and third-party lending through unique mobile and digital solutions. |

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| Global X Funds Global X Social Media ETF | SOCL.US | Global X ETFS | N/A | N/A | Nil | 0.65% | 0.29% | 0.94% | https://www.globalxetfs.com/explore/ | The investment seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Solactive Social Media Total Return Index. The Fund will invest at least 80% of its total assets in the securities of the underlying index and in American Depositary Receipts and Global Depositary Receipts based on the securities in the underlying index. The underlying index tracks the equity performance of the largest and most liquid companies involved in the social media industry, including companies that provide social networking, file sharing, and other web-based media applications. The Fund is non-diversified. |
| Global X Lithium & Battery Tech ETF | LIT.US | Global X ETFS | N/A | N/A | Nil | 0.75% | 0.29% | 1.04% | https://www.globalxetfs.com/explore/ | The investment seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Solactive Global Lithium Index. The Fund invests at least 80% of its total assets in the securities of the underlying index and in American Depositary Receipts ("ADRs") and Global Depositary Receipts ("GDRs") based on the securities in the underlying index. The underlying index is designed to measure broad-based equity market performance of global companies involved in the lithium industry. The Fund is non-diversified. |
| Global X Morningstar Global Technology ETF | TECH.AU | ETFS Management (AUS) Ltd | N/A | N/A | Nil | 0.45% | 0.29% | 0.74% | https://www.etfsecurity.com.au/product | TECH offers exposure to highly-rated global technology companies that are the most attractively priced according to the forward-looking views of Morningstar's global equity analyst team. The index is equally-weighted to allow all constituents to contribute. |
| Global X ROBO Global Robotics & Automation ETF | ROBO.AU | ETFS Management (AUS) Ltd | N/A | N/A | Nil | 0.69% | 0.29% | 0.98% | https://www.etfsecurity.com.au/product | ROBO is the first Australian ETF to provide investors with a comprehensive, transparent and diversified benchmark that represents the global value chain of robotics, automation and artificial intelligence (RAAI) related companies. |

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| Global X Robotics & Artificial Intelligence ETF | BOTZ.US | Global X ETFs | N/A | N/A | Nil | 0.68% | 0.29% | 0.97% | https://www.globalxetfs.com/explore/ | The Index Global Robotics & Artificial Intelligence Thematic Index seeks to invest in companies that potentially stand to benefit from increased adoption and utilization of robotics and artificial intelligence (AI), including those involved with industrial robotics and automation, non-industrial robots, and autonomous vehicles. |
| Global X Silver Miners ETF | SIL.US | Global X ETFs | N/A | N/A | Nil | 0.65% | 0.29% | 0.94% | https://www.globalxetfs.com/explore/ | The investment seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Solactive Global Silver Miners Total Return Index. The Fund invests at least 80% of its total assets in the securities of the underlying index and in American Depositary Receipts ("ADRs") and Global Depositary Receipts ("GDRs") based on the securities in the underlying index. The underlying index is designed to measure broad-based equity market performance of global companies involved in the silver mining industry. The Fund is non-diversified. |
| Global X Uranium ETF | URA.US | Global X ETFs | N/A | N/A | Nil | 0.69% | 0.29% | 0.98% | https://www.globalxetfs.com/explore/ | The Global X Uranium ETF seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Solactive Global Uranium & Nuclear Components Total Return Index. The Fund is passively managed, which means the investment adviser does not attempt to take defensive positions in declining markets. The Fund generally seeks to fully replicate the Underlying Index. |
| Heico Corp | HEI.US | Heico Corp | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.heico.com/investors/ | HEICO Corporation, through its subsidiaries, designs, manufactures, and sells aerospace, defence, and electronic related products and services in the United States and internationally. |

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|---------------------------------|-----------------|----------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Home Depot Inc | HD.US | Home Depot Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://ir.homedepot.com/ | Home Depot is the largest home improvement retailer in the United States, supplying tools, construction products, and services. |
| Hut 8 Mining Corp | HUT.CN | Hut 8 Mining Corp | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://hut8mining.com/investors/ | Hut 8 Mining Corp is a cryptocurrency mining and blockchain infrastructure company, which is focused solely on mining bitcoin. It provides investors with direct access to bitcoin, without the technical complexity or constraints of purchasing the underlying cryptocurrency. The company was founded in 2011 and is headquartered in Toronto, Canada. |
| Illumina Inc | ILMN.US | Illumina Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.illumina.com/stock-information/default.aspx | Illumina is an American company which develops, manufactures, and markets integrated systems for the analysis of genetic variation and biological function. The company provides a line of products and services that serves the sequencing, genotyping and gene expression, and proteomics markets. Its headquarters are located in San Diego, California. |
| Impax Environmental Markets PLC | IEM.LN | Impax Asset Management Group PLC | N/A | N/A | Nil | 0.95% | 0.29% | 1.24% | https://impaxenvironmentalmarkets.co.uk/about/priips/ | The Company's objective is to enable investors to benefit from growth in the markets for cleaner or more efficient delivery of basic services of energy, water and waste. Investments are made predominantly in quoted companies which provide, utilise, implement or advise upon technology-based systems, products or services in environmental markets, particularly those of alternative energy and energy efficiency, water treatment and pollution control, and waste technology and resource management (which includes sustainable food, agriculture and forestry). |

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|---|-----------------|-------------------------------|------------------------|-------------------|--|----------------------------------|-------------------|---|---|--|
| InMode Ltd. | INMD.US | InMode Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://inmodemd.com/investors/ | Inmode Ltd is an Israel-based company. It designs, develops, manufactures and commercializes energy-based, minimally-invasive surgical aesthetic and medical treatment solutions. |
| Intl Biotech Trust | IBT.LN | SV Life Sciences Managers LLP | N/A | N/A | The portfolio consists of two pools: quoted and unquoted. The fee on the quoted pool is 10% of relative outperformance above the NBI plus a 0.5% hurdle. The fee on the unquoted pool, is 20% of net realised gains, taking into account any unrealised losses but not unrealised gains. | 0.89% | 0.29% | 1.18% | https://ibtplc.com/governance | International Biotechnology Trust offers investors access to the fast-growing biotechnology sector through an actively managed, diversified fund. |
| Intuitive Surgical Inc | ISRG.US | Intuitive Surgical Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://isrg.intuitive.com/ | Intuitive Surgical, Inc., together with its subsidiaries, designs, manufactures, and markets da Vinci surgical systems, and related instruments and accessories in the United States and internationally. |
| Invesco Dynamic Building & Constructing ETF | PKB.US | Invesco Ltd | N/A | N/A | Nil | 0.57% | 0.29% | 0.86% | https://www.invesco.com/us/financial-products/etfs/ | The Invesco Dynamic Building & Construction ETF (Fund) is based on the Dynamic Building & Construction Intellidex? Index (Intellidex Index). The Fund will normally invest at least 90% of its total assets in the securities that comprise the Index. |

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|---|-----------------|------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Invesco NASDAQ 100 ETF | QQQM.US | Invesco Ltd | N/A | N/A | Nil | 0.15% | 0.29% | 0.44% | https://www.invesco.com/us/financial-products/etfs/ | The Invesco NASDAQ 100 ETF (Fund) is based on the NASDAQ-100 Index (Index). The Fund will invest at least 90% of its total assets in the securities that comprise the Index. The Index includes securities of 100 of the largest domestic and international nonfinancial companies listed on Nasdaq. The Fund and Index are rebalanced quarterly and reconstituted annually. |
| Invesco QQQ Trust Series 1 | QQQ.US | Invesco Ltd | N/A | N/A | Nil | 0.20% | 0.29% | 0.49% | https://www.invesco.com/us/financial-products/etfs/ | The investment seeks investment results that generally correspond to the price and yield performance of the NASDAQ-100 Index®. |
| Invesco Solar Portfolio | TAN.US | Invesco Ltd | N/A | N/A | Nil | 0.69% | 0.29% | 0.98% | https://www.invesco.com/us/financial-products/etfs/ | The Invesco Solar ETF is based on the MAC Global Solar Energy Index. The Fund will invest at least 90% of its total assets in the securities, American depository receipts (ADRs) and global depository receipts (GDRs) that comprise the Index. The Index is comprised of companies in the solar energy industry. |
| iShares Ageing Population UCITS ETF USD Acc | AGED.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks to track the performance of an index composed of developed and emerging market companies which are generating significant revenues from the growing needs of the world's ageing population (defined as people aged 60 years and above). |
| iShares Automation & Robotics UCITS ETF | RBOT.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks to track the performance of an index composed of developed and emerging market companies which are generating significant revenues from specific sectors associated with the development of automatic and robotic technology. |
| iShares Core High Dividend ETF | HDV.US | BlackRock Inc | N/A | N/A | Nil | 0.08% | 0.29% | 0.37% | https://www.ishares.com/us/products/etf-investments | The iShares Core High Dividend ETF seeks to track the investment results of an index composed of relatively high dividend paying U.S. equities. |

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|---|-----------------|-------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| iShares Core MSCI EAFE ETF | IEFA.US | BlackRock Inc | N/A | N/A | Nil | 0.07% | 0.29% | 0.36% | https://www.ishares.com/us/products/etf-investments | The iShares Core MSCI EAFE ETF seeks to track the investment results of an index composed of large-, mid- and small-capitalisation developed market equities, excluding the U.S. and Canada. |
| iShares Core MSCI Emerging Markets ETF | IEMG.US | BlackRock Inc | N/A | N/A | Nil | 0.09% | 0.29% | 0.38% | https://www.ishares.com/us/products/etf-investments | The iShares Core MSCI Emerging Markets ETF seeks to track the investment results of an index composed of large-, mid- and small-capitalisation emerging market equities. |
| iShares Core MSCI World All Cap ETF | IWLD.AU | BlackRock Investment Mgmt (AUS) Ltd | N/A | N/A | Nil | 0.09% | 0.29% | 0.38% | https://www.ishares.com/us/products/etf-investments | The fund aims to provide investors with the performance of the MSCI World Investable Market Index, before fees and expenses. The index is designed to measure the performance of global, developed market large-, mid- and small-capitalisation companies. |
| iShares Core MSCI World UCITS ETF | SWDA.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.20% | 0.29% | 0.49% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks to track the performance of an index composed of companies from developed countries. |
| iShares Digitalisation UCITS ETF USD Acc | DGTL.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks to track the performance of an index composed of developed and emerging market companies which are generating significant revenues from digitally focused services. |
| iShares Dow Jones Medical Equipment Index | IHI.US | BlackRock Inc | N/A | N/A | Nil | 0.39% | 0.29% | 0.68% | https://www.ishares.com/us/products/etf-investments | The iShares U.S. Medical Devices ETF seeks to track the investment results of an index composed of U.S. equities in the medical devices sector. |

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|--|-----------------|-------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| iShares Edge MSCI World Minimum Volatility ETF | WVOLA.U | BlackRock Investment Mgmt (AUS) Ltd | N/A | N/A | Nil | 0.30% | 0.29% | 0.59% | https://www.ishares.com/us/products/etf-investments | The Fund aims to provide investors with the performance of an index, before fees and expenses, composed of developed market equities that, in the aggregate, have lower volatility characteristics relative to the broader developed equity markets. The Fund seeks to achieve its objective by employing an optimisation strategy to track the performance of the MSCI World Minimum Volatility (AUD) Index. |
| iShares Edge MSCI World Value Factor UCITS ETF | IWVLLN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.30% | 0.29% | 0.59% | https://www.ishares.com/uk/individual/en/products/etf-investments | The investment objective of the Fund is to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the MSCI World Enhanced Value Index. |
| iShares ESG Advanced MSCI EAFE ETF | DMXF.US | BlackRock Inc | N/A | N/A | Nil | 0.12% | 0.29% | 0.41% | https://www.ishares.com/us/products/etf-investments | The fund seeks to track the investment results of an index composed of large- and mid-capitalisation developed market companies excluding the U.S. and Canada that have a favorable environmental, social and governance rating while applying extensive screens for company involvement in controversial activities. |
| iShares ESG Advanced MSCI USA ETF | USXF.US | BlackRock Inc | N/A | N/A | Nil | 0.10% | 0.29% | 0.39% | https://www.ishares.com/us/products/etf-investments | The fund seeks to track the investment results of an index composed of large- and mid-capitalisation U.S. companies that have a favorable environmental, social and governance rating while applying extensive screens for company involvement in controversial activities. |
| iShares Expanded Tech Sector ETF | IGM.US | BlackRock Inc | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.ishares.com/us/products/etf-investments | The iShares Expanded Tech Sector ETF seeks to track the investment results of an index composed of North American equities in the technology sector and select North American equities from communication services to consumer discretionary sectors. |

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|---|-----------------|-------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| iShares Global Clean Energy ETF | ICLN.US | BlackRock Inc | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.ishares.com/us/products/etf-investments | The investment seeks to track the S&P Global Clean Energy Index™. The Fund generally invests at least 90% of its assets in the component securities of the index and in investments that have economic characteristics that are substantially identical to the component securities and may invest up to 10% of its assets in certain futures, options and swap contracts, cash and cash equivalents, as well as in securities not included in the index. The index is designed to track the performance of approximately 28 clean energy-related companies. The Fund is non-diversified. |
| iShares Global Healthcare ETF | IXJ.AU | BlackRock Investment Mgmt (AUS) Ltd | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.ishares.com/us/products/etf-investments | The iShares Global Healthcare ETF seeks to track the investment results of an index composed of global equities in the healthcare sector. |
| iShares Global Tech ETF | IXN.US | BlackRock Inc | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.ishares.com/us/products/etf-investments | The iShares Global Tech ETF seeks to track the investment results of an index composed of global equities in the technology sector. |
| iShares Healthcare Innovation UCITS ETF USD Acc | DRDR.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks to track the performance of an index composed of developed and emerging market companies which are generating significant revenues from specific sectors focused on pushing the boundaries in medical treatment and technology. |
| iShares International Select Dividend ETF | IDV.US | BlackRock Inc | N/A | N/A | Nil | 0.49% | 0.29% | 0.78% | https://www.ishares.com/us/products/etf-investments | The iShares International Select Dividend ETF seeks to track the investment results of an index composed of relatively high dividend paying equities in non-U.S. developed markets. |
| iShares Listed Private Equity UCITS ETF | IPRV.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.75% | 0.29% | 1.04% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks to track the performance of an index composed of publicly-listed companies active in the private equity space. |

Listed securities

International equities

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| iShares MSCI All Country World Minimum Volatility ETF | ACWV.US | BlackRock Inc | N/A | N/A | Nil | 0.20% | 0.29% | 0.49% | https://www.ishares.com/us/products/etf-investments | The iShares MSCI Global Min Vol Factor ETF seeks to track the investment results of an index composed of developed and emerging market equities that, in the aggregate, have lower volatility characteristics relative to the broader developed and emerging equity markets. |
| iShares MSCI EM ESG Optimized ETF | ESGE.US | BlackRock Inc | N/A | N/A | Nil | 0.25% | 0.29% | 0.54% | https://www.ishares.com/us/products/etf-investments | The iShares ESG Aware MSCI EM ETF seeks to track the investment results of an index composed of large- and mid-capitalisation emerging market equities that have positive environmental, social and governance characteristics as identified by the index provider while exhibiting risk and return characteristics similar to those of the parent index. |
| iShares MSCI EM SRI UCITS ETF | SUSM.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.25% | 0.29% | 0.54% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks to track the performance of an index composed of emerging markets ESG (environmental, social and governance) screened companies. |
| iShares MSCI EM UCITS ETF USD Dist | IEEM.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.18% | 0.29% | 0.47% | https://www.ishares.com/uk/individual/en/products/etf-investments | The investment objective of this Fund is to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the MSCI Emerging Markets Index. In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consist of the component securities of the MSCI Emerging Markets Index, this Fund's Benchmark Index. |
| iShares MSCI Emerging Markets ETF | IEM.AU | BlackRock Investment Mgmt (AUS) Ltd | N/A | N/A | Nil | 0.69% | 0.29% | 0.98% | https://www.ishares.com/us/products/etf-investments | The Fund aims to provide investors with the performance of the MSCI Emerging Markets Index, before fees and expenses. The index is designed to measure the equity market performance in global emerging markets. |

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| iShares MSCI Emerging Markets Small-Cap ETF | EEMS.US | BlackRock Inc | N/A | N/A | Nil | 0.70% | 0.29% | 0.99% | https://www.ishares.com/us/products/etf-investments | The iShares MSCI Emerging Markets Small-Cap ETF seeks to track the investment results of an index composed of small-capitalisation emerging market equities. |
| iShares MSCI Europe ex-UK GBP Hedged UCITS ETF Dist | EUXS.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund aims to achieve a return on your investment, through a combination of capital growth and income on the Fund's assets, which reflects the return of the MSCI Europe ex UK 100% Hedged to GBP Index, the Fund's benchmark index (Index). |
| iShares MSCI UK Small Cap Ucits ETF | CUKS.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.58% | 0.29% | 0.87% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks to track the performance of an index composed of small cap companies from the UK. |
| iShares MSCI UK UCITS ETF GBP Acc | CSUK.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.33% | 0.29% | 0.62% | https://www.ishares.com/uk/individual/en/products/etf-investments | The investment objective of the Fund is to deliver the net total return performance of the Reference Index (being the MSCI UK), less the fees and expenses of the Fund. The Reference Index is a broad-based equity index of securities generally incorporated in the United Kingdom. Securities listed on the London Stock Exchange are eligible for inclusion. |
| iShares MSCI World Index Fund | IWRD.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.50% | 0.29% | 0.79% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks broad exposure to a wide range of global companies within 23 developed countries, covering 85% of the listed equities in each country. Designed to seek long-term growth. |
| iShares MSCI World SRI UCTIS ETF | SUSW.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.20% | 0.29% | 0.49% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks to track the performance of an index composed of developed markets ESG (environmental, social and governance) screened companies. |

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| iShares Paris-Aligned Climate MSCI USA ETF | PABU.US | BlackRock Inc | N/A | N/A | Nil | 0.10% | 0.29% | 0.39% | https://www.ishares.com/us/products/325725/ishares-paris-aligned-climate-msci-usa-etf | The fund seeks to track the investment results of an index composed of U.S. large-and mid-capitalisation stocks that is designed to be compatible with the objectives of the Paris Agreement by, in aggregate, following a decarbonisation trajectory, reducing exposure to climate-related transition and physical risks and increasing exposure to companies favorably positioned for the transition to a low-carbon economy. |
| iShares S&P 500 Index Fund | IVV.US | BlackRock Inc | N/A | N/A | Nil | 0.03% | 0.29% | 0.32% | https://www.ishares.com/us/products/etf-investments | The iShares Core S&P 500 ETF seeks to track the investment results of an index composed of large-capitalisation U.S. equities. |
| iShares S&P Global Consumer Staples Index | KXI.US | BlackRock Inc | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.ishares.com/us/products/etf-investments | The iShares Global Consumer Staples ETF seeks to track the investment results of an index composed of global equities in the consumer staples sector. |
| iShares S&P Global Financials Sector Index Fund | IXG.US | BlackRock Inc | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.ishares.com/us/products/etf-investments | The iShares Global Financials ETF seeks to track the investment results of an index composed of global equities in the financials sector. |
| iShares S&P Global Healthcare Sector Index Fund | IXJ.US | BlackRock Inc | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.ishares.com/us/products/etf-investments | The iShares Global Healthcare ETF seeks to track the investment results of an index composed of global equities in the healthcare sector. |
| Johnson & Johnson | JNJ.US | Johnson & Johnson | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.investor.jnj.com/ | Johnson & Johnson researches and develops, manufactures, and sells various products in the health care field worldwide. |

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| JP Morgan China Growth & Income Trust | JCGI.LN | JP Morgan Asset Management Ltd | N/A | N/A | Nil | 1.11% | 0.29% | 1.40% | https://am.jpmorgan.com/gb/en/asset-management/adv/funds/ | JPMorgan China Growth & Income Trust focuses on investing in Chinese companies listed on domestic exchanges and companies in greater China. It focuses on the growth of "New China" – companies and sectors that are capitalising on the transition of the country to a more consumer-driven economy. The company aims to deliver a predictable quarterly income distribution, announced at the start of each financial year. |
| JP Morgan Japanese Inv. Trust P | JFJ.LN | JP Morgan Asset Management Ltd | N/A | N/A | Nil | 1.25% | 0.29% | 1.54% | https://am.jpmorgan.com/gb/en/asset-management/adv/funds/ | Aims to produce capital growth from investment in Japanese companies. The Company has the ability to use gearing to increase potential returns to shareholders. The gearing policy is to operate within the range of 5% net cash to 20% geared, in normal market conditions. |
| JPMorgan Global Growth & Income PLC | JGGI.LN | JP Morgan Asset Management Ltd | N/A | N/A | Nil | 1.02% | 0.29% | 1.31% | https://am.jpmorgan.com/gb/en/asset-management/adv/funds/ | To provide superior total returns and outperform the MSCI All Country World Index over the long-term by investing in companies based around the world. The Company makes quarterly distributions, that are set at the beginning of each financial year. On aggregate, the intention is to pay dividends totalling at least 4% of the NAV at the time of announcement. The manager is focused on building a high conviction portfolio of typically 50 - 90 stocks, drawing on an investment process underpinned by fundamental research. Portfolio construction is driven by bottom up stock selection rather than geographical or sector allocation. |
| Judges Scientific PLC | JDG.LN | Judges Scientific PLC | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.judges.uk.com/financial-performance/financial-overview.html | Judges Scientific plc manufactures and sells scientific instruments. The company operates in two segments, Materials Sciences and Vacuum. It offers engineering teaching and research equipment for universities, colleges, schools, and research centres; and research and development systems for food, beverage, dairy, edible oil, and pharmaceutical industries. |

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| Lucid Group Inc | LCID.US | Lucid Group Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://ir.lucidmotors.com/ | Lucid was founded in 2007 under the name Atieva and originally focused on building electric vehicle batteries and powertrains for other vehicle manufacturers. The company rebranded to Lucid Motors in October 2016 and officially announced its intent to develop an all-electric, high-performance luxury vehicle. |
| Marathon Digital Holdings Inc | MARA.US | Marathon Digital Holdings Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://marathondh.com/ | Marathon Digital Holdings Inc is a digital asset technology company which engages in mining cryptocurrencies, with a focus on the blockchain ecosystem and the generation of digital assets. The company was founded in 2010 and is headquartered in Las Vegas, NV. |
| Marvell Technology Group Ltd | MRVL.US | Marvell Technology Group Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.marvell.com/ | Marvell Technology, Inc. is an American company, headquartered in Santa Clara, California, which develops and produces semiconductors and related technology. Founded in 1995, the company had more than 6,000 employees as of 2021, with over 10,000 patents worldwide, and an annual revenue of \$4.5 billion for 2021. |
| Mastercard Inc - Class A | MA.US | Mastercard Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.mastercard.com/overview/default.aspx | Mastercard Incorporated provides financial transaction processing services. The Company offers payment processing services for credit and debit cards, electronic cash, automated teller machines, and travelers checks. Mastercard serves customers worldwide. |
| McDonalds Corporation | MCD.US | McDonald's Corp | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://corporate.mcdonalds.com/corpmcd/investors.html | McDonald's Corporation franchises and operates fast-food restaurants in the global restaurant industry. The Company's restaurants serves a variety of value-priced menu products in countries around the world. |

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|-----------------------|-----------------|-----------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Meta Platforms Inc | META.US | Meta Platforms Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.fb.com/home/default.aspx | Facebook, Inc. develops products that enable people to connect and share with friends and family through mobile devices, personal computers, virtual reality headsets, and in-home devices worldwide. |
| Micron Technology Inc | MU.US | Micron Technology Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.micron.com/ | Micron Technology is an American producer of computer memory and computer data storage including dynamic random-access memory, flash memory, and USB flash drives. It is headquartered in Boise, Idaho. Its consumer products are marketed under the brands Crucial and Ballistix. |
| Microsoft Corporation | MSFT.US | Microsoft Corp | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.microsoft.com/en-us/investor | Microsoft Corporation develops, licenses, and supports software, services, devices, and solutions worldwide. |
| MicroStrategy | MSTR.US | MicroStrategy Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.microstrategy.com/en/investor-relations | MicroStrategy Incorporated is a company that provides business intelligence, mobile software and cloud-based services. Founded in 1989 the firm develops software to analyse internal and external data in order to make business decisions and to develop mobile apps. |
| MongoDB Inc | MDB.US | MongoDB | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.mongodb.com/ | MongoDB is the developer data platform company empowering innovators to create, transform, and disrupt industries by unleashing the power of software and data. Headquartered in New York, MongoDB has more than 37,000 customers in over 100 countries. The MongoDB database platform has been downloaded over 300 million times and there have been more than 1.5 million registrations for MongoDB University courses. |

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|----------------------------|-----------------|--------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Monks Investment Trust plc | MNKS.LN | Baillie Gifford & Co Ltd | N/A | N/A | Nil | 0.64% | 0.29% | 0.93% | https://www.bailliegifford.com/en/uk/institutional-investor/funds/ | The Trust aims for long-term capital growth which takes priority over income. This is pursued through applying a patient approach to investment, principally from a differentiated, actively managed global equity portfolio containing a diversified range of growth stocks - companies with above average earnings growth - which we expect to hold for around five years on average. The portfolio, will typically contain 100+ stocks from around the world. |
| Netflix Inc | NFLX.US | Netflix Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://ir.netflix.net/ir-overview/profile/default.aspx | Netflix , Inc. is an American subscription streaming service and production company. Launched in 1997, it offers a library of films and television series through distribution deals as well as its own productions, known as Netflix Originals. |
| NIO Inc | NIO.US | Nio Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://ir.nio.com/ | NIO is a Chinese multinational automobile manufacturer headquartered in Shanghai, specializing in designing and developing electric vehicles. The company is known for its development of battery-swapping stations for its vehicles as an alternative to conventional charging stations. |
| Nvidia Corp | NVDA.US | Nvidia Corp | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.nvidia.com/home/default.aspx | Nvidia Corporation is an American multinational technology company incorporated in Delaware and based in Santa Clara, California. It designs graphics processing units for the gaming and professional markets, as well as system on a chip units for the mobile computing and automotive market. |
| Palantir Technologies | PLTR.US | Palantir Technologies | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.palantir.com/ | Palantir Technologies is a public American software company that specialises in big data analytics. Headquartered in Denver, Colorado, it was founded in 2003. |

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| PayPal Holdings Inc | PYPL.US | PayPal Holdings Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.paypal-corp.com/investor-relations | PayPal Holdings, Inc. operates as a technology platform and digital payments company that enables digital and mobile payments on behalf of consumers and merchants worldwide. |
| Pfizer Incorporated | PFE.US | Pfizer Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.pfizer.com/Investors/Overview/default.aspx | Pfizer Inc. operates as a pharmaceutical company. The Company offers medicines, vaccines, medical devices, and consumer healthcare products for oncology, inflammation, cardiovascular, and other therapeutic areas. Pfizer serves customers worldwide. |
| Plug Power Inc | PLUG.US | Plug Power Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.ir.plugpower.com/overview/default.aspx | Plug Power Inc. is an American company engaged in the development of hydrogen fuel cell systems that replace conventional batteries in equipment and vehicles powered by electricity. |
| ProShares Ultra QQQ | QLD.US | ProShares | N/A | N/A | Nil | 0.95% | 0.29% | 1.24% | https://www.proshares.com/our-etfs/leveraged-and-inverse/qld | ProShares Ultra QQQ seeks daily investment results, before fees and expenses, that correspond to two times (2x) the daily performance of the Nasdaq-100 Index. |
| ProShares Ultra SmallCap 600 | SAA.US | ProShares | N/A | N/A | Nil | 0.95% | 0.29% | 1.24% | https://www.proshares.com/our-etfs/leveraged-and-inverse/saa | ProShares Ultra SmallCap600 seeks daily investment results, before fees and expenses, that correspond to two times (2x) the daily performance of the S&P SmallCap 600. |
| ProShares Ultra S&P500 ETF | SSO.US | ProShares | N/A | N/A | Nil | 0.89% | 0.29% | 1.18% | https://www.proshares.com/our-etfs/leveraged-and-inverse/sso | This leveraged ProShares ETF seeks a return that is 2x the return of its underlying benchmark, S&P 500, for a single day, as measured from one NAV calculation to the next. |
| Reckitt Benckiser Group PLC | RKT.LN | Reckitt Benckiser Group PLC | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.reckitt.com/investors/ | Reckitt, is a British multinational consumer goods company headquartered in Slough, England. It is a producer of health, hygiene and nutrition products. |

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|---------------------|-----------------|--------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| ROBLOX Corp | RBLX.US | Roblox Corp | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://ir.roblox.com/overview/default.aspx | Roblox Corporation is an American video game developer based in San Mateo, California. Founded in 2004 by David Baszucki and Erik Cassel, the company is the developer of Roblox, which was released in 2006. As of 2022 Roblox Corporation employs approximately 1,600 people. |
| Rocket Lab USA Inc. | RKLB.US | Rocket Lab USA Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.rocketabusa.com/overview/ | Rocket Lab is an end-to-end space company with an established track record of mission success. We deliver reliable launch services, spacecraft components, satellites and other spacecraft and on-orbit management solutions that make it faster, easier and more affordable to access space. We believe that space has defined some of humanity's greatest achievements and it continues to shape our future. We are motivated by the impact we can have on Earth by making it easier to get to space and to use it as a platform for innovation, exploration and infrastructure. |
| Roku Inc | ROKU.US | Roku Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.roku.com/investor | Roku, Inc. is an American publicly traded company based in San Jose, California, that manufactures a variety of digital media players for video streaming. Roku has an advertising business and also licenses its hardware and software to other companies. |
| Salesforce.com Inc | CRM.US | Salesforce.com Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.salesforce.com/overview/ | Salesforce.com, Inc. is an American cloud-based software company headquartered in San Francisco, California. It provides customer relationship management service and also provides a complementary suite of enterprise applications focused on customer service, marketing automation, analytics, and application development. |

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|--------------------|-----------------|------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| SentinelOne Inc | S.US | SentinelOne Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.sentinelone.com/overview/default.aspx | SentinelOne is a cybersecurity company listed on NYSE and based in Mountain View, California. The company uses machine learning for monitoring personal computers, IoT devices, and cloud workloads. The company's platform utilizes a heuristic model, specifically its patented behavioral AI. |
| ServiceNow Inc | NOW.US | ServiceNow Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.servicenow.com/company/investor-relations.html | ServiceNow is an American software company based in Santa Clara, California that develops a cloud computing platform to help companies manage digital workflows for enterprise operations, and was also recognized for innovation. |
| Shopify Inc | SHOP.US | Shopify Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.shopify.com/stock-information/default.aspx | Shopify is a Canadian multinational e-commerce company headquartered in Ottawa, Ontario. It is also the name of its proprietary e-commerce platform for online stores and retail point-of-sale systems. |
| Sika AG | SIKA.SW | Sika AG | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.sika.com/en/investors.html | Sika is a specialty chemicals company with a leading position in the development and production of systems and products for bonding, sealing, damping, reinforcing, and protecting in the building sector and motor vehicle industry. Sika has subsidiaries in 100 countries around the world and manufactures in over 300 factories. Sika employs more than 25,000 people and generated sales of over CHF 8.1 billion in fiscal 2019. At the end of 2019 Sika won the Swiss Technology Award for a ground-breaking new adhesive technology. |
| Skyworks Solutions | SWKS.US | Skyworks Solutions Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.skyworksinc.com/ | Skyworks Solutions, Inc., together with its subsidiaries, designs, develops, manufactures, and markets proprietary semiconductor products, including intellectual property worldwide. |

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|---|-----------------|---|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Snowflake Inc | SNOW.US | Snowflake Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.snowflake.com/overview/ | Snowflake Inc. is a cloud computing–based data cloud company based in Bozeman, Montana. It was founded in July 2012 and was publicly launched in October 2014 after two years in stealth mode. The firm offers a cloud-based data storage and analytics service, generally termed "data-as-a-service". |
| Sociedad Quimica Y Minera de Chile S.A. ADR | SQM.US | Sociedad Quimica Y Minera de Chile S.A. ADR | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://ir.sqm.com/English/home/default.aspx | SQM is a global company that develops and produces various products for various industries essential to human progress such as health, nutrition, renewable energy and technology through innovation and technological advancement. We aim to maintain our global leadership position in the lithium, potassium nitrate, iodine and solar thermal salts markets by manufacturing high-quality products to meet the dynamic and changing requirements of our customers. |
| Sofi Technologies | SOFI.US | SoFi Technologies Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.sofi.com/overview/default.aspx | SoFi Technologies is an American online personal finance company. Based in San Francisco, SoFi provides financial products including student and auto loan refinancing, mortgages, personal loans, credit card, investing, and banking through both mobile app and desktop interfaces. |
| SPDR MSCI World Small Cap UCITS ETF | WOSC.LN | SPDR State Street Global Advisors | N/A | N/A | Nil | 0.45% | 0.29% | 0.74% | https://www.ssga.com/us/en/intermediary/etfs/fund-finder | The objective of the SPDR MSCI World Small Cap UCITS ETF is to track the performance of small sized companies in developed equity markets globally. It aims to do this by tracking the performance of the MSCI World Small Cap Index as closely as possible. |
| SPDR S&P500 ETF Trust | SPY.US | SPDR State Street Global Advisors | N/A | N/A | Nil | 0.09% | 0.29% | 0.38% | https://www.ssga.com/us/en/intermediary/etfs/fund-finder | The SPDR® S&P 500® ETF Trust seeks to provide investment results that, before expenses, correspond generally to the price and yield performance of the S&P 500® Index. |

Listed securities

International equities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|-----------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Starbucks Corp | SBUX.US | Starbucks Corp | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.starbucks.com/ir-home/default.aspx | Starbucks Corporation, together with its subsidiaries, operates as a roaster, marketer, and retailer of specialty coffee worldwide. |
| Super Micro Computer Inc | SMCI.US | Super Micro Computer, Inc. | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.supermicro.com/en/home | Super Micro Computer, Inc., is an information technology company based in San Jose, California. It has manufacturing operations in the Silicon Valley, the Netherlands and at its Science and Technology Park in Taiwan. Founded on November 1, 1993, Supermicro is a provider of high-performance and high-efficiency servers, server management softwares, and storage systems for various markets, including enterprise data centers, cloud computing, artificial intelligence, 5G and edge computing. |
| Technology Select Sector SPDR | XLK.US | SPDR State Street Global Advisors | N/A | N/A | Nil | 0.10% | 0.29% | 0.39% | https://www.ssga.com/us/en/intermediary/etfs/fund-finder | The Technology Select Sector SPDR® Fund seeks to provide investment results that, before expenses, correspond generally to the price and yield performance of the Technology Select Sector Index. Seeks to provide precise exposure to companies from technology hardware, storage, and peripherals; software; communications equipment; semiconductors and semiconductor equipment; IT services; and electronic equipment, instruments and components. |
| Teladoc Inc | TDOC.US | Teladoc Health Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://ir.teladochealth.com/investors/default.aspx | Teladoc Health is a multinational telemedicine and virtual healthcare company headquartered in the United States. Primary services include telehealth, medical opinions, AI and analytics, telehealth devices and licensable platform services. |
| Templeton Emerging Markets Investment Trust PLC | TEM | Franklin Templeton Investments | N/A | N/A | Nil | 1.24% | 0.29% | 1.53% | https://www.temit.co.uk/investor/ | The Fund seeks to provide long-term capital appreciation by investing in equity and equity-related securities listed in emerging markets or issued by companies that have a significant amount of their revenues in emerging markets. |

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|-----------------------------|-----------------|-----------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Tesla Motors Inc | TSLA.US | Tesla Motors Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://ir.tesla.com/ | Tesla, Inc. designs, develops, manufactures, leases, and sells electric vehicles, and energy generation and storage systems in the United States, China, Netherlands, Norway, and internationally. |
| Treatt Plc | TET.LN | Treatt PLC | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.treatt.com/investor-relations | Treatt plc manufactures and supplies ingredient solutions to the flavour, fragrance, beverage, and consumer products industries in the United Kingdom, Germany, Ireland, the United States, China, and internationally. |
| Twilio Inc | TWLO.US | Twilio Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.twilio.com/overview/default.aspx | Twilio is an American company based in San Francisco, California, which provides programmable communication tools for making and receiving phone calls, sending and receiving text messages, and performing other communication functions using its web service APIs. |
| Two Harbors Investment Corp | TWO.US | Two Harbors Investment Corp | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.twoharborsinvestment.com/overview/default.aspx | Two Harbors Investment Corp. operates as a real estate investment trust (REIT) that focuses on investing in, financing, and managing residential mortgage-backed securities (RMBS), non-agency securities, mortgage servicing rights, and other financial assets in the United States. |
| Umicore SA | UMI.BB | Umicore SA | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.umicore.com/en/investors/ | Umicore SA operates as a materials technology and recycling company worldwide. The company operates through Catalysis, Energy & Surface Technologies, and Recycling segments. |
| Unilever Plc | ULVR.LN | Unilever PLC | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.unilever.com/investor-relations/shareholder-centre/ | The Unilever Group, together with its subsidiaries, operates in the fast-moving consumer goods industry worldwide. |

Listed securities

International equities

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|--------------------------------|-----------------|-------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Unity Biotechnology Inc | UBX.US | Unity Biotechnology Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://ir.unitybiotechnology.com/ | Unity Biotechnology, a biotechnology company, engages in the research and development of therapeutics to slow, halt, or reverse diseases of aging. The company was formerly known as Forge, Inc. and changed its name to Unity Biotechnology, Inc. in 2015. Unity Biotechnology, Inc. was incorporated in 2009 and is headquartered in South San Francisco, California. |
| Vale S.A. | VALE.US | Vale S.A. | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | http://www.vale.com/en/investors/pages/default.aspx | Vale S.A. is a Brazilian multinational corporation engaged in metals and mining and one of the largest logistics operators in Brazil. |
| Valkyrie Bitcoin Miners ETF | WGMI.US | Valkyrie | N/A | N/A | Nil | 0.75% | 0.29% | 1.04% | https://valkyrie-funds.com/ | The Fund is an actively-managed exchange-traded fund ("ETF") that will invest at least 80% of its net assets (plus borrowings for investment purposes) in securities of companies that derive at least 50% of their revenue or profits from bitcoin mining operations and/or from providing specialized chips, hardware and software or other services to companies engaged in bitcoin mining. |
| VanEck Vectors Gold Miners ETF | GDX.US | VanEck | N/A | N/A | Nil | 0.51% | 0.29% | 0.80% | https://www.vaneck.com/us/en/etf-mutual-fund-finder/etfs/ | VanEck Vectors® Gold Miners ETF (GDX®) seeks to replicate as closely as possible, before fees and expenses, the price and yield performance of the NYSE Arca Gold Miners Index (GDMNTR), which is intended to track the overall performance of companies involved in the gold mining industry. |

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|---------------------------------------|-----------------|------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Vaneck Vectors India Growth Le | GLIN.US | VanEck | N/A | N/A | Nil | 0.82% | 0.29% | 1.11% | https://www.vaneck.com/us/en/investments/india-growth-leaders-etf-glin/ | The investment seeks to replicate as closely as possible, before fees and expenses, the price and yield performance of the MarketGrader India All-Cap Growth Leaders Index. The Fund invests substantially all of its assets in the Subsidiary, a wholly-owned subsidiary located in the Republic of Mauritius. The index is comprised of equity securities which are generally considered by the index provider to exhibit favorable fundamental characteristics according to the index provider's proprietary scoring methodology. |
| VanEck Vectors Junior Gold Miners ETF | GDXJ.US | VanEck | N/A | N/A | Nil | 0.52% | 0.29% | 0.81% | https://www.vaneck.com/us/en/etf-mutual-fund-finder/etfs/ | VanEck Vectors® Junior Gold Miners ETF (GDXJ®) seeks to replicate as closely as possible, before fees and expenses, the price and yield performance of the MVIS® Global Junior Gold Miners Index (MVGDXJTR), which is intended to track the overall performance of small-capitalisation companies that are involved primarily in the mining for gold and/or silver. |
| Vaneck Vectors Oil Services ETF | OIH.US | VanEck | N/A | N/A | Nil | 0.35% | 0.29% | 0.64% | https://www.vaneck.com/us/en/investments/oil-services-etf-oih/overview/ | The Fund seeks to replicate as closely as possible, before fees and expenses, the price and yield performance of the MVIS US Listed Oil Services 25 Index (MVOIHTR), which is intended to track the overall performance of U.S. listed companies involved in oil services to the upstream oil sector, which include oil equipment, oil services, or oil drilling. |
| Vanguard FTSE 100 UCITS ETF | VUKE.LN | Vanguard Group (Ireland) Ltd | N/A | N/A | Nil | 0.09% | 0.29% | 0.38% | https://www.vanguardinvestor.co.uk/what-we-offer/all-products-cards | This Fund seeks to track the performance of the Index, a widely recognised UK benchmark of the UK market's most highly capitalised blue chip companies. The Fund employs a "passive management"- or indexing - investment approach, through physical acquisition of securities, designed to track the performance of the Index, a free float adjusted market capitalisation weighted index. |

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International equities

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|-------------------------------------|-----------------|----------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Vanguard FTSE Developed Markets ETF | VEA.US | Vanguard Investments | N/A | N/A | Nil | 0.05% | 0.29% | 0.34% | https://investor.vanguard.com/investment-products/list/etfs | Seeks to track the investment performance of the FTSE Developed All Cap ex US Index. Provides a convenient way to match the performance of a diversified group of stocks of large-, mid-, and small-cap companies located in Canada and the major markets of Europe and the Pacific region. Follows a passively managed full-replication approach. |
| Vanguard FTSE Emerging Markets ETF | VWO.US | Vanguard Investments | N/A | N/A | Nil | 0.08% | 0.29% | 0.37% | https://investor.vanguard.com/investment-products/list/etfs | The investment seeks to track the performance of a benchmark index that measures the investment return of stocks issued by companies located in emerging market countries. The Fund employs an indexing investment approach designed to track the performance of the FTSE Emerging Markets All Cap China A Inclusion Index. It invests by sampling the index, meaning that it holds a broadly diversified collection of securities that, in the aggregate, approximates the index in terms of key characteristics. |
| Vanguard Growth ETF | VUG.US | Vanguard Investments | N/A | N/A | Nil | 0.04% | 0.29% | 0.33% | https://investor.vanguard.com/investment-products/list/etfs | Seeks to track the performance of the CRSP US Large Cap Growth Index. |
| Vanguard High Dividend Yield ETF | VYM.US | Vanguard Investments | N/A | N/A | Nil | 0.06% | 0.29% | 0.35% | https://investor.vanguard.com/investment-products/list/etfs | Seeks to track the performance of the FTSE® High Dividend Yield Index, which measures the investment return of common stocks of companies characterized by high dividend yields. |
| Vanguard Index Tst | VTI.US | Vanguard Investments | N/A | N/A | Nil | 0.03% | 0.29% | 0.32% | https://investor.vanguard.com/investment-products/list/etfs | Seeks to track the performance of the CRSP US Total Market Index. |

Listed securities

International equities

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|--|-----------------|------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Vanguard Information Technology VIPERs | VGT.US | Vanguard Investments | N/A | N/A | Nil | 0.10% | 0.29% | 0.39% | https://investor.vanguard.com/investment-products/list/etfs | The Fund employs an indexing investment approach designed to track the performance of the MSCI US Investable Market Index/Information Technology 25/50, an index made up of stocks of large, mid-size, and small U.S. companies within the information technology sector, as classified under the Global Industry Classification Standard. It is non-diversified. |
| Vanguard MSCI Index International Shares ETF | VGS.AU | Vanguard Investments Australia Ltd | N/A | N/A | Nil | 0.18% | 0.29% | 0.47% | https://www.vanguard.com.au/adviser/products/en/list/overview | Seeks to track the return of the MSCI World ex-Australia (with net dividends reinvested), in Australian dollars Index, before taking into account fees, expenses and tax. |
| Vanguard Small-Cap Value ETF | VBR.US | Vanguard Investments | N/A | N/A | Nil | 0.07% | 0.29% | 0.36% | https://investor.vanguard.com/investment-products/list/etfs | Seeks to track the performance of the CRSP US Small Cap Value Index, which measures the investment return of small-capitalisation value stocks. |
| Vanguard S&P 500 ETF | VOO.US | Vanguard Investments | N/A | N/A | Nil | 0.03% | 0.29% | 0.32% | https://investor.vanguard.com/investment-products/list/etfs | Invests in stocks in the S&P 500 Index, representing 500 of the largest U.S. companies. |
| Vanguard Total Stock Market Shares Index ETF | VTS.AU | Vanguard Investments Australia Ltd | N/A | N/A | Nil | 0.03% | 0.29% | 0.32% | https://www.vanguard.com.au/adviser/products/en/list/overview | The investment seeks to track the performance of a benchmark index that measures the investment return of the overall U.S. stock market. The CRSP US Total Market Index, represents approximately 100% of the investable U.S. stock market and includes large-, mid-, small-, and micro-cap stocks regularly traded on the New York Stock Exchange and Nasdaq. |

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International equities

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|---------------------------------------|-----------------|----------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Vanguard Total World Stock Index Fund | VT.US | Vanguard Investments | N/A | N/A | Nil | 0.07% | 0.29% | 0.36% | https://investor.vanguard.com/investment-products/list/etfs | The Fund employs an indexing investment approach designed to track the performance of the FTSE Global All Cap Index. The investment seeks to track the performance of a benchmark index that measures the investment return of stocks of companies located in developed and emerging markets around the world. |
| Vanguard Value ETF | VTV.US | Vanguard Investments | N/A | N/A | Nil | 0.04% | 0.29% | 0.33% | https://investor.vanguard.com/investment-products/list/etfs | Seeks to track the performance of the CRSP US Large Cap Value Index, which measures the investment return of large-capitalisation value stocks. |
| Visa Inc | V.US | Visa Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.visa.com/ | Visa Inc. operates as a payments technology company worldwide. The company facilitates commerce through the transfer of value and information among consumers, merchants, financial institutions, businesses, strategic partners, and government entities. |
| Walt Disney Co | DIS.US | Walt Disney Co | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://thewaltdisneycompany.com/investor-relations/ | The Walt Disney Company, together with its subsidiaries, operates as an entertainment company worldwide. |

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International equities

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|--------------------------------------|-----------------|--------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Worldwide Healthcare Trust | WWH.LN | Worldwide Healthcare Trust PLC | N/A | N/A | The performance fee is calculated quarterly by comparing the cumulative NAV performance with the cumulative benchmark performance since the launch of the company in 1995. The performance fee payable to the Portfolio Manager, amounts to 15% of any outperformance over the Benchmark. | 1.08% | 0.29% | 1.37% | https://www.worldwidewh.com/performance/share-price-information-performance | In order to achieve a high level of capital growth, the Company invests in the global healthcare sector and in a diversified portfolio of shares in pharmaceutical and biotechnology companies and related securities in the healthcare sector. It uses gearing, and derivative transactions to mitigate risk and also to enhance returns. |
| ZIM Integrated Shipping Services Ltd | ZIM.US | Zim Integrated Shipping Services Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.zim.com/investors | Zim is a publicly held Israeli international cargo shipping company, and one of the top 20 global carriers. The company's headquarters are in Haifa, Israel; it also has a North American headquarters in Norfolk, Virginia. |
| Zoetis Inc | ZTS.US | Zoetis Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.zoetis.com/home/default.aspx | Zoetis Inc. is an American drug company, the world's largest producer of medicine and vaccinations for pets and livestock. The company was a subsidiary of Pfizer, the world's largest drug maker, but with Pfizer's spinoff of its 83% interest in the firm it is now a completely independent company. |
| Zoom Video Communications Inc | ZM.US | Zoom Video Communications Inc | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.zoom.us/ | Zoom Video Communications, Inc. provides a video-first communications platform in the Americas, the Asia Pacific, Europe, the Middle East, and Africa. |

Listed securities

International fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|-------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Avantis Core Fixed Income ETF | AVIG.US | Avantis Investors | N/A | N/A | Nil | 0.15% | 0.29% | 0.44% | https://www.avantisinvestors.com/content/avantis/en/investments.html | The investment seeks to maximize total return by investing primarily in investment grade quality debt obligations from a diverse group of U.S. and non-U.S. issuers. Under normal market conditions, it will invest at least 80% of its net assets, plus any borrowings for investment purposes, in fixed income securities. The Fund seeks to maintain a weighted average duration within 2 years of the weighted average duration of its benchmark, the Bloomberg Barclays U.S. Aggregate Bond index, as calculated by the manager. |
| Avantis Core Municipal Fixed Income ETF | AVMU.US | Avantis Investors | N/A | N/A | Nil | 0.15% | 0.29% | 0.44% | https://www.avantisinvestors.com/content/avantis/en/investments.html | The investment seeks current income that is exempt from federal income tax. Under normal market conditions, the Fund will invest at least 80% of its net assets, plus any borrowings for investment purposes, in municipal fixed income securities with interest payments exempt from federal income tax. The advisor expects to maintain a weighted average duration within 2 years of the weighted average duration of its benchmark, the S&P National AMT-Free Municipal Bond index. |
| Avantis Short-Term Fixed Income ETF | AVSF.US | Avantis Investors | N/A | N/A | Nil | 0.15% | 0.29% | 0.44% | https://www.avantisinvestors.com/content/avantis/en/investments.html | The investment seeks to maximize total return by investing primarily in investment grade quality debt obligations from a diverse group of U.S. and non-U.S. issuers. Under normal market conditions, it will invest at least 80% of its net assets, plus any borrowings for investment purposes, in fixed income securities. It seeks to maintain a weighted average maturity of three years or less. The Fund will invest primarily in investment grade securities as rated by an independent rating agency or determined by the advisor to be of comparable credit quality if a rating is unavailable. |

Listed securities

International fixed interest

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|--|-----------------|------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Dimensional Global Sustainability Fixed Income ETF | DFSB.US | Dimensional Fund Advisors LP | N/A | N/A | Nil | 0.25% | 0.29% | 0.54% | https://www.dimensional.com/us-en/funds/dfsb/global-sustainability-fixed-income-etf | The investment objective of the Fund is to seek to maximize total returns from the universe of debt securities in which the Portfolio invests. Total return is comprised of income and capital appreciation. The Fund invests in a broad portfolio of investment grade debt securities of U.S. and non-U.S. corporate and government issuers, including mortgage-backed securities, while excluding or underweighting securities of corporate and certain non-sovereign government issuers based upon the Portfolio's sustainability impact considerations. |
| Henderson Diversified Income | HDIV.LN | Janus Henderson Investors Ltd | N/A | N/A | Nil | 0.92% | 0.29% | 1.21% | https://www.janushenderson.com/en-gb/investor/product/ | A fixed income specialist fund with the ability to move freely between bonds and loans. Has an experienced and specialised management team with a deep understanding of global fixed income markets. Typically invests in large, high quality companies in industries with high barriers to entry. |
| iShares 20+ Year Treasury Bond ETF | TLT.US | BlackRock Inc | N/A | N/A | Nil | 0.15% | 0.29% | 0.44% | https://www.ishares.com/us/products/etf-investments | The iShares 20+ Year Treasury Bond ETF seeks to track the investment results of an index composed of U.S. Treasury bonds with remaining maturities greater than twenty years. |
| iShares Core GBP Corporate Bond UCITS ETF | SLXX.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.20% | 0.29% | 0.49% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds. |
| iShares Core U.S. Aggregate Bond ETF | AGG.US | BlackRock Inc | N/A | N/A | Nil | 0.07% | 0.29% | 0.36% | https://www.ishares.com/us/products/etf-investments | The iShares Core U.S. Aggregate Bond ETF seeks to track the investment results of an index composed of the total U.S. investment-grade bond market. |
| iShares FTSE UK All Stocks Gilt Index | IGLT.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.07% | 0.29% | 0.36% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks to track the performance of an index composed of Sterling denominated UK government bonds. |

Listed securities

International fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| iShares GBP Corporate Bond ex-Financials UCITS ETF | ISXF.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.20% | 0.29% | 0.49% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds issued by non-financial organisations. |
| iShares Gblal Corp Bond UCITS ETF | CRPS.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.20% | 0.29% | 0.49% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund is an exchange traded fund (ETF) that aims to track the performance of the Barclays Global Aggregate Corporate Bond Index as closely as possible. The ETF invests in physical index securities. The Barclays Global Aggregate Corporate Bond Index offers exposure to investment grade corporate fixed rate bonds issued by corporations in emerging and developed markets worldwide, with a minimum maturity of at least one year. In order to achieve its investment objective, the Fund may also invest in government bonds with the intention of obtaining a similar performance (with matching risk profile) to certain constituents of the Fund's Benchmark Index. iShares ETFs are funds managed by BlackRock. They are transparent, cost-efficient, liquid vehicles that trade on stock exchanges like normal securities. |
| iShares Global Aggregate Bond UCITS ETF | AGBP.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.10% | 0.29% | 0.39% | https://www.ishares.com/uk/individual/en/products/etf-investments | The iShares Global Aggregate Bond UCITS ETF seeks to track the investment results of an index composed of global investment grade bonds. |
| iShares Global Aggregate Bond UCITS ETF NZD | AGGNZX.ID | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.15% | 0.29% | 0.44% | https://www.ishares.com/uk/individual/en/products/etf-investments | The iShares Global Aggregate Bond UCITS ETF seeks to track the investment results of an index composed of global investment grade bonds. |

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International fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| iShares Global Inflation Linked Govt Bond UCITS ETF | SGIL.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.20% | 0.29% | 0.49% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund is an exchange traded fund (ETF) that aims to track the performance of the Barclays World Government Inflation-Linked Bond Index as closely as possible. The ETF invests in physical index securities. Only capital-indexed bonds, linked to an eligible inflation index, with a minimum remaining time to maturity of one year are included in the index. |
| iShares International Treasury Bond ETF | IGOV.US | BlackRock Inc | N/A | N/A | Nil | 0.35% | 0.29% | 0.64% | https://www.ishares.com/us/products/etf-investments | The iShares International Treasury Bond ETF seeks to track the investment results of an index composed of non-U.S. developed market government bonds. |
| SPDR Bloomberg Barclays 0-5 Year Sterling Corp Bond UCITS ETF | SUKC.LN | SPDR State Street Global Advisors | N/A | N/A | Nil | 0.20% | 0.29% | 0.49% | https://www.ssga.com/us/en/intermediary/etfs/fund-finder | The objective of SPDR Barclays 0-5 Year Sterling Corporate Bond UCITS ETF is to track the performance of the Sterling denominated corporate bond market for fixed-rate, investment-grade (high quality) securities. It aims to do this by tracking the performance of the Barclays 0-5 Year Sterling Corporate Bond Index as closely as possible. |
| Vanguard U.K Gilt UCITS ETF | VGOV.LN | Vanguard Group (Ireland) Ltd | N/A | N/A | Nil | 0.07% | 0.29% | 0.36% | https://www.vanguardinvestor.co.uk/what-we-offer/all-products-cards | The Fund seeks to track the performance of the Index, a market-weighted index of U.K. Gilts denominated in Pound Sterling. The Fund employs a "passive management" - or indexing - investment approach, through physical acquisition of securities, designed to track the performance of the Index. The Fund will invest in a portfolio of Gilts with greater than 1 year maturity that, so far as possible and practicable, consists of a representative sample of the component securities of the Index. |

Listed securities

Listed property

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|-------------------------------------|------------------------|-------------------|--|----------------------------------|-------------------|---|---|--|
| iShares Developed Markets Property Yield UCITS ETF USD Dist | IWDP.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.59% | 0.29% | 0.88% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks to track the performance of an index composed of listed real estate companies and Real Estate Investment Trusts (REITs) from developed countries, excluding Greece, which also comply with dividend yield criteria. |
| iShares UK Property UCITS ETF | IUKP.LN | BlackRock Asset Management Ireland | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.ishares.com/uk/individual/en/products/etf-investments | The Fund seeks to track the performance of an index composed of UK listed real estate companies and Real Estate Investment Trusts (REITs). |
| TR Property Inv Tr | TRY.LN | BMO Asset Management (Holdings) PLC | N/A | N/A | A performance fee is payable if the total return of adjusted net assets outperforms the total return of the Company's benchmark plus 1%. | 0.59% | 0.29% | 0.88% | https://www.trproperty.com/about/ | This product is a closed-end Investment Company incorporated in England and listed on the London Stock Exchange. The Company's investment objective is to maximise shareholders' return by investing in property companies and property related businesses internationally and also in UK investment property. |
| Vanguard Australian Property Securities Index ETF | VAP.AU | Vanguard Investments Australia Ltd | N/A | N/A | Nil | 0.23% | 0.29% | 0.52% | https://www.vanguard.com.au/adviser/products/en/list/overview | The Fund employs an index management strategy designed to track the performance of the S&P/ASX 300 A-REIT index. To closely track the index, the Fund will aim to hold all of the property securities in the index at most times) allowing for individual security weightings to vary marginally from the index from time to time. |
| Vanguard Global ex-US Real Est | VNQI.US | Vanguard Investments | N/A | N/A | Nil | 0.12% | 0.29% | 0.41% | https://investor.vanguard.com/investment-products/list/etfs | Invests in stocks in the S&P Global ex-U.S. Property Index, representing real estate stocks in more than 30 countries. |
| Vanguard REIT - ETF | VNQ.US | Vanguard Investments | N/A | N/A | Nil | 0.12% | 0.29% | 0.41% | https://investor.vanguard.com/investment-products/list/etfs | Invests in stocks issued by real estate investment trusts (REITs), companies that purchase office buildings, hotels, and other real property. |

Listed securities

Commodities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|-------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Carbon Fund | CO2 | Salt Investment Funds Ltd | N/A | N/A | Nil | 0.96% | 0.29% | 1.25% | https://www.carbonfund.co.nz/ | The Carbon Fund is New Zealand's first NZX-listed investment fund designed to trade in carbon credits. The Fund's investment objective is to provide investors with a total return exposure to movements in the price of carbon credits. The Fund has the ability to buy carbon credits in Emissions Trading Schemes in New Zealand and offshore. |
| Global X Physical Gold | GOLD.AU | ETFS Metal Securities Australia Ltd | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.etfsecurities.com.au/product | GOLD offers investors a simple, cost-efficient and secure way to access gold by providing a return equivalent to the movements in the Australian dollar price of gold less a daily management fee. |
| iShares Bloomberg Roll Select Commodity Strategy ETF | CMDY.US | BlackRock Inc | N/A | N/A | Nil | 0.28% | 0.29% | 0.57% | https://www.ishares.com/us/products/etf-investments | The Fund seeks to track the investment results of an index composed of a broad range of commodity exposures with enhanced roll selection, on a total return basis. |
| iShares Gold Trust | IAU.US | BlackRock Inc | N/A | N/A | Nil | 0.25% | 0.29% | 0.54% | https://www.ishares.com/us/products/etf-investments | The investment seeks to reflect generally the performance of the price of gold. The Trust seeks to reflect such performance before payment of the Trust's expenses and liabilities. It is not actively managed. The Trust does not engage in any activities designed to obtain a profit from, or to ameliorate losses caused by, changes in the price of gold. The advisor intends to constitute a simple and cost-effective means of making an investment similar to an investment in gold. An investment in physical gold requires expensive and sometimes complicated arrangements in connection with the assay, transportation, warehousing and insurance of the metal. |

Listed securities

Commodities

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|----------------------------|-----------------|-----------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| SPDR Gold Trust | GLD.US | SPDR State Street Global Advisors | N/A | N/A | Nil | 0.40% | 0.29% | 0.69% | https://www.ssga.com/us/en/intermediary/etfs/fund-finder | SPDR Gold Shares offer investors an innovative, relatively cost efficient and secure way to access the gold market. SPDR Gold Shares are intended to offer investors a means of participating in the gold bullion market without the necessity of taking physical delivery of gold, and to buy and sell that interest through the trading of a security on a regulated stock exchange. |
| Sprott Physical Gold Trust | PHYS.CN | Sprott Asset Management LP | N/A | N/A | Nil | 0.35% | 0.29% | 0.64% | https://www.sprott.com/investment-strategies/physical-bullion-trusts/ | The Trust was created to invest and hold substantially all its assets in physical gold bullion. The Trust seeks to provide a secure, convenient, and exchange-traded investment alternative for investors interested in holding physical gold bullion without the inconvenience that is typical of a direct investment in physical gold bullion. The Trust does not anticipate making regular cash distributions to unitholders. The Trust intends to achieve its objective by investing primarily in long-term holdings of unencumbered, fully allocated, physical gold bullion and will not speculate regarding short-term changes in gold prices. |

Listed securities

Other

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|-------------------------------------|------------------------|-------------------|--|----------------------------------|-------------------|---|---|---|
| iShares Core Aggressive Allocation ETF | AOA.US | BlackRock Inc | N/A | N/A | Nil | 0.15% | 0.29% | 0.44% | https://www.ishares.com/us/products/etf-investments | The iShares Core Aggressive Allocation ETF seeks to track the investment results of an index composed of a portfolio of underlying equity and fixed income funds intended to represent an aggressive target risk allocation strategy. |
| Pengana Private Equity Trust | PE1.AU | Pengana Capital Group Ltd | N/A | N/A | 15.38% of any return greater than benchmark | 1.25% | 0.29% | 1.54% | https://pengana.com/our-funds/ | The Trust seeks to provide investors with a diversified set of exposures to private markets, including private equity, private credit, and other opportunistic investments. The Trust may invest either directly or indirectly through investments in one or more GCM Funds, or vehicles managed by third-party investment managers. The Portfolio will seek diversification by strategy, manager, sector, geography and Vintage, primarily in the established markets of North America and Western Europe, with opportunistic exposure to other regions. |
| ProShares Bitcoin Strategy ETF | BITO.US | ProShares | N/A | N/A | Nil | 0.95% | 0.29% | 1.24% | https://www.proshares.com/our-etfs/strategic/bitco | The Fund aims to produce returns that correspond to bitcoin. There can be no assurance that the Fund will achieve its investment objective. The Fund seeks to provide capital appreciation primarily through managed exposure to bitcoin futures contracts. The Fund does not invest directly in bitcoin and may also invest in other instruments. |
| RIT Capital Partners PLC | RCP.LN | J Rothschild Capital Management Ltd | N/A | N/A | 20% performance fee for outperformance relative to a benchmark | 0.72% | 0.29% | 1.01% | https://www.ritcap.com/rit-shares | To deliver long-term capital growth, while preserving shareholders' capital; to invest without the constraints of a formal benchmark, but to deliver for shareholders increases in capital value in excess of the relevant indices over time. |

Listed securities

Other

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|--------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Scottish Mortgage Investment Trust PLC | SMT.LN | Baillie Gifford & Co Ltd | N/A | N/A | Nil | 0.67% | 0.29% | 0.96% | https://www.bailliegifford.com/en/uk/institutional-investor/funds/ | Scottish Mortgage is an actively managed, low cost investment trust, investing in a high conviction, global portfolio of companies with the aim of maximising its total return to its shareholders over the long term. The managers aim to achieve a greater return than the FTSE All-World Index (in sterling terms) over a five year rolling period. The majority of the portfolio will be held in quoted equities and up to a maximum of 30% of the assets may be invested in companies not listed on a public market (measured at the time of purchase). |

Direct bonds and cash

New Zealand fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|--------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| ANZ Bank New Zealand Limited 3.03% 20/03/2024 | ANB160 | ANZ Bank New Zealand Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.anz.co.nz/about-us/media-centre/investor-information/ | Australia and New Zealand Banking Group Limited provides various banking and financial products and services in Australia, New Zealand, the Asia Pacific, Europe, and the Americas. |
| Argosy Property Limited 2.20% 27/10/2027 | ARG030 | Argosy Property Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.argosy.co.nz/investor-centre/ | Argosy Property is one of New Zealand's leading listed property companies, owning a diversified portfolio of industrial, office and retail properties predominantly in Auckland and Wellington, with a modest tenant-driven exposure to other parts of New Zealand. |
| Argosy Property Limited 4.00% 27/03/2026 | ARG010 | Argosy Property Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.argosy.co.nz/investor-centre/ | Argosy Property is one of New Zealand's leading listed property companies, owning a diversified portfolio of industrial, office and retail properties predominantly in Auckland and Wellington, with a modest tenant-driven exposure to other parts of New Zealand. |
| Auckland Council 3.338% 27/07/2026 | AKC100 | Auckland Council | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.aucklandcouncil.govt.nz/about-auckland-council/investor-centre/ | Auckland International Airport Limited provides airport facilities, supporting infrastructure, and aeronautical services in Auckland, New Zealand Fixed interest securities are debt investments that pay a fixed or floating rate of return. |
| Auckland Council 5.806% 25/03/2024 | AKC070 | Auckland Council | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.aucklandcouncil.govt.nz/about-auckland-council/investor-centre/ | Auckland International Airport Limited provides airport facilities, supporting infrastructure, and aeronautical services in Auckland, New Zealand Fixed interest securities are debt investments that pay a fixed or floating rate of return. |
| Auckland Intl Airport 3.51% 10/10/2024 | AIA230 | Auckland International Airport | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://corporate.aucklandairport.co.nz/investors | Auckland International Airport Limited provides airport facilities, supporting infrastructure, and aeronautical services in Auckland, New Zealand Fixed interest securities are debt investments that pay a fixed or floating rate of return. |

Direct bonds and cash

New Zealand fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| CHCH International Airport 4.13% 24/05/2024 | CHC010 | Christchurch International Airport | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.christchurchairport.co.nz/about-us/who-we-are/financial-reports/ | Christchurch International Airport Limited (CIAL) operates airport. The Company pick-up and drop-off, parking options, rental cars, public transport, car sharing, and other related services. CIAL serves clients in New Zealand. |
| Chorus Limited 1.98% 02/12/2027 | CNU030 | Chorus Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://company.chorus.co.nz/investors | Chorus Limited is a wholesale and retail telecommunications company. The Company maintains and build the Chorus local access network which is made up of local telephone exchanges, cabinets and copper and fibre cables that connects New Zealand homes and businesses throughout the country. |
| Chorus Limited 6.38% 06/12/2028 (R) | CNU020 | Chorus Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://company.chorus.co.nz/investors | Chorus Limited is a wholesale and retail telecommunications company. The Company maintains and build the Chorus local access network which is made up of local telephone exchanges, cabinets and copper and fibre cables that connects New Zealand homes and businesses throughout the country. |
| Christchurch City Holdings Limited 3.58% 27/11/2024 | CCH020 | Christchurch City Holdings Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.cchl.co.nz/about | Christchurch City Holdings Limited (CCHL) operates as a holding company. The Company, through its subsidiaries, provides investment in infrastructure sectors as well as asset management, shipping, and electricity distribution services. CCHL serves customers in New Zealand. |
| Contact Energy 3.55% 15/08/2024 | CEN050 | Contact Energy | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://contact.co.nz/aboutus/investor-centre/investor-information | Contact Energy Limited generates, trades in, and retails electricity, natural gas, broadband, and other products and services in New Zealand. |

Direct bonds and cash

New Zealand fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|---------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Fletcher Building Industries 2.80% 15/03/2026 | FBI200 | Fletcher Building Industries | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.fletcherbuilding.com/investor-centre | Fletcher Building Industries Limited manufactures and retails building materials. The Company offers gypsum wallboard, insulation, roofing tiles, sinks, aluminium extrusions, wall frames, roof trusses, concrete, laminates, and steel building products. Fletcher Building Industries serves customers in New Zealand, Australia, and the South Pacific. |
| Fletcher Building Industries 3.90% 15/03/2025 | FBI190 | Fletcher Building Industries | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.fletcherbuilding.com/investor-centre | Fletcher Building Industries Limited manufactures and retails building materials. The Company offers gypsum wallboard, insulation, roofing tiles, sinks, aluminium extrusions, wall frames, roof trusses, concrete, laminates, and steel building products. Fletcher Building Industries serves customers in New Zealand, Australia, and the South Pacific. |
| Fletcher Building Industries 4.90% 15/03/2024 | FBI180 | Fletcher Building Industries | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.fletcherbuilding.com/investor-centre | Fletcher Building Industries Limited manufactures and retails building materials. The Company offers gypsum wallboard, insulation, roofing tiles, sinks, aluminium extrusions, wall frames, roof trusses, concrete, laminates, and steel building products. Fletcher Building Industries serves customers in New Zealand, Australia, and the South Pacific. |
| Fonterra Co-op Group 4.15% 14/11/2025 | FCG050 | Fonterra Co-operative Group Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.fonterra.com/nz/en/investors.html | Fonterra Co-operative Group Limited, together with its subsidiaries, collects, manufactures, and sells milk and milk-derived products. |

Direct bonds and cash

New Zealand fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|-------------------------------------|-----------------|-------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| GMT Bond Issuer 4.54% 31/05/2024 | GMB040 | Goodman (NZ) Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://nz.goodman.com/investor-centre/goodman-property-trust-units | GMT Bond Issuer Limited operates as a holding company. The Company, through its subsidiaries, develops, owns, and manages properties such as logistics facilities, warehouses, and business parks, as well as provides investment management, and property assets services. GMT Bond Issuer serves commercial and industrial properties worldwide. |
| Heartland Bank Ltd 3.55% 12/04/2024 | HBL020 | Heartland Bank Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://shareholders.heartland.co.nz/ | Heartland Bank Limited provides banking services. The Bank offers saving accounts, account checking, consumer and business loans, credit and debit cards, online and mobile banking, bill payment, and other financial services. Heartland Bank serves customers in New Zealand. |
| Housing NZ Limited 3.42% 18/10/2028 | HNZ1028 | Housing New Zealand Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://kaingaora.govt.nz/investor-centre/ | Housing New Zealand Limited operates as a residential property owner. The Company owns and manages homes, as well as focuses on development of housing and urban properties. Housing New Zealand serves customers in New Zealand. |
| ICBC (NZ) Fixed 2.61% 27/06/2024 | ICB0624 | ICBC (NZ) | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | http://nz.icbc.com.cn/ICBC/ | Industrial and Commercial Bank of China (New Zealand) Limited operates as a bank. The Bank offers personal, investment, financing, internet, mobile, and corporate banking, as well as credit and debit card, trade financing, money transfer, and cash management services. Industrial and Commercial Bank of China (New Zealand) serves clients worldwide. |

Direct bonds and cash

New Zealand fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|----------------------------------|-----------------|--------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Infratil 3.35% 15/12/2026 | IFT280 | Infratil Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://infratil.com/for-investors/bonds/ | Infratil invests in infrastructure businesses that provide essential services to individuals and communities. If they are efficient and provide good services they create opportunities for profitable growth. This requires continual refinement and improvement because the needs of individuals and communities evolve, business practices improve, and technology changes. |
| Infratil 5.50% 15/06/2024 | IFT230 | Infratil Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://infratil.com/for-investors/bonds/ | Infratil invests in infrastructure businesses that provide essential services to individuals and communities. If they are efficient and provide good services they create opportunities for profitable growth. This requires continual refinement and improvement because the needs of individuals and communities evolve, business practices improve, and technology changes. |
| Infratil 6.15% 15/06/2025 | IFT250 | Infratil Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://infratil.com/for-investors/bonds/ | Infratil invests in infrastructure businesses that provide essential services to individuals and communities. If they are efficient and provide good services they create opportunities for profitable growth. This requires continual refinement and improvement because the needs of individuals and communities evolve, business practices improve, and technology changes. |
| Infratil Ltd 4.75% 15/12/2024 | IFT260 | Infratil Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://infratil.com/for-investors/bonds/ | Infratil invests in infrastructure businesses that provide essential services to individuals and communities. If they are efficient and provide good services they create opportunities for profitable growth. This requires continual refinement and improvement because the needs of individuals and communities evolve, business practices improve, and technology changes. |

Direct bonds and cash

New Zealand fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Investore Property Limited 2.40% 31/08/2027 | IPL020 | Investore Property Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.investoreproperty.co.nz/ | Investore Property Limited operates as a real estate investment company. The Company invests in retail property assets. Investore Property also provides leasing services. Investore Property serves clients in New Zealand. |
| Investore Property Limited 4.00% 25/02/2027 | IPL030 | Investore Property Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.investoreproperty.co.nz/ | Investore Property Limited operates as a real estate investment company. The Company invests in retail property assets. Investore Property also provides leasing services. Investore Property serves clients in New Zealand. |
| Investore Property Limited 4.40% 18/04/2024 | IPL010 | Investore Property Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.investoreproperty.co.nz/ | Investore Property Limited operates as a real estate investment company. The Company invests in retail property assets. Investore Property also provides leasing services. Investore Property serves clients in New Zealand. |
| Kiwi Property Trust 4.06% 12/11/2025 | KPG040 | Kiwi Property Trust | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.kiwiproperty.com/corporate/investor-centre/ | Kiwi Capital Funding Limited provides banking services. The Bank offers savings, cards, loans, and online banking services. Kiwi Capital Funding serves clients in New Zealand. |
| Kiwi Property Trust Fixed Rate Senior Secured Bonds 4.33% 19/12/2024 | KPG030 | Kiwi Property Trust | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.kiwiproperty.com/corporate/investor-centre/ | Kiwi Capital Funding Limited provides banking services. The Bank offers savings, cards, loans, and online banking services. Kiwi Capital Funding serves clients in New Zealand. |
| Manawa Energy Limited 3.35% 29/07/2026 | MNW180 | Manawa Energy Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.manawaenergy.co.nz/investor-centre | Manawa Energy Limited, formerly Trustpower, is a New Zealand electricity generation company that offers bespoke electricity products to commercial and industrial customers across New Zealand. |
| Manawa Energy Limited 5.36% 08/09/2027 | MNW190 | Manawa Energy Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.manawaenergy.co.nz/investor-centre | Manawa Energy Limited, formerly Trustpower, is a New Zealand electricity generation company that offers bespoke electricity products to commercial and industrial customers across New Zealand. |

Direct bonds and cash

New Zealand fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|-------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Manawa Energy Limited FRN 3.97% 22/02/2024 (R) | MNW170 | Manawa Energy Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.manawaenergy.co.nz/investor-centre | Manawa Energy Limited, formerly Trustpower, is a New Zealand electricity generation company that offers bespoke electricity products to commercial and industrial customers across New Zealand. |
| Mercury NZ Limited 1.56% 14/09/2027 | MCY030 | Mercury NZ Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.mercury.co.nz/investors | The proceeds of the Green Bonds are intended to be earmarked to finance or refinance new or existing projects and expenditures relating to Eligible Projects in accordance with Mercury's Green Financing Framework. The proceeds are expected to be primarily earmarked to construction of the Turitea windfarm. |
| Meridian Energy 4.21% 27/06/2025 | MEL050 | Meridian Energy | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.meridianenergy.co.nz/about-us/investors | Meridian Energy Ltd. operates as a state-owned electricity generator. The Company produces hydro-electric power and supplies electricity to residential, business, and rural customers. Meridian Energy provides services throughout New Zealand. |
| Meridian Energy 4.88% 20/03/2024 | MEL040 | Meridian Energy | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.meridianenergy.co.nz/about-us/investors | Meridian Energy Ltd. operates as a state-owned electricity generator. The Company produces hydro-electric power and supplies electricity to residential, business, and rural customers. Meridian Energy provides services throughout New Zealand. |
| NZ Government 2.75% 15/04/2025 | GOV425 | NZ Government | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://debtmanagement.treasury.govt.nz/government-securities | Wholesale fixed-term debt securities the Crown issues to and repurchases from registered tender counterparties. Nominal bonds have an initial maturity of one year or more. |
| NZ Government 3.00% 20/04/2029 | GOV429 | NZ Government | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://debtmanagement.treasury.govt.nz/government-securities | Wholesale fixed-term debt securities the Crown issues to and repurchases from registered tender counterparties. Nominal bonds have an initial maturity of one year or more. |

Direct bonds and cash

New Zealand fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|------------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| NZ Government 4.50% 15/04/2027 | GOV430 | NZ Government | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://debtmanagement.treasury.govt.nz/government-securities | Wholesale fixed-term debt securities the Crown issues to and repurchases from registered tender counterparties. Nominal bonds have an initial maturity of one year or more. |
| NZ Government Inflation Linked 2.00% 20/09/2025 | GOVIB0925 | NZ Government | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://debtmanagement.treasury.govt.nz/government-securities | Inflation-indexed bonds are wholesale fixed-term debt securities with an inflation-indexed component the Crown issues to registered tender counterparties. Inflation-indexed bonds have an initial maturity of one year or more. |
| NZ Local Govt Funding Agency 1.50% 15/04/2026 | LGF120 | NZ Local Government Funding Agency | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.lgfa.co.nz/ | New Zealand Local Government Funding Agency Limited operates as a government agency. The Company offers financial support, security trading, bond issuing, bill tenders, and other services. New Zealand Local Government Funding Agency conducts its business in New Zealand. |
| NZ Local Govt Funding Agency 2.75% 15/04/2025 | LGF070 | NZ Local Government Funding Agency | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.lgfa.co.nz/ | New Zealand Local Government Funding Agency Limited operates as a government agency. The Company offers financial support, security trading, bond issuing, bill tenders, and other services. New Zealand Local Government Funding Agency conducts its business in New Zealand. |
| NZ Local Govt Funding Agency 4.50% 15/04/2027 | LGF060 | NZ Local Government Funding Agency | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.lgfa.co.nz/ | New Zealand Local Government Funding Agency Limited operates as a government agency. The Company offers financial support, security trading, bond issuing, bill tenders, and other services. New Zealand Local Government Funding Agency conducts its business in New Zealand. |
| Oceania Healthcare Limited 2.30% 19/10/2027 | OCA010 | Oceania Healthcare Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.oceaniahealthcare.co.nz/investor-centre | Oceania Healthcare is a leading provider of premium healthcare services in New Zealand. |

Direct bonds and cash

New Zealand fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--|-----------------|----------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Oceania Healthcare Limited 3.30% 13/09/2028 | OCA020 | Oceania Healthcare Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.oceaniahealthcare.co.nz/investor-centre | Oceania Healthcare is a leading provider of premium healthcare services in New Zealand. |
| Precinct Properties New Zealand 4.42% 27/11/2024 | PCT020 | Precinct Properties NZ | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.precinct.co.nz/investors | Precinct Properties New Zealand Ltd invests in, manages and leases commercial office properties. The company invests in properties in Auckland and Wellington. |
| Property for Industry 4.25% 01/10/2025 | PFI020 | Property for Industry | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.propertyforindustry.co.nz/investor-centre/retail-bonds/ | Property for Industry Limited is a property company that invests in New Zealand industrial properties. The Company's property portfolio includes sites in Auckland, Hamilton, Tauranga, Wellington and Christchurch. |
| Property for Industry 4.59% 28/11/2024 | PFI010 | Property for Industry | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.propertyforindustry.co.nz/investor-centre/retail-bonds/ | Property for Industry Limited is a property company that invests in New Zealand industrial properties. The Company's property portfolio includes sites in Auckland, Hamilton, Tauranga, Wellington and Christchurch. |
| Southland Building Society 4.32% 18/03/2027 | SBS010 | Southland Building Society | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.sbsbank.co.nz/ | SBS Bank is a registered bank in New Zealand which was founded in 1869. In October 2008 it gained bank registration and the Southland Building Society became SBS Bank. It is a 100% New Zealand owned registered bank that has retained a mutual building society structure. |
| Spark Finance Ltd 3.37% 07/03/2024 | SPF580 | Spark Finance Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.sparknz.co.nz/Investor-Centre/ | Spark Finance Limited is a finance company for the Spark New Zealand Group. The Company raises debt funding in New Zealand and internationally. |
| Spark Finance Ltd 3.94% 07/09/2026 | SPF570 | Spark Finance Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.sparknz.co.nz/Investor-Centre/ | Spark Finance Limited is a finance company for the Spark New Zealand Group. The Company raises debt funding in New Zealand and internationally. |

Direct bonds and cash

New Zealand fixed interest

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|---|-----------------|------------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|--|
| Summerset Group Holdings Limited 2.30% 21/09/2027 | SUM030 | Summerset Group Holdings Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.summerset.co.nz/investor-centre/bonds/ | Summerset Holdings Limited is a New Zealand retirement village and aged care service provider. |
| Summerset Group Holdings Ltd 4.20% 24/09/2025 | SUM020 | Summerset Group Holdings Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.summerset.co.nz/investor-centre/bonds/ | Summerset Group Holdings Ltd. is a profit-oriented entity and develops, owns and operates integrated retirement villages, rest homes and hospitals for the elderly within New Zealand. |
| Transpower New Zealand 1.735% 04/09/2025 | TRP070 | Transpower NZ | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.transpower.co.nz/investors/investor-information | Transpower New Zealand Ltd. provides electric energy. The Company generates, transmits, and distributes electric energy to a variety of industries such as business, household, and government. Transpower New Zealand offers its services throughout New Zealand. |
| Transpower New Zealand 2.73% 14/03/2024 | TRP060 | Transpower NZ | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.transpower.co.nz/investors/investor-information | Transpower New Zealand Ltd. provides electric energy. The Company generates, transmits, and distributes electric energy to a variety of industries such as business, household, and government. Transpower New Zealand offers its services throughout New Zealand. |
| Transpower New Zealand 3.823% 06/03/2025 | TRP050 | Transpower NZ | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.transpower.co.nz/investors/investor-information | Transpower New Zealand Ltd. provides electric energy. The Company generates, transmits, and distributes electric energy to a variety of industries such as business, household, and government. Transpower New Zealand offers its services throughout New Zealand. |
| Vector 3.45% 27/05/2025 | VCT090 | Vector | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investor.visa.com/ | Vector Limited is an energy infrastructure company in New Zealand that provides electricity and gas transmission and distribution along with metering. The company is also a wholesaler of LPG and natural gas. Vector also delivers broadband voice and data communications in the Auckland and Wellington regions. |

Direct bonds and cash

New Zealand fixed interest

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|----------------------------------|-----------------|-------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---|
| Westpac 2.22% 29/07/2024 | WPAC0724 | Westpac New Zealand Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://www.westpac.com.au/about-westpac/investor-centre/financial-information/westpac-new-zealand/ | Westpac Banking Corporation provides various banking and financial services in Australia, New Zealand, Asia, the Pacific region, and internationally. |
| Z Energy Ltd 4.00% 03/09/2024 | ZEL060 | Z Energy Ltd | N/A | N/A | N/A | 0.00% | 0.29% | 0.29% | https://investors.z.co.nz/financial/bonds | Z Energy Ltd. markets petroleum products. The Company offers premium fuel, diesel, petrol, liquefied petroleum gas, bitumen, and lubricants. Z Energy serves airlines, trucking companies, mines, shipping companies, and vehicle fleet operators throughout New Zealand. |

Direct bonds and cash

Cash and cash equivalents

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|--------------------------|-----------------|--------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---------------------------------|
| Australian Dollar (AUD) | AUDCash | ANZ Bank New Zealand Ltd | N/A | N/A | N/A | 0.00% | 0.00% | 0.00% | | Held with ANZ Bank New Zealand. |
| Canadian Dollar (CAD) | CADCash | ANZ Bank New Zealand Ltd | N/A | N/A | N/A | 0.00% | 0.00% | 0.00% | | Held with ANZ Bank New Zealand. |
| Euro (EUR) | EURCash | ANZ Bank New Zealand Ltd | N/A | N/A | N/A | 0.00% | 0.00% | 0.00% | | Held with ANZ Bank New Zealand. |
| Japanese Yen (JPY) | JPYCash | ANZ Bank New Zealand Ltd | N/A | N/A | N/A | 0.00% | 0.00% | 0.00% | | Held with ANZ Bank New Zealand. |
| New Zealand Dollar (NZD) | NZDCash | ANZ Bank New Zealand Ltd | N/A | N/A | N/A | 0.00% | 0.00% | 0.00% | | Held with ANZ Bank New Zealand. |
| Pound sterling (GBP) | GBPCash | ANZ Bank New Zealand Ltd | N/A | N/A | N/A | 0.00% | 0.00% | 0.00% | | Held with ANZ Bank New Zealand. |
| Singaporean Dollar (SGD) | SGDCash | ANZ Bank New Zealand Ltd | N/A | N/A | N/A | 0.00% | 0.00% | 0.00% | | Held with ANZ Bank New Zealand. |
| Swiss Franc (CHF) | CHFCash | ANZ Bank New Zealand Ltd | N/A | N/A | N/A | 0.00% | 0.00% | 0.00% | | Held with ANZ Bank New Zealand. |

Direct bonds and cash

Cash and cash equivalents

| Investment name | Investment code | Issuer name | Individual action fees | Buy / Sell spread | Performance fees charged by underlying investment | Annual underlying investment fee | Annual Scheme fee | Total annual estimated fund charges as % of NAV | Link to publicly available information about Investment | Description of Investment |
|----------------------------|-----------------|--------------------------|------------------------|-------------------|---|----------------------------------|-------------------|---|---|---------------------------------|
| United States Dollar (USD) | USDCash | ANZ Bank New Zealand Ltd | N/A | N/A | N/A | 0.00% | 0.00% | 0.00% | | Held with ANZ Bank New Zealand. |